



Correctional Service  
Canada

Service correctionnel  
Canada



SAFETY, RESPECT  
AND DIGNITY  
FOR ALL

LA SÉCURITÉ,  
LA DIGNITÉ  
ET LE RESPECT  
POUR TOUS

**Evaluation Report**

**Community Correctional Operations:  
Chapter 3: Community Engagement**

**Evaluation Division**

**Policy Sector**

**February 2013**

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## **Acknowledgements**

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**EVALUATION REPORT: COMMUNITY CORRECTIONS OPERATIONS:  
CHAPTER 3: COMMUNITY ENGAGEMENT**

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## Executive Summary

This report focusing on community engagement is the third of four reports evaluating the community correctional operations of Correctional Service Canada (CSC) and examines relevance and performance as per the Treasury Board Secretariat Policy on Evaluation (TBS, 2009). There are no recommendations contained in the current report. The fourth chapter will summarize all three reports and provide comprehensive recommendations addressing all evaluated components of community corrections. The activities being evaluated in this report accounted for 0.37% of CSCs direct program spending for the 2010-2011 fiscal year. Overall, community engagement is an essential component of the successful reintegration of offenders into the community.

The evaluation examined performance activities in the areas of engagement, information sharing, and promotion of the work done by CSC.

**Engagement:** Overall, the evaluation demonstrated that community partners contribute to the safe reintegration of offenders through the provision of community programs, services and housing. In addition to the various services offered, community partners play an integral role in connecting offenders with the appropriate community resources in preparation for their warrant expiry date. For these reasons, it is important for CSC to continue to engage new community partners in order to meet the increasingly diverse needs of the offender population, as well as sustain existing relationships with current partners. Although CSC has several strategies encompassing engagement, there is not an integrated strategy for how CSC should engage its partners. Many engagement strengths were noted by CSC and community partners. Several areas of opportunity were identified, including the need to involve community partners earlier in the release planning process and for community partners to have stabilized funds to ensure continued service provision. In addition to the successful engagement of new partners, increased emphasis should also be placed on the sustainability of current partners and the development of these existing relationships. Lastly, the need for an overall integrated engagement strategy for CSC has been identified in order to better position CSC to build and maintain strong, collaborative relationships to achieve results.

**Information Sharing:** Information sharing between CSC and partners/stakeholders is guided by policy. CSC staff indicated that these policies and procedures are clear, and both partners and CSC staff indicated satisfaction with the quality and quantity of information shared. However, staff and partners also noted that barriers exist in the types of information that can be shared, and that these barriers impact the ability of community partners to respond adequately and timely to the needs of offenders. Recent initiatives have been piloted to respond to the needs of victims such as a collaborative project between CSC and PBC to offer efficient methods of information sharing.

**Promotion:** Promoting the work being done by CSC is a noted goal, and one that is expected to ease the transition of offenders into the community through increased public awareness and community support. CSC staff and community partners suggested that in order to increase public awareness, additional promotion of positive correctional results and offender success stories need to be shared by CSC through increased involvement in the community and the use of the media.

**Economy:** The difficult nature of examining cost effectiveness on community engagement activities has been identified in academic literature. As such, a quantitative cost effectiveness analyses could not be conducted as part of the current chapter of the Community Corrections evaluation. In contrast, qualitative data collected from CSC staff and community partners indicated that CSC distributes resources effectively.

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## **List of Acronyms**

ACLO	Aboriginal Community Liaison Officer
ACCOP	Assistant Commissioner, Correctional Operations and Programs
CAC	Citizen Advisory Committee
CBRF	Community Based Residential Facility
CBSA	Canada Border Services Agency
CCLO	Community Corrections Liaison Officer
CCRA	Corrections and Conditional Release Act
CCRR	Corrections and Conditional Release Regulations
CD	Commissioner's Directive
CFP	Community Forum Program
CMHC	Canada Mortgage and Housing Corporation
COMO	Cost of Maintaining Offenders
CRFs	Community Residential Facilities
CSC	Correctional Service Canada
FCCS	Federal Community Corrections Strategy
FY	Fiscal Year
HRSDC	Human Resources and Skills Development Canada
IFMMS	Integrated Financial & Material Management System
NAAC	National Aboriginal Advisory Committee
NAACJ	National Associations Active in Criminal Justice
NEAC	National Ethno cultural Committee
NVSP	National Victim Services Program
OMS	Offender Management System
OMDs	Offender with Mental Disorders
PBC	Parole Board of Canada
PO	Parole Officer
PSC	Public Safety Canada
REAC	Regional Ethno Cultural Advisory Committees
RCMP	Royal Canadian Mounted Police
RPP	Report on Plans and Priorities

## Introduction

Canadian communities and citizens are an integral part of the Correctional Service of Canada's (CSC) mandate of protecting the public and encouraging and assisting offenders to become law abiding citizens (CSC, 2011a). With the majority (90%) of offenders eventually being released back into the community (PSC, 2010), it is imperative that CSC establish positive and reciprocal relationships with Canadian communities, partners and stakeholders, to support the safe transition and successful reintegration of offenders into the community<sup>1</sup>.

In accordance with CSC's five-year evaluation plan and the Treasury Board Secretariat Policy on Evaluation, this evaluation addresses two core issues:

- 1) Relevance: is there a continued need for community engagement activities, are these activities aligned with Government of Canada priorities, and are these responsibilities consistent with federal roles and responsibilities; and
- 2) Performance: are community engagement activities effective, efficient and economical.

The evaluation of community correctional operations was based on five key themes and divided into four chapters<sup>2</sup>. Chapter 1: Correctional Interventions, focused on Continuum of Care and Risk Assessment and Case Preparation. Chapter 2 focused on Community Supervision and Staff Safety. This report, Chapter 3, will focus on the fifth and final theme, Community Engagement. Upon completion and approval of Chapter 3, Chapter 4 will provide a summary report of key findings of the entire Community Corrections evaluation and will introduce strategic recommendations across all community program activities.

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<sup>1</sup> CSC's Citizen Engagement Division defines stakeholders as individuals, groups or organizations who have an interest in, influence on, or are affected by a given policy, program and/or service. Partners are defined as individuals, groups or organizations - including other levels of government - when they participate in, share responsibility for, and have a common interest in a given policy, program and/or service, under a formal agreement (i.e. Terms of reference, Memorandum of Understanding, Contribution agreement, Contract agreement, Exchange of services agreement).

<sup>2</sup> For more information please refer to Chapter 1: Correctional Interventions, on CSC's Website <http://www.csc-scc.gc.ca/text/pa/ev-cci-fin/index-eng.shtml>

### **Chapter 3: Community Engagement**

Successful offender reintegration requires the support of citizens and communities. As a result CSC works with a wide range of government and non-government organizations, partners, and stakeholders. To highlight the critical role community partners play in offender reintegration, CSC's corporate priorities were revised in 2010–11. The sixth priority focuses on “productive relationships with increasingly diverse partners, stakeholders, and others involved in public safety.” (CSC, 2011a). Once offenders are released to the community, CSC's community partners play an integral role in assisting CSC to ensure their reintegration is successful. The present chapter focuses on the ways in which CSC engages community partners and stakeholders to assist in offender reintegration. Community engagement activities and outcomes will be further discussed within the findings section of this evaluation report.

#### **Background**

CSC faces a changing and increasingly challenging offender profile (CSC, 2009c). Specifically, higher risk and need offenders are entering the federal correctional system, often presenting with violent offence histories, gang affiliations, a high prevalence of mental health and substance abuse needs and require targeted correctional interventions to address these needs (CSC, 2009c). Moreover, there has been a steady increase in the number of offenders on statutory releases in the community (PSC, 2010). Given the higher risk and higher need profile of the offender population, it has become increasingly important to address multiple offender needs in both the institution and in the community to ensure the best possible correctional outcomes.

For instance, it is difficult for federal offenders on conditional release to find affordable housing and employment in the community (CMHC, 2007). It has been estimated that 30% of offenders in Canada will not have an accommodation upon release (CMHC, 2007). Furthermore, offenders have additional obstacles to securing employment and residency including lower education levels, a lack of employment stability, and mental health issues.

In November 2009, CSC committed to the development of a federal community corrections strategy (FCCS), in collaboration with partners and stakeholders. As defined by CSC,

“the Federal Community Corrections Strategy is an overarching strategy linking CSC’s primary reintegration strategies and activities with enhanced partnerships as a means to best position community corrections activities to the year 2020” (CSC, 2011f,p 3).

Overall, the FCCS provides a framework to enhance offender reintegration opportunities relating to specialized populations, employment and employability, and offender support systems that include volunteers and community acceptance. The following strategies have also been implemented in alignment with the Federal Community Corrections Strategy (FCCS) to address the specific needs of individual offender groups: The National Community Strategy for Women Offenders; The Strategic Plan for Aboriginal Corrections; and the Mental Health Strategy. However, it is important to note that despite each of these strategies having a community engagement component, CSC does not presently have an integrated strategy for community engagement.

Women offenders have unique needs, which must be considered in formulating the most effective response to their reintegration requirements. The necessity for a gender-informed approach is noted in legislation and has been reinforced in several correctional reviews and reports.

The Revised National Community Strategy for Women Offenders (2010) provides a framework for the approach to be taken with respect to the unique risks and needs of women offenders upon their release into the community. The Strategy provides guidelines for community staff to assist them in addressing the unique challenges posed by women offenders while supporting the continuum of care model, which includes the integration between institutional and community case management.

In terms of addressing the needs of Aboriginal offenders, in 2005-2006, a five-year strategy known as the Strategic Plan for Aboriginal corrections (SPAC) was developed as a means to address the specific needs of Aboriginal offenders. In particular, SPAC sought to implement a Continuum of Care and services for Aboriginal offenders, which would ensure a federal correctional system that is responsive to the specific needs of these offenders and contributes to safe and healthy communities. The Aboriginal Corrections Continuum of Care was

implemented to “ensure continuity of services for offenders from intake to federal custody through to release into the community on conditional release and after sentence expiration” (Didenko & Marquis, 2011). A large part of the continuum of care and community collaboration involves community engagement.

Lastly, to address the mental health needs of the federal offender population, CSC's Mental Health Strategy (Towards a continuum of care, CSC, 2010) has been established and is founded upon five key components: (1) mental health screening at intake; (2) primary mental health care; (3) intermediate mental health care; (4) intensive care at the regional treatment centres; and (5) transitional care for release to the community. The fifth component, transitional care, which deals with offenders up to and following release to the community, enhances the existing case management and clinical supervision model. Upon release, community mental health specialists at selected community sites provide specialized support to address the particular needs of OMDs, including direct service provision (e.g. crisis intervention and counselling), linkage with community agencies, coordination and support (e.g. accompaniment support, assistance completing forms and applications). Prior to offenders reaching warrant expiry, CSC staff work to establish relationships with provincial and territorial health services and community agencies to avoid breaks in mental health services for OMDs.

Each of these strategies proposes methods and specific partners with whom to engage to support the specific mandate of the strategy. However, there is overlap and there remains a possibility of gaps in engagement. As well, Wayne G. Wouters, Clerk of the Privy Council (October 2010), delivered a message that outlines the direction that the Government of Canada must take in responding to the needs of Canadians. “To ensure that we continue to offer Canadians excellent service we must make silos, as an organizational structure, a thing of the past.”<sup>3</sup> An integrated organizational structure to community engagement may serve to strengthen results for Canadians. Please refer to Appendix A for additional details on citizen engagement strategies within CSC.

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<sup>3</sup> This speech was delivered by Wayne Wouters for Collaborative Culture Camp on October 15, 2010. “Remarks for Wayne G. Wouters for Collaborative Culture Camp”. (October 2010).



CSC engages different partners and stakeholders for different mandates that enable CSC to better achieve corporate priorities and mandates. Three broad categories of partners and stakeholders were identified as core engagement groups in community correctional operations: legislative and policy directed partners; rehabilitative and supportive partners; and victims. Although there are other groups of stakeholders with which CSC engages, the scope had to be refined for the purposes of the current evaluation. In this section, a fourth stakeholder group is introduced – innovators to engagement - that is, an emerging group in support of CSC’s sixth priority. Although this group is described below, they did not form a key informant group for the evaluation because the group is relatively new. The current Five-Year Evaluation Plan indicates a full evaluation of Community Engagement is scheduled for 2016 and this may be sufficient time to implement engagement activities with this group to incorporate into the next evaluation. However, there are additional partners and stakeholders involved in Community Correctional activities and operations that were not included in the present evaluation, due to the lower frequency of contact and engagement with these organizations.

#### *1. Legislative and Policy Directed Partners*

The CCRA describes several commitments that CSC must make to ensure transparency of its operations and that CSC ensuring it is responsive to the needs of Aboriginal and ethnocultural offenders. The broad category of legislative and policy directed partners encompasses groups mandated by the CCRA and internal CSC policies such as Commissioner’s Directives, and include Citizen Advisory Committees (CAC’s), the National Aboriginal Advisory Committee (NAAC), National Ethnocultural Advisory Committee (NEAC), and the Parole Board of Canada (PBC).

As early as 1977 (MacGuigan Report, 1977), a parliamentary standing committee made several recommendations to improve the correctional system, and specifically noted that “Citizen Advisory Committees must be established in all maximum, medium and minimum [security] penal institutions” (MacGuigan, 1977, p. 126).

Although CACs had been in operation since 1965, the McGuigan report rendered their function official, *the Corrections and Conditional Release Regulations* (CCRR; Department of Justice, 1992) further solidified the position of the CAC, by clarifying the involvement of members of the community in the operation of the Service. Presently, all federal institutions, including area and district parole offices and community correctional centres, have a CAC (CSC, 2003). CACs liaise with CSC staff, offenders and community partners to provide advice to CSC regarding operations, programs, policies and plans.

Section 82 of the CCRA outlines establishing a National Aboriginal Advisory Committee and regional and local Aboriginal Advisory Committees, to provide advice to the Service on the provision of correctional services to Aboriginal offenders. Additionally, Commissioners Directive 767: *Ethnocultural Offender Programs* (CSC, 2001), requires that the needs and cultural interests of offenders belonging to ethnocultural minority groups are identified and that programs and services are developed and maintained to meet those needs through National and Regional Ethnocultural Advisory Committees (see Appendix B and C for more details on NAAC and NEAC)

While it is CSC's mandate to supervise offenders, it is PBC's mandate to consider release and conditions of release. The authority to grant parole, found in the CCRA, states that PBC has exclusive jurisdiction and absolute discretion to grant, deny, terminate or revoke parole. The Parole Board of Canada relies on CSC to prepare reports and recommendations on the cases that come before PBC. CSC supervises offenders on parole or statutory release to ensure that they adhere to the conditions of release set by PBC.

## 2. *Rehabilitative and Supportive Partners*

The second category of partners - rehabilitative and supportive - include partners such as Community Residential Facilities, Non-Governmental Organizations and volunteers. In order to manage the needs of offenders supervised in the community, CSC has approximately 200 contracts with community residential services and partners with community organizations that provide accommodation, programs, and services that assist offenders with community reintegration and rehabilitation (CSC, 2010). As well, CSC has approximately 8,700 volunteers

who provide assistance and support to offenders in institutions preparing for release and also in the community upon release (CSC, 2012i)

“Community Residential Facilities (CRF) exist to promote the successful reintegration of offenders into the community and contribute to the management of risk by ensuring that suitable accommodation, support systems, and monitoring are in place to assist conditionally released individuals become law-abiding citizens” (CSC, 2012g).

Offenders on parole, statutory release, and those supervised under long-term supervision orders are monitored by Parole Officers in the community, with the assistance of community partners or “ non-governmental partners”. These partners support CSC in preparing offenders for their release to the community, monitor and supervise offender release conditions, and connect offenders with resources in the community in preparation for their warrant expiry. Under contract with CSC, non-governmental organizations such as the John Howard Society of Canada and the Canadian Association of Elizabeth Fry Societies, provide a variety of services to offenders in the community, including housing, programming and counselling, all of which are part of individualised community intervention strategies targeting the criminogenic needs of offenders in the community.

### 3. *Victims and Victim Services*

CSC currently manages the National Victim Services Program which seeks to provide victims of federal offenders with timely information about the offender who harmed them. The information that can be disclosed to victims is dictated in the *Corrections and Conditional Release Act* (CCRA). CSC’s NVSP is responsible for registering victims, providing victim notification, receiving victim statements, providing referrals and answering questions about CSC.

### *Innovations to Engagement*

CSC has a heightened focus on engaging with traditional partners in innovative and non-traditional methods. One such way is through a mechanism called social enterprise. CSC has engaged a number of external partners recently to share information and support the development of social enterprises and apprenticeship programs that would support employment opportunities for women on release (CSC, 2012). Social enterprises are business ventures operated by non-profit organizations, which sell goods or provide services for the purpose of creating a blended return on investments, both social and financial. Profits generated through the sale of their goods or services go back into the organization or the community. These enterprises have been used in a number of jurisdictions, most notably the United Kingdom. CSC's Women Offender Sector partnered with the Elizabeth Fry Society of Greater Vancouver to organize a skills-based workshop aimed at encouraging organizations to achieve social, cultural, community or environmental outcomes through the employment of federally sentenced women. The Elizabeth Fry Society of Greater Vancouver was a main proponent of this approach after the very successful creation of "Asphalt Gals", a social enterprise staffed by women offenders that recycles old asphalt shingles that would otherwise go to landfills, making the initiative green as well.

In this workshop, many organizations were brought together from across Canada where ideas were shared, where successful models of social enterprise were discussed, and training in the development and implementation of social enterprise was provided. As a result of these workshops, two social enterprise businesses have been approved for funding by HRSDC and two more are pending. Here is a brief description of the social enterprise business ideas that have received funding:

#### *License to Cook - St. Leonard's Place Peel*

Licence to Cook was formally established to meet the catering needs of the 70 bed Salvation Army Wilkinson Road Shelter in Peel. It was designed to provide offenders on parole with in-class skills development, on the job work experience and completion of Level 1

apprenticeship in either the cook or assistant cook trade. The participants receive training on first aid, safe food handling, smart service, workplace hazardous materials information system, customer service and service excellence. The project aims to improve employment, and reduce risk of homelessness for participants.

### *Stella Bury Community Services*

Stella Bury Community Services will provide comprehensive and individualized employment transition support to 80 women ex-offenders. The focus of these activities is on personalized pre-employment and certifiable apprenticeship training in the domains of cooking and carpentry. The target population for the training includes women ex-offenders at risk of homelessness, with the goal of reducing rates of recidivism, increase housing security, and enhance integration into the broader community.

## **Governance Structure**

Please refer to the Report of the Evaluation of CSC's Community Corrections, Chapter 1: Correctional Interventions (CSC, 2011d)<sup>4</sup> for a complete description of the governance structure guiding community corrections.

## **Structure of CSC Community Engagement Activities**

CSC's Citizen Engagement Division provides leadership across the Service for the implementation of stakeholder and community relations, including victims of crime. It increases the understanding of the federal correctional process and enhances partnerships with Canadian communities (CSC, 2012a). The Citizen Engagement Division is composed of two Units: the Community and Stakeholder Relations Unit and the Victim Services Unit (see Figure 1).

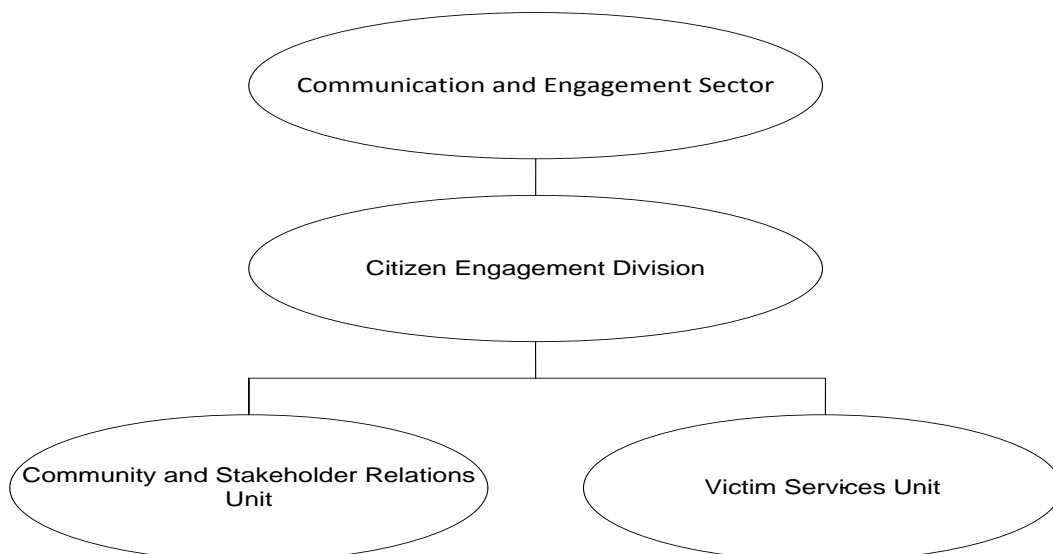
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<sup>4</sup> For the complete evaluation report on Chapter 1: Correctional Interventions, please refer to CSC's Website: <http://www.csc-scc.gc.ca/text/pa/ev-cci-fin/index-eng.shtml>

The Community and Stakeholder Relations Unit coordinates and manages initiatives directly involved in operations at the community level and facilitates the involvement of communities in correctional operations, programs and initiatives. It also provides strategic advice on consultation and outreach, manages outreach funding programs, works to create collaborative working relationships with non-governmental agencies and other government departments to enhance the engagement of citizens as partners. The Unit manages three Community and Stakeholder Relations portfolios: Citizen Advisory Committees, National Volunteer Program, and Community Forum Program (CSC, 2012a). The following Community and Stakeholder Relations portfolios fall under its mandate: Federation of Canadian Municipalities/CSC/PBC/PSC Canada Joint Committee on Community Corrections; the NAACJ; Canadian Criminal Justice Association; Outreach Fund; Exhibits Program; Speakers Bureau; Community Profiles; Consultation Support and Advice; and the Effective Corrections Fund (CSC, 2012a).

The Victim Services Unit manages the National Victim Services Program (CSC, 2010) and supports the provision of information services to victims. The Unit facilitates information sharing and networking between the regional Victim Advisory Committees.

**Figure 1: Organization Chart for Citizen Engagement Division**



## **Legislation and Policies**

Section 4 (b) of the CCRA (1992) mandates “that the Service enhances its effectiveness and openness through the timely exchange of relevant information with victims, offenders and other components of the criminal justice system and through communication about its correctional policies and programs to victims, offenders and the public.”

In order to engage community partners and stakeholders, CSC’s Citizen Engagement Division facilitates partner and stakeholder identification and involvement. Through its two units focusing on community relations, stakeholder relations, and victims services, CSC’s Citizen Engagement Division “provide[s] leadership across the Service for the implementation of stakeholder and community relations, including victims of crime, as well as to increase the understanding of the federal correctional process and enhance partnerships with Canadian communities” (CSC, 2011b). Through its internal website, the Citizen Engagement Division also provides staff with tools and guides<sup>5</sup> as well as links to on-line resources intended to support the engagement process. Furthermore, CSC’s Victim Services Unit is intended to offer leadership in: responding to victim concerns; supporting CSC operational objectives related to victims (resource and policy development to support information services provided to victims by regional units); conducting outreach to targeted groups; facilitating the involvement of victims in the correctional process; and assisting in the strengthening of CSC’s working relationships with government and non-government partners. The division’s main federal partners are: Federal Ombudsman for Victims of Crime; National Office for Victims; National Parole Board; Royal Canadian Mounted Police; Policy Centre for Victim Issues.

In addition, CSC has processes intended primarily to enhance the overall engagement of existing partners and stakeholders and, through the course of their primary function, to engage new partners and stakeholders. These processes include but are not limited to: the CSC website; the Outreach Fund; the Community Forum Program (CFP); CSC’s volunteer portal (CSC, 2011j); CSC’s Victims Services portal ; Aboriginal Community Liaison Officers (ACLOs);

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<sup>5</sup> This includes CSC’s Consultation Toolkit, which will be discussed later in this section of the evaluation

Community Corrections Liaison Officers (CCLOs)<sup>6</sup> ; Citizen’s Advisory Committees (CACs)<sup>7</sup> ; National Aboriginal Advisory Committees (NAAC)<sup>8</sup> ; and the National Ethnocultural Advisory Committee (NEAC)<sup>9</sup> .

**Financial Resources**

Table 1: Financial Resources Related to Sub-Program Activity: Community Engagement presents financial resources allocated to community and citizen engagement, victims, volunteers, and lifeline. In FY2010-2011, the identified expenditures related to community engagement constituted 0.31% of the total annual financial resources at CSC, and 0.37% of CSC’s direct program spending.

**Table 1: Financial Resources Related to Sub-Program Activity: Community Engagement**

Community Engagement	Financial Expenditures		
	FY2008-2009	FY 2009-2010	FY 2010-2011
	Expenditure	Expenditure	Expenditure
Community Engagement Management	\$790,061	\$752,459	\$866,813
Citizen Engagement	\$2,457,936	\$2,585,340	\$2,209,218
Victims	\$2,973,461	\$2,821,078	\$2,996,825
Volunteers	\$796,664	\$787,654	\$928,550
Lifeline	\$413,869	\$454,336	\$371,906
<b>Total (\$)</b>	<b>\$7,431,991</b>	<b>\$7,400,867</b>	<b>\$7,372,313</b>

*Source:* CSC’s Integrated Financial & Material Management System on October 23<sup>rd</sup>, 2012

*Note:* Expenditures presented exclude the Employee Benefit Plan (EBP)

<sup>6</sup> Although CCLOs work with POs in the community to manage higher risk offenders and liaise with and assist law enforcement agencies to apprehend dangerous offenders who are unlawfully at large (CSC, 2009c), the role of CCLOs is not explicitly aimed at engaging new partners or stakeholders.

<sup>7</sup> Information regarding Citizens Advisory Committees is provided to CSC staff through CSC’s public website (<http://www.csc-scc.gc.ca/text/cac/index-eng.shtml>).

<sup>8</sup> More information on the NAAC can be found in Appendix B

<sup>9</sup> More information on the NEAC can be found in Appendix C



## **Evaluation Method**

The present evaluation used data provided by the Citizen Engagement Division, information gathered through focus groups and online questionnaires with CSC staff, community partners and stakeholders, and incorporated a database and document review.

### **Sources of Community Engagement Activities Data**

Community Engagement outcome and performance data from April 1, 2010 to March 31, 2011 were provided by the Communications and Engagement Sector, outlining community engagement activities within this time period. However, longitudinal/trend data for these indicators were not available, limiting the ability of the evaluation to monitor community engagement activities over time.

### **Sources of Financial Data**

The key data source of financial information was drawn from CSC's Integrated Financial & Material Management System (IFMMS).

### **Sources of Qualitative Data**

For the purpose of this evaluation, feedback was obtained through focus group discussions, online questionnaires, as well as through document reviews. Detailed information on each of these information sources is provided below.

#### **Focus Group Discussions**

Content analysis was performed on the results obtained from the focus groups.

### ***CSC Staff***

Focus group sessions were conducted with CSC staff involved in offender pre-release planning in the institution and those who were responsible for managing and supervising offenders in the community. A total of 279 CSC staff<sup>10</sup> participated in the focus groups. Approximately 50% of staff worked primarily in the institution, 45% worked primarily in the community, and 5% of staff indicated that they worked in both settings (please refer to Chapter 1 for further information on CSC staff representation in these focus group sessions).

### ***Community Partners and Stakeholders***

Focus groups were conducted with individuals from community correctional partner organizations and stakeholders across Canada representing several CSC program activities, such as: employment, community residential services, mental health services, Aboriginal programs, and healing centres, and responding to the needs of women, older offenders, offenders with mental disorders, Aboriginal offenders, sex offenders and violent offenders (see Table D2 in Appendix D). Eleven focus group sessions were conducted with a total of 79 participants; representing over 40 partner organizations (please refer to Chapter 1 for further information on participant representation in these focus group sessions).

### **Online Questionnaires**

Online questionnaires for CSC staff and community partners were created using SNAP survey software. Questions were designed to provide insight into specific evaluation questions and were comprised of a combination of closed and open-ended questions. Closed-ended questions consisted of 5 point likert scales, dichotomous and categorical items. Questionnaire responses were analyzed using descriptive analysis techniques. A thematic analysis was conducted for each open-ended question and general themes are presented in the text.

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<sup>10</sup> Staff who participated in the focus groups completed a brief demographic questionnaire. Respondent results are calculated out of the total number of valid responses.

### *CSC Staff*

CSC staff were asked to complete two online questionnaires as part of the current evaluation. The first questionnaire (launched in November 2011) focused on information sharing between CSC staff and community partners, while the second (launched in February 2012) focused on information sharing and community engagement activities. A total of 530 CSC staff responded to the first questionnaire and 256 CSC staff responded to the second questionnaire. The majority of staff respondents worked in the community (please refer to Table D2 and Table D3 in Appendix D).

### *Community Partners and Stakeholders*

A questionnaire was administered to community partners and stakeholders yielding 191 respondents. There was a series of questions developed specifically for three main key informant groups: 1) Parole Board of Canada Board members, (2) CAC members, and (3) questions specific to CSC stakeholders (please refer to Table 2 below). The community partner respondents comprised a range of agencies, including those that supported the needs of women, older offenders, offenders with mental disorders, Aboriginal offenders, sex offenders and violent offenders (refer to Table D1 in Appendix D)

**Table 2: Partner/Stakeholder Questionnaire Participants by Organization Type**

Community Partners and Stakeholders ( <i>n</i> = 191)	%	n
Citizen Advisory Committee Members	33%	63
Community Residential Facility Staff <sup>a</sup>	32%	61
Parole Board of Canada Board Members	11%	21
Other Organizations <sup>b</sup>	24%	46

Note <sup>a</sup>: Community Residential Facility staff included organizations such as John Howard Society, Elizabeth Fry Society, St. Leonard's Society and the Salvation Army.

Note <sup>b</sup>: Other organizations included Aboriginal Organizations, mental health services, addiction/treatment centres, religious organizations, the national Volunteer Association and Regional Halfway House Associations

## **Document Review**

In the process of conducting the evaluation, many government reports and policies were reviewed including, but not limited to: previous CSC audits, research, investigation and evaluation reports; CSC staff safety training modules; documents related to CSC's Transformation Agenda; the General Social Survey and the Speech from the Throne. In addition, an environmental scan focusing on existing community engagement activities that support offender reintegration in Canada, as well as internationally, was conducted.

## **Limitations**

The following limitations were encountered over the course of this evaluation and a brief description of how the limitations were mitigated is also included.

### **Lack of Quantitative Data**

Unlike previous chapters of the Community Corrections evaluation, the nature of the Community Engagement evaluation did not lend itself to CSC's Offender Management System (OMS) data analysis. Some quantitative data regarding community engagement activities are collected by the Citizen Engagement Division (e.g., number of CSC stakeholders, number of victim registrations, number of formal communications with external stakeholders, etc.); however, these data are inconsistently collected. As such, it was not possible to examine trends in community engagement activities over time or examine the direct impacts of community engagement activities on public awareness and attitudes. To compensate for this lack of data, a number of different lines of evidence were employed in order to obtain the information required to answer the evaluation questions, including questionnaire data, a documentation review and the use of what quantitative data do exist (i.e., number of registered victims, number of CSC registered stakeholders, etc.) regarding the nature and numbers of community engagement interactions by CSC.

Additionally, as previous research has also discovered, it is extremely difficult to identify and measure all activities and resources that are or could be relevant to the process and outcomes of community engagement activities (Carr-Hill and Street, 2008). As such, cost effectiveness analyses were not conducted as part of the current evaluation.

### **Focus Group Data**

Focus groups with CSC staff, partners, and stakeholders were conducted prior to the commencement of Chapter 3 in anticipation of using these data to inform all chapters of the evaluation. As a result, it is important to note that the focus groups were not designed explicitly to answer all questions in Chapter 3. The online questionnaires were subsequently developed for CSC staff and Community Partners/Stakeholders to directly answer evaluation questions in the Chapter 3 matrix. Throughout the report, results from the focus group discussions were used to contextualize and interpret questionnaire results.

## Key Findings

### Evaluation Objective 1: Relevance

*Relevance is assessed through three issues:*

*1) Continued Need for the Program: Assessment of the extent to which the program continues to address a demonstrable need and is responsive to the needs of Canadians.*

*2) Alignment with Government Priorities: Assessment of the linkages between program objectives and (i) federal government priorities and/or (ii) departmental strategic outcomes.*

*3) Alignment with Federal Roles and Responsibilities: Assessment of the role and responsibilities for the federal government in delivering the program.*

**FINDING 1: CSC's community engagement activities are aligned with federal legislation and Government of Canada priorities. The roles of the federal government and community partners are clearly defined.**

The importance of CSC engaging citizens and communities has been of interest for decades and the role of enhanced community involvement was underscored (The MacGuigan Report to Parliament, 1977). The Government of Canada has identified community safety as a Government priority, desiring to “make Canada the best place for families” by protecting the safety of Canadian families and communities through an increased focus on dealing with crime and offenders in order to ensure safe neighbourhoods and communities (Government of Canada's Speech from the Throne, 2010).

Through the Transformation Agenda, CSC's Review Panel also placed particular focus on enhancing CSC's capacity to engage community partners, indicating that an investment in long-term community capacity is required to assist reintegration efforts, and ultimately to enhance public safety which is certainly responsive to the needs of Canadians (CSC, 2010d). One of the key recommendations made by the Panel was the need to focus on building economic opportunities for Aboriginal community-based enterprises that support concrete employment opportunities for Aboriginal offenders (CSC, 2010d).

CSC's core legislation and policies, ranging from the CCRA, CSC's mission, and internal policies, (CSC, 2003; CSC, 1997; CSC, 2012d) guide the engagement of communities and citizens in the correctional system. The CCRA (1992) requires that CSC ensure the safe reintegration of offenders into the community upon release and that the principles that shall guide the Service in achieving the maintenance of a just, peaceful and safe society include the involvement of members of the public in matters relating to the operations of the Service. The CCRA also provides specific requirements for CSC to engage Aboriginal communities, ensuring that "all committees shall consult regularly with Aboriginal communities and other appropriate persons with knowledge of aboriginal matters". The majority (over 60%) of CSC staff and community partners who participated in questionnaires agreed that CSC has clear policies in place to engage communities and that the roles of CSC and partners/stakeholders were clearly defined.

## **Evaluation Objective 2: Performance**

The performance section of Theme 5: Community engagement results will be reported generally and then broken down into the three groups previously discussed: (1) Legislated Partners; (2) Supportive and Rehabilitative Partners; and (3) Victims. For the purpose of this evaluation, the views of community partners are presented in aggregated format. However, where possible, for questions pertaining to specific groups of partners (i.e., Legislated Partners, Supportive and Rehabilitative Partners, or Victims) results will be presented separately.

One of CSC's departmental priorities is to strengthen strategic partnerships with various partners and stakeholders including non-governmental organizations, police agencies, Regional Halfway House Associations (RHHA), volunteers, Citizen Advisory Committees (CACs), and joint corrections working groups. In essence, CSC is committed to engaging community partners and stakeholders and working collaboratively with organizations and communities to enhance public safety. The following section discusses engagement activities with community partners and stakeholders while subsequent sections will provide further details on the specific activities offered by each group.

**FINDING 2: Community partners contribute to the safe reintegration of offenders into the community through the provision of community programs, services and housing. Partners ensure a transparent criminal justice system and promote public awareness of correctional activities.**

### **Engagement and Involvement of Community Partners**

As documented in the background section of this report, CSC has a number of community and other government partners and volunteers that aid in achieving CSCs overall mandate. For example, community organizations provide housing, programs and counselling to offenders. CSCs public safety partners assist in the supervision and imposition of conditions to assist with successful offender reintegration. CACs and volunteers also serve numerous roles that ultimately assist offenders in community reintegration. Chapters 1 and 2 of the Community



Corrections Evaluation have identified gaps in community services, namely the availability of non-CSC programs, and the availability of bed spaces in the community for particular groups of offenders. These gaps in community services have a direct impact on offender release destination, and CSC's ability to use alternatives to suspension to manage levels of escalating risk in the community. Furthermore, CSC's ability to ensure a broad range of specialized services to offenders in the community is reliant on partnerships with community organizations. For these reasons, engaging new partners and sustaining relationships with community partners continues to remain a departmental priority for CSC.

### ***CSC's screening and orientation of new partners***

Prior to working in collaboration with new partners, CSC engages individuals and organizations at a broad level to establish mutually beneficial goals. The majority of community partners surveyed agreed that CSC has effective processes established to screen (65%) and to offer orientation sessions to community partners/stakeholders (60%).

### ***Best Practices and Identified Gaps for Working with Community Partners***

The following sections will examine best practices for engaging and working in collaboration with community partners as well as identify some of the gaps in community engagement activities, which were identified by CSC staff and community partners. The views presented in the following sections are in aggregated format to represent the overall views of community partners in general (i.e., key informants from non-government organizations, public safety partners, advisory committees and volunteers).

**FINDING 3: Staff and partners identified a need to increase the focus and funding of in-reach activities, early collaboration and communication in release planning in order to ensure adequate preparation of community resources for offenders upon release, and improve the transition of offenders into the community.**

The transition period from the institution to the community is a critical period for offenders. The majority of community partners in focus groups stressed the importance of early collaboration and release planning for offenders' successful transition to the community, indicating that timely referrals and collaboration with CSC are essential components of strong working relationships. These relationships assist the transition process by ensuring that supports are available to offenders upon release to the community. They also reported that early collaboration provides them with the opportunity to adapt their approach in working with offenders in order to adequately respond to the needs of individual offenders (e.g. providing culture-specific services for Aboriginal offenders such as Elders). Some community partners noted that provincial correctional organizations provide funding to their partner organizations which allows them to have regularly scheduled visits to provincial facilities. However, partners identified that it is sometimes difficult for them to access funding from CSC to engage in these activities, hindering partner abilities to conduct in-reach activities at federal facilities. Some partners noted that they would like to ensure community services are available and prepared for offenders in advance of their release – while they are in custody. Given that in-reach services and community programming are resource intensive, with insufficient funding allocation, partners noted they often need to cut other activities in order to provide in-reach services and community programming. While resources and funding are not limitless, it is important to note that additional funds from CSC would make resources available to do more in-reach work and enhanced community programming. In-reach activities and services are important to ensuring that resources can be put in place prior to an offender's release, and partners noted that CSC may begin to lose important community partners if the funding problem is not resolved.

According to some CSC institutional staff who participated in focus groups, release planning and relationship building efforts can also be stymied by the amount and types of

information that can be shared due to privacy laws<sup>11</sup>, access to information practices, and Parole Officer caseload and administrative obligations. Nevertheless, some CSC institutional and community staff indicated the need to establish relationships and build rapport with community partners. Community partners acknowledged that barriers and processes imposed by CSC (e.g., information sharing, access to institutions) sometimes make it difficult for them to provide assistance to offenders. Partners suggested that information fairs and other similar events within institutions and regular in-person meetings between Institutional Parole Officers and residential facility staff could improve this communication pertaining to release planning and in-reach activities.

Additionally, improved communication between Institutional Parole Officers and Community Parole Officers, and between CSC staff and community partners, was identified as necessary to improve the successful release of offenders into the community. Enhancements to communication are imperative to ensuring a greater continuity of care, increase pre-release planning, and more efficient distribution and use of resources. CSC staff in focus groups noted that increased opportunities to build relationships between Institutional Parole Officers and Community Parole Officers aid collaborative efforts. However, workload issues and fewer professional development opportunities limits opportunities for institutional and community staff to develop personal relationships. Community partners noted the importance of having improved communication between Community and Institutional Parole Officers for sharing information, as this impacts how partners can help offenders.

In terms of communication between CSC Parole Officers and community partners, partners stressed the importance of Parole Officers forming relationships with community service providers and noted that this needs to start before release. While some community partners in focus groups noted that cooperation with Parole Officers has improved, they noted that it often occurs on a case by case basis. Some partners noted in focus groups there is not enough dialogue between Parole Officers and service providers, and that there is a need to increase collaboration and build rapport between CSC and partners.

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<sup>11</sup> See section Policy Regarding Information Sharing with Community Partners for further details.

Additionally, partners noted that institutional staff are often not aware of the services available through community partners in the community creating a potential barrier to accessing community partner resources. Similarly, CSC staff in focus groups noted that improved collaboration and relationship building between CSC community staff members and community partners is important in offender release, specifically to make appropriate referrals. They suggested that access to and funding for more resources to learn about what is available in the community (including training and workshops and updated resource banks) would be helpful in making appropriate referrals.

**FINDING 4: The importance of sustaining these relationships with community partners was underscored. Open lines of communication and reciprocal relationships have been identified as a best practice in sustaining relationships with community partners.**

**SUMMARY FINDING 1: Community partners contribute to the safe reintegration of offenders into the community through the provision of community programs, services and housing. However, gaps exist in the extent to which CSC collaborates with its partners. Although there are several strategies in place that involve community engagement, CSC does not have a unified approach to engaging its current partners or a plan to expand this engagement to fully support CSC's sixth priority of having productive relationships with increasingly diverse partners.**

CSC engages non-governmental organizations, public safety partners, volunteers and advisory committees to work toward the common goals of public safety and the safe reintegration of offenders into the community. With the majority of offenders being eventually released back into the community, (CSC, 2009a) (90%), it is imperative for CSC not only to establish positive and reciprocal relationships with Canadian communities, partners and stakeholders, but to sustain these relationships.

In order to examine the effectiveness of the processes and strategies developed by CSC to establish additional partnerships, CSC staff and community partners surveyed were asked to what extent CSC has effective processes established to identify new partners/stakeholders, and to establish new partnerships and stakeholder relationships. As presented in Table D4 in Appendix D, less than half of CSC staff (40% and 45%, respectively) and approximately half of

community partners (52% identify and 49%, establish respectively) agreed that CSC has effective processes and strategies in place to establish new partnerships. Those staff who disagreed (19% and 16%, respectively), indicated that CSC could refine the processes used to identify new partners and stakeholders (33%), that the provision of additional resources (human/financial) are needed to effectively identify and establish new partnerships (28%), and that it was necessary for CSC to have skilled, full-time employees dedicated to establishing new partnerships (38%). Of the community partners who disagreed that clear processes are in place for establishing new relationships (12%), 45% indicated that CSC should work more with existing partners/stakeholders in order to establish new partnerships while another 45% indicated a need to expedite the investigation process for new partners, approach additional partners, and engage their interest in working with CSC. CSC staff identified that there was a need to have skilled and full-time employees in place to effectively establish new partnerships (39%), while 31% identified a need for openness and an attitude of cooperation with community service providers.

CSC staff and supervisors in focus groups noted that there is a need for CSC to maintain and sustain existing partnerships before establishing new ones. CSC staff and community partners surveyed were asked to identify best practices for engaging or sustaining relationships between CSC and community partners/stakeholders for offenders in general, and also for women offenders, Aboriginal offenders, and offenders with mental disorders. Overall suggestions included increased communication and regular meetings between CSC and partners/stakeholders and also the development of mutually beneficial objectives were necessary in the process of fostering and sustaining relationships between CSC and its community partners.

As indicated in the background section of this report, CSC has several strategies in place that have community engagement components within them. However, CSC does not currently have an integrated, systematic approach to establishing new partnerships. Only approximately half of CSC staff and community partners alike noted that CSC has effective strategies in place for establishing new partnerships. In support of CSC's sixth priority of fostering 'productive relationships with increasingly diverse partners', more emphasis should be placed on ensuring these future partnerships. Moving toward an integrated engagement strategy will assist CSC and

its partners to be better positioned to build and maintain strong, collaborative relationships to achieve results for the Canadian public, community partners, and CSC.

**FINDING 5: Quantity and quality of programs and services offered to offenders in the community is partially reliant on funds received from CSC. Instability in funding was noted as contributing to inconsistencies and gaps in community programming and services**

Through focus group discussions, some community partners reported a need for CSC to improve partnership practices by placing more value on the work of community partners, sustaining existing relationships, working on steering committees together, increasing funding, putting more formal agreements into place, and improving recognition of community partners' programs. It was reported that CSC places too much emphasis on the cost of services and expects more from the partners while cutting back on funds.

Some community partners in focus groups also indicated a need for increased stability in funding since partner agencies are reliant on funding. If funding is not acquired, they can no longer provide service. Some partners noted that they have difficulty attracting and retaining staff because they have difficulty offering the competitive salaries and benefits in comparison to the federal government.

**FINDING 6: The majority of partners are satisfied with the degree of collaboration between CSC and their organization. However, CSC staff and community partners reported a low frequency of consultation regarding the direction, development, or maintenance of CSC policies, strategies, horizontal initiatives, and other policy/strategy activities.**

Approximately 63% of staff and 66% of partners surveyed agreed that they were satisfied with the degree of collaboration between CSC and community partners. However, satisfaction with the level of consultation was lower. CSC consults with stakeholders and partners to understand how to better meet the reintegration needs of offenders. As such, satisfaction with the frequency of consultation between CSC and community partners with respect to the direction and development of CSC policies, strategies, horizontal initiatives, and other related policy/strategy activities was examined. The majority of CSC staff (range 60-86%) and

community partners (range 61-76%) surveyed stated that community partners are never to occasionally consulted regarding government horizontal initiatives and other related policy and strategy activities. CSC staff and community partners were asked about consultation regarding governmental horizontal initiatives. CSC staff and community partners reported that partners are never or rarely consulted with regards to the direction, development, or maintenance of CSC strategies for women (56% and 54%, respectively) or Aboriginal offenders (38% and 47%, respectively; see Tables D5 and Table D6 Appendix D for additional details).

### **Information Sharing between CSC and Community Partners**

**FINDING 7: Information sharing between CSC and partners/stakeholders is guided by policy and CSC staff and community partners indicated that these policies and procedures were clear. However, limitations exist in the types of information that can be shared due to policy; this can impact the ability of community partners to respond to the needs of offenders.**

#### *Policy regarding information sharing with community partners*

Information sharing between CSC and its partners/stakeholders is guided by the *Corrections and Conditional Release Act* (CCRA), the *Corrections and Conditional Release Regulations* (CCRR), the Canadian Charter of Rights and Freedoms, the Privacy Act, the Access to Information Act and CSC's Commissioners Directives (CDs). The CCRA provides the legal framework for the sharing of information between CSC and its partners and stakeholders, and between CSC and the Parole Board of Canada. In accordance with the CCRA, "CSC should enhance its effectiveness and openness through the timely exchange of relevant information with victims, offenders and other components of the criminal justice system and through communication about its correctional policies and programs to victims, offenders and the public" with the purpose of providing information that is "relevant to release decision-making or to the supervision or surveillance of offenders" (s.4b and s.25, CCRA, 1992). According to *CD 701: Information Sharing*, the objective of information sharing is "to assist in the protection of the public and the safe reintegration of offenders by ensuring that all relevant information is received from and/or shared with the appropriate individuals or groups".

In accordance with the Privacy Act , “Personal information under the control of a government institution shall not, without the consent of the individual to whom it relates, be disclosed by the institution except for the purpose of its original collection and where it is subject to another Act of Parliament” (Department of Justice, 1985). According to *CD 701: Information Sharing*, section 11 (f) “Only relevant personal information sufficient to meet the requirements of the CCRA needs to be disclosed (CSC, 2012c). As a result of the parameters of these policies, certain offender information, including mental health records, may not be shared with partners/stakeholders and this creates barriers to responding to offender needs. The Guidelines for Sharing Personal Health Information (CSC, 2012e), affords some flexibility in the release of offender health information stating that information sharing must be decided on a case-by-case basis. However, general health information may be shared among staff where there is a ‘need to know’ and where the information is being used for the purpose of which it was originally obtained (CSC, 2012e). Offender information is protected through CSC’s Information Management Services Division, which implemented an access protocol to ensure that the necessary exchanges of information, including facilitating the sharing of information between CSC and external partners, are performed in accordance with the Privacy Act, Access to Information Act, and the CCRA.

Of the CSC staff and community partners surveyed, the majority indicated that the processes and procedures for sharing information with community partners are clear (55% and 69% respectively).

### ***Resources to share information between CSC and community partners***

CSC provides a number of tools and strategies intended to help facilitate information sharing between CSC and partners. While many of these tools and strategies are not specifically designed for service providers, they are available to partners and stakeholders who are involved in community corrections operations. The electronic tools include non-publicly accessible electronic information and publicly accessible information.



Non-publicly accessible electronic information include CSC's Offender Management System (OMS), a computer based application developed for CSC and PBC to compile, store and retrieve offender-related information. CSC provides some partners with varying levels of access to OMS<sup>12</sup> through virtual private networks (CSC, 2011g). Another non-publicly accessible portal is InfoPol, a web-based application that allows CSC to share offender information that is relevant to supervision or surveillance with police services in order to assist them during investigations<sup>13</sup>. CSC currently supports access for InfoPol to over 4,700 police users. (CSC, 2012f).

Publicly accessible information for partners and stakeholders on CSC's Website include facility directories and internet sites for volunteers and victims. CSC has posted a list with contact information of all the penitentiaries, parole offices, Community Correctional Centers and Community Residential Centers. CSC's internet site also contains information on CSC's volunteer activities, including an overview of the role volunteers play in the correctional process; the types of programs and services in which volunteers participate; the process involved in becoming a volunteer and, a number of volunteer resources which includes a volunteer reference handbook, as well as links to the websites of other volunteer organizations (CSC, 2007).

In general, CSC staff surveyed indicated that information is shared between CSC and community partners in a manner that promotes efficient use of time and resources for all of the following: incidents, program reports, risk assessments, community assessments, correctional plan updates, community strategy, residency requirements, discretionary release requirements, and pre-release planning information (range 67%-76%). However, community partners reported lower rates of agreement that information sharing was performed in a manner that promotes an efficient use of time and resources, with level of agreement ranging between 35% and 45% for most sources of information. For further detail please refer to D7 in Appendix D.

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<sup>12</sup> The full OMS menu (unrestricted is used within CSC institutions and community parole offices. Contract Supervision menu is used by CSC's Community Residential Facility (CRF) partners. The Provincial Access II menu is used by CSC's provincial corrections partners. The Immigration menu is used by CSC's Canada Border Services (CBSA) partners. Access to OMS can also be granted on a case assignment basis for each menu option (with the exception of the full OMS menu) where users are allowed to view only specific offender files for specified timeframes and can be modified to have read only or read/write access

<sup>13</sup> InfoPol is implemented in accordance with Section 25 of the *CCRA*

### ***Identified Gaps and Suggestions to Improve Information Sharing between CSC and Community Partners***

According to community partners in focus group discussions, information sharing between CSC and partners is important to ensuring that offenders have timely access to required services in the community. Despite this, some partners reported that there are significant barriers to, and gaps in, communication; particularly between CSC staff and community partners. Partners noted that currently the consent to disclose information procedure itself presents a barrier as often the information that is shared is limited or not timely for fear of violating information-sharing and privacy policies. These barriers result in a lack of timely and detailed information shared by CSC institutional staff with partners, particularly regarding mental health records and treatment records, and result in slower and less effective responses in addressing offender needs.

CSC staff were surveyed and asked whether they had any suggestions to enhance offender information sharing with community partners. Half of CSC staff (48%) suggested changes to the way CSC shares offender information with community partners including: improving technological lines of communication such as access to OMS and the use of video conferencing (41%); changing and improving information sharing policies and processes (24%); and increasing physical contact with communities through meetings with community partners. Of the community partners surveyed who indicated changes that could be made to the way CSC shares information with their organizations, one-in-five (20%) stated that CSC needs to be more proactive in the sharing of information (i.e. informants reported hearing about incidents through casual conversation rather than formal means) and they also indicated a need for a better process for consultation and feedback<sup>14</sup>.

### ***Best Practices and Identified Gaps Working with Specific Groups of Community Partners***

The following sections will present the individual views of Legislated Partners in a disaggregated format.

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<sup>14</sup> Due to small sample sizes other responses are not reportable.

### *1. Legislated and Policy Directed Partners*

As discussed in the background section, CSC's legislated and policy directed partners consists of CACs, PBC and internal committees such as the NAAC and NEAC. This section will report results from CACs and PBC but there is insufficient information to report on NAAC and NEAC.

#### Citizen Advisory Committees

**FINDING 8: CAC members are engaged in a wide variety of activities that assist CSC by contributing to the correctional process, notably through interacting with CSC staff, the public and offenders, and providing impartial advice and recommendations to CSC.**

Section 4 (f) of the CCRA (1992) mandates "that the Service facilitate the involvement of members of the public in matters relating to the operations of the Service." This involvement can take the form of volunteer participation generally or through participation in a CAC which promotes and facilitates the involvement of community members into operations of CSC (CSC, 2003; CSC, 1997). As outlined in Commissioner's Directive 23: Citizen Advisory Committees, the roles and responsibilities of CACs are:

- Advisors: who provide impartial advice to CSC managers on the operation of correctional facilities and their impact on surrounding communities;
- Observers: who provide impartial feedback on the day-to-day activities and operations of CSC;
- Liaisons: who liaise with CSC staff and offenders and their representatives, other organizations including criminal justice and advocacy groups and the community to address correctional issues; and,
- Supporter: who educate the public about CSC, address public concerns, and build community support for the correctional process.

There are currently close to 500 citizens involved in approximately 100 CACs across Canada (CSC, 2009d). The voluntary members of CACs represent various social, cultural, and demographic backgrounds and occupations, and usually reside in proximity to CSC operational unit for which the committee serves (CSC, 2009b). Members are volunteers who are appointed for a period of two years, by the director, warden or parole representative of the CSC. Members of CACs “believe in the rights of all citizens to become informed participants in the correctional process, contributing to the quality of the process and of the decisions made within it” (CSC, 2011h).

#### *Collaboration/Consultation between CSC and CACs*

The role of CACs is critical in assisting CSC to operate with openness and integrity (CSC, 2010b). CACs operate in most CSC facilities and provide advice to the sites regarding their activities (CSC, 2010b). CACs provide feedback to CSC on the implementation of some of the recommendations from the Review Panel Report (CSC, 2010b) and are regularly consulted on various subject areas including: the parole process and reintegration of offenders; dangerous offenders and long-term supervision orders; the role of Victim Services; and, offenders with mental disorders (CSC, 2010b).

CAC members provide CSC with invaluable assistance by contributing positively to the correctional process by interacting with CSC staff, the public and offenders, and providing impartial advice and recommendations to CSC. The three most commonly reported activities of CAC members were: “having regular meetings and discussions with CSC managers and staff” (75%); “regular visits to CSC facilities and programs” (55%); and, “being well informed on the correctional process and other components of the criminal justice system” (51%). For additional detail on CAC activities, including comparisons of these activities between 2004 to 2012, please refer to Table D10 in Appendix D (Citizen Advisory Committee Activities).

## Parole Board of Canada

The Parole Board of Canada, as part of the criminal justice system, makes independent conditional release and record suspension decisions. The Board contributes to the protection of society by facilitating the timely reintegration of offenders as law-abiding citizens” (PBC, 2012).

### *Information Sharing between CSC and PBC*

To gain an understanding of how different types of information are shared between the two organizations, CSC staff and PBC Board Members surveyed were asked about the efficient use of time and resources used to share information. Generally, CSC staff (range 84%-94%) and PBC Board Members (range 64%-88%) agreed that offender information is shared between CSC and PBC Board members in an efficient manner (see Table D9 Appendix D). When CSC staff asked about the ways in which CSC could improve information sharing between PBC, suggestions included streamlining the information sharing process with PBC (i.e. paperless information sharing, address technological limitations) and providing Parole Officers with more support (such as a staff member who is responsible for gathering and sharing information).

### ***2. Supportive and Rehabilitative Partners***

Community Residential Facilities (CRF) are the only supportive and rehabilitative partners that the current evaluation collected sufficient data upon which to present results separately. CRFs “exist to promote the successful reintegration of offenders into the community and contribute to the management of risk by ensuring that suitable accommodation, support systems, and monitoring are in place to assist conditionally released individuals become law-abiding citizens” (CSC, 2012g). The majority of CRFs are operated by non-profit organizations and work under contract with CSC. CRFs are governed by a set of minimum standards that have been established by CSC to promote consistence of operation across the country and to ensure critical security features. The Parole Board of Canada may require an offender to reside in a CRF in order to facilitate the successful reintegration of the offender into society and to protect society from undue risk.

**FINDING 9: CSC and CRF staff reported regular consultation and reported that information sharing is done in an efficient manner. However, improvements could be made by consulting with CRF staff earlier in the release planning phase and enhanced use of OMS by CRF staff.**

Almost three-quarters of CSC staff (74%) and CRF staff (70%) surveyed agreed that clear processes and procedures exist for information sharing between CSC and CRFs. Both, CSC and CRF staff reported high levels of information sharing through OMS, however, a need for additional face-to-face meetings as a means of information sharing between CSC and CRFs was highlighted through focus group discussions with CSC staff and community partners.

The vast majority of CSC staff (ranging from 83%-88%) and CRF staff (range 62%-90%) surveyed reported that offender information is shared between CSC and CRFs in a manner that promotes efficient use of time and resources (see Table D8 in Appendix D).

CSC staff and CRF staff were surveyed and asked whether they agreed that CRF staff are consulted by case management teams on a regular basis. The vast majority of CSC staff (86%) and CRF staff (80%) both agreed that CRF staff are consulted by case management teams on a regular basis. Considering that CRF staff see offenders more than Parole Officers do, CSC staff who participated in focus groups reported that offenders often present to the Parole Officer what they want them to see, whereas the community partners are more likely to see the “true picture.”

Partners in focus group discussions pointed out that a gap in the information sharing process between CSC and CRFs pertained to the fact that halfway house staff are often not invited to be part of the case management team preparation with CSC staff or meet with the institutional Parole Officer prior to release. Partners stressed frustration pertaining to this and indicated that they feel they have limited input in the development of offenders release plans. Partners indicated that increased communication between institutional staff and community partners as well as increased institutional visits could facilitate better release plans for offenders.

CSC staff were surveyed and asked whether they had any suggestions to enhance offender information sharing with CRF staff. The most frequent suggestion made by CSC staff

for enhancing information sharing with CRF staff was improvements in and access to information sharing technology (such as OMS) for CRF staff (30%); and the most frequent suggestion made by partners surveyed was that the communication needs to be improved through the use of consistent case conferences<sup>15</sup>.

*Gaps in collaboration and engagement between CSC and CRFs*

**FINDING 10: CRF staff noted gaps in their ability to provide sufficient facilities and services to offenders with specific needs, such as those with mental health issues, women offenders with children, and older offenders with physical health needs.**

CSC staff who participated in focus groups, highlighted the importance of ensuring offenders have access to adequate housing. Halfway houses were identified as a successful area of collaboration between CSC and the community. However, staff and supervisors noted that more funding is needed to provide sufficient residential facilities to support offenders with specific needs such as those with mental health issues, women offenders with children, and older offenders with physical health needs. Similarly, less than half of CRF staff surveyed felt that CSC's residency options were adequate for, older offenders (47%), offenders with mental disorders (43%) and women offenders with children (36%)

**3. Victims**

**FINDING 11: Legislation and policies exist regarding collaboration and information sharing with victims, and victims are largely pleased with victim services. Additionally, pilot projects attempting to better integrate victim services across government departments have been initiated.**

The rights of victims in the justice system have become more prominent over the last 30 years and this includes the right to obtain information concerning the offender of the crime of which they are a victim (Statistics Canada, 2009). CSC is mandated by law to provide services to

<sup>15</sup> Due to small sample sizes other responses are not reportable

victims of crime (CCRA, 1992, s. 26). Section 26 of the CCRA outlines CSC's responsibility to disclose, upon request by a victim, specific information about the offender (i.e., the offender's name, the offence for which the offender was convicted, length of the sentence that the offender is serving, and eligibility and review dates with respect to temporary absences or parole)<sup>16</sup> According to *Commissioner's Directive 784: Information Sharing between victims and the Correctional Service of Canada* (CSC,2010c), victims of crime are entitled to receive information concerning the offender responsible for the crime committed against them if they meet the definition of "victim" set out in the CCRA<sup>17</sup>, and register as a victim with CSC and PBC (CSC, 2010c). Bill C-10, the Safe Streets and Communities Act, came into force in June 2012 and made changes to a number of laws, including the CCRA to increase the accountability of federal offenders and tighten the rules governing conditional release, while promoting the interests and the role of victims in the correctional process. Changes to the CCRA include a broadening of the definition of who is a victim; an increase in the types of information that CSC and PBC may share with registered victims; and the establishment of the right for a victim to make a statement at parole hearings.

As of March 31, 2011, there were 6,940 registered victims who have registered with CSC (CSC, 2011c).

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<sup>16</sup> CD 784: *Information Sharing between Victims and the Correctional Service of Canada*

<sup>17</sup> "victim" means a person to whom harm was done or who suffered physical or emotional damage as a result of the commission of an offence and, if the person is dead, ill or otherwise incapacitated, (a) the person's spouse or an individual who is - or was at the time of the person's death — cohabiting with them in a conjugal relationship, having so cohabited for a period of at least one year; (b) a relative or dependant of the person; (c) anyone who has in law or fact custody, or is responsible for the care or support, of the person, or (d) anyone who has in law or fact custody, or is responsible for the care or support, of a dependant of the person;



### *Information Sharing between CSC and Victims*

Information sharing with victims is coordinated by the National Victim Services Program (NVSP) at CSC which was created in 2007 to address the needs of victims. The sharing of information with victims is initiated mainly through a publicly accessible internet portal that informs victims of crime about how to both register with CSC Victims Services and provide a victim statement. The Victim Services Unit of CSC's Citizen Engagement Division offers leadership in responding to victim concerns and supports CSC operational objectives related to victims and provides information services to victims.

The National Victim Services Program (NVSP) was evaluated in 2010 and results indicated that the majority of victims surveyed were satisfied with the programs accessibility (67%,  $n = 372$ ) and the quality of information provided by the NVSP (82%,  $n = 445$ ; PBC, 2010).

It is also important to note that since the implementation of CSC's Victim Services Units in 2007, service duplication has been noted as a concern between the services provided to victims between CSC and PBC. One of the recommendations contained within the CSC Review Panel was that services to victims be reviewed to ensure that resources are maximized, possible duplication of services avoided, and service gaps be eliminated (Recommendation 85) (CSC, 2010b). The Office of the Federal Ombudsman for Victims of Crime has also advocated for a more seamless approach to service delivery for victims.

Although each agency has a specific mandate according to the CCRA for working with victims, both CSC and PBC recognize that there are areas of shared responsibility and service delivery that could be further streamlined. From this, in 2008 CSC and PBC began discussing issues and approaches to better coordinate information services provided to victims. Since that time, a number of initiatives have been undertaken to ensure a more coordinated approach to their work with victims.

CSC and PBC are working cooperatively on pilot projects that were initiated in January 2010, focusing on streamlining the delivery of victim information services by both agencies (CSC 2012h). These projects in the Atlantic and Pacific regions are exploring the feasibility of

delivering these services jointly. The overarching goals of the pilot projects are to provide a more seamless service delivery to victims and to achieve organizational efficiencies by reducing duplication and/or gaps in service delivery.

### **CSC Promotion of Community Correctional Activities**

Given that the majority of CSC offenders are ultimately released into the community, CSC recognizes that enhanced public awareness is critical to a community's willingness to support offenders in their safe reintegration into the community (CSC, 2009a). CSC's Review Panel for the Roadmap to Strengthening Public Safety (CSC Review Panel, 2007) stated that when Canadians know more about the federal correctional system, they have more confidence in it. As such, CSC has taken several initiatives to promote community corrections activities.

Previous evaluations found that Canadians possessed little confidence in the criminal justice system (Public Safety Canada, 2011). Overall, the general public tends to hold more negative than positive attitudes toward the criminal justice system in Canada in comparison with other public institutions such as healthcare or education (Roberts, 2004). Within the criminal justice system, the general public consistently report higher levels of confidence in police agencies than in the courts, corrections and parole (Tufts, 2000; Mirrlees-Black, 2001; Stein, 2001; Roberts, 2004; Gannon, 2005).

The courts, corrections and parole are less visible than policing organizations and for this reason the public do not possess sufficient knowledge pertaining to these areas which contributes significantly to public misperception. The general public report greater levels of confidence in corrections to control and supervise offenders inside institutions as opposed to their ability to rehabilitate and prepare offenders for release (Gannon, 2005; Page, 2004). In addition, public confidence is low regarding the ability of corrections to effectively supervise offenders in the community (Roberts, 2004).

### *CSC's Promotional Activities*

As indicated, effective community corrections relies on partnerships and community support. Enhanced knowledge of the work that CSC performs is expected to enhance the public's confidence and in turn enhance the community's willingness to support offenders in their safe reintegration into the community. Between 2005-2006 and 2009-2010, Public Safety Canada initiated 59 Public Education/Citizen Engagement activities intended to provide Canadians opportunities to exchange views about the criminal justice system and promote public awareness and inform communities about criminal justice matters (Public Safety Canada, 2011). These activities include public dialogue and engagement events, publications, information tools and conferences/presentations. In total, 1,558 people were engaged through conferences and presentations and a further 39, 200 people were reached through publications and information tools (Public Safety Canada, 2011). Public Safety Canada (2011) conducted an evaluation of Public Education/Citizen Engagement activities which yielded mixed results. Some evidence suggested that public confidence has been improved as a result of these activities; however, it cannot be determined whether these results can be generalized to all Public Education/Citizen Engagement activities (Public Safety Canada, 2011).

CSC has also established mechanisms to enhance public awareness, confidence, and enhance the community capacity building for offenders in the community. Several consultation groups have been established to ensure citizen engagement in the provision of community correctional services and programs: Citizen Advisory Committees (CAC), The National Ethno Cultural Advisory Committee (NEAC), and Regional Ethno Cultural Advisory Committees (REAC; CSC, 2009; CSC, 2010b). The National Ethno Cultural Advisory Committee (NEAC) and Regional Ethno Cultural Advisory Committees (REAC) are involved in meetings and forums within and outside ethno cultural organizations to raise awareness and enhance the availability of community resources for ethno cultural offenders (CSC, 2010b). As a result, correctional approaches are better able to meet the specific needs of ethno cultural offenders to prepare them for safe community reintegration.

*Perceived effectiveness of CSC's promotional activities*

Only one in five (21%) CSC staff surveyed and two in five (40%) community partners agreed that CSC effectively promotes community correctional activities to the general public. When asked about the effectiveness of CSC's use of promotional strategies with the general public, two-thirds of CSC staff (67%) and community partners (63%) surveyed indicated that CACs were an effective promotional strategy. CSC staff also indicated that the Speakers Bureau and the Community Forum Program were effective promotional strategies (46% & 44%, respectively); while community partners indicated that the Community Forum Program and CSC media releases were effective (53% & 43%, respectively). The Speakers Bureau is a national database that provides profiles of CSC staff that are registered and able to participate in speaking engagements to a variety of agencies on their topics of expertise and the Community Forum Program provides selected registered non-profit applicants the opportunity to organize a criminal justice forum in their community. Both CSC initiatives are intended to enhance public knowledge of the work CSC performs.

#### *Best Practices to Promote CSC's Community Corrections Activities*

During focus group discussions, CSC staff noted that CSC is making a good effort at highlighting success stories but that their efforts are often thwarted by negative media publications. Both CSC staff and community partners stated that positive community correctional results are not often shared with the public. Consistent with the views voiced by partners, some institutional staff suggested that improving the profile of CSC within the community could be achieved by developing strategies to increase public awareness surrounding offender success stories and the role of CSC, specifically Parole Officers' roles in crime prevention, and by proactively addressing public misperceptions about crime and offenders. With respect to emphasizing the importance of community corrections to the public, focus group sessions with community partners indicated that both CSC and partners need to raise public awareness of community corrections and the importance of offender rehabilitation and reintegration. Some partners indicated that CSC and partners should collaborate on public education activities.

## Economy

**FINDING 12: The cost of maintaining offenders in the community is significantly lower than in institutions. Although analysis of the cost effectiveness of community engagement could not be performed, mechanisms such as community engagement supporting the gradual transition of offenders into the community are favoured.**

### Efficient Use of Resources

As previously indicated in the financial resource section of the current report, CSC spent approximately \$7.3 million on community engagement activities in FY 2010-2011, accounting for 0.37% of direct program spending. Community engagement activities included victim services, volunteers and citizen engagement activities. As previously examined in Chapter 2 of the Community Corrections Evaluation, the cost of maintaining an offender in a CRF is significantly lower than in an institution. In 2008-2009, the cost of maintaining an offender in a CRF was on average \$139.60<sup>18</sup> per day, whereas the cost of maintaining an offender in a medium security institution was approximately \$256.76<sup>19</sup> per day; yielding a cost savings of \$117.16 per offender each day (CSC, 2008, COMO 2008-2009)<sup>20</sup>.

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<sup>18</sup> The cost of maintaining an offender in a CRF equals (64.02) plus parole costs (75.58) = 139.60 per offender per day. (Source, COMO 2008-2009).

<sup>19</sup> Whereas the cost of maintaining offenders in the institution in 2008-2009 was \$256.76 per offender, per day (using the medium security institution as an average). (source, COMO 2008-2009)

<sup>20</sup> CRF costs could only be calculated for FY 2008-09, because that was the only time period for which data was available on CRF bed occupancy, which is required to calculate per offender costs. For CRF costs, the bed occupancy rate reported by all regions on June 3, 2008 was used. In the review of CBRFs in Canada conducted by CSC (2008). Consistent with COMO procedures, the yearly CRF expenditures were divided by the population of CRF offenders, which produced a yearly CRF cost per offender for FY 2008-09, that was then divided by the number of days in a year to produce a per offender daily cost.

## **Community engagement activities and outputs are achieved within an allocated budget**

The nature of the community engagement activities is not something that can be examined through OMS data analysis. As previous research has also discovered, it is extremely difficult to identify and measure all activities and resources that are relevant to the outcomes of community engagement activities (Carr- Hill, R. & Street, A., 2008). For instance, researchers have noted several difficulties when trying to evaluate economy and cost-efficiency when it comes to community engagement activities, specifically:

1. Identifying and costing activities and specifically the costs of volunteer time;
2. Identifying and measuring benefits;
3. Attribution of any changes in community to the approaches and methods of community engagement; and,
4. Measuring success/achievement of desired outcomes (Carr- Hill, R. & Street, A., 2008).

There was limited data collected regarding the specific community engagement activities and the trends in their occurrence across time which prevented any analysis. However, the Community Engagement Division is currently developing a performance measurement strategy to consistently report on community engagement activities and outcomes. As such, it may be possible to perform efficiency and effectiveness analysis for citizen engagement activities in the future, but it was not possible at this time. However, CSC staff and community partners were asked economy related questions regarding community engagement activities through an online questionnaire. Respondents generally recognized that community engagement activities are necessary in providing the community supports that are vital to the successful reintegration of offenders, but this relationship and engagement is intangible and as such difficult to measure.

When asked to what extent CSC distributes resources (financial and human) to community partners in an efficient manner, almost half (45%) of CSC staff and over half (55%) of community partners stated that CSC distributes resources effectively. Among staff and community partners surveyed who disagreed (16% and 23%, respectively), a lack of funding provided to community partners to administer programs to offenders and a lack of resources for offenders with specific cultural attributes, were the reasons cited for such disagreement.

## **Conclusion on Performance**

Community partners play an essential role in supporting correctional activities on multiple levels. Overall, community partners support the safe reintegration of offenders into the community. Supportive and rehabilitative partners working directly with offenders in the community provide programming, housing, and support to facilitate their reintegration into the community. In addition, legislative and policy directed partners also work directly with offenders, as well as in collaboration with CSC's regional and national offices to inform the development of CSC's policies and procedures. With the majority of offenders being eventually released back into the community (90%; Public Safety Canada, 2010), it is imperative that CSC establish positive and reciprocal relationships with Canadian communities, partners and stakeholders. Community partners assist offenders in their transition to the community on a daily basis, and play an integral role in connecting offenders with the appropriate community resources in preparation for their warrant expiry date.

Promotion of community correctional activities and public awareness are important components of the offender reintegration process. Research has shown that when Canadians know more about the federal correctional system, they have more confidence in it. As such, CSC recognizes that enhanced public awareness is critical to a community's willingness to support offenders in their safe reintegration into the community and therefore continues to promote community correctional activities through community forums, consultations with the public and community organizations.

Overall, community engagement is an essential component of the successful reintegration of offenders into the community. While recommendations will be presented in Chapter 4 of the Community Corrections Evaluation, with a focus on legislated and policy directed partners, supportive and rehabilitative partners, and victims, potential areas of opportunity have been identified in the area of community engagement. The need for increased stability of, and timely access to, funding for supportive and rehabilitative partners pertaining to community programs and services was underscored by key informants. Increased attention and funding for pre-release planning was another topic that, as observed in Chapter 2, was repeatedly identified by large

proportions of CSC staff and community partners. Specifically, there is a need for funding to increase in-reach activities of community partners in the institutions. Community partners stress that release planning should begin at intake but identify that additional resources for in-reach activities is essential. Moreover, staff and community partners identified the need for improved communication, particularly in relation to release planning, between Institutional and Community Parole Officers as well as between Community Parole Officers and community partners. Lastly, partners and staff indicated that in addition to the successful engagement of new partners, increased emphasis and focus should also be placed on the sustainability of current partners and the development of these existing relationships.

The goal of community correctional operations is to provide effective community reintegration. Supervising offenders in the community is most cost-effective. If CSC invests in community engagement to enhance programs and services for offenders in the community, then it is possible that enhanced correctional results will follow. Although the cost-effectiveness of this collaboration could not be adequately measured in this evaluation, community engagement is vital to the achievement of CSC mission and supports the sixth corporate priority.



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## Appendices

### Appendix A: Strategies and Initiatives That Guide CSC

<p><b>Federal Community Corrections Strategy (FCCS)</b></p>	<p>Focusing on a key objective of strategy's principles - making use of innovative means capitalizing on technology, to assist the offender in maintaining community linkages and to enhance knowledge and information sharing among the key reintegration partners, such as the Parole Board of Canada and with Canadians</p> <p>Information sharing among CSC staff, partners and stakeholders can be enhanced by leveraging technology and can allow for the more effective management of complex cases including higher risk and need offenders in the community. Timely and ongoing exchange of information among institution, community, decision-makers and reintegration partners plays an important function in offender, staff and community safety. The sharing of key information on a regular basis also facilitates pre-release planning and offender management while in the community</p>
<p><b>Strategic Plan on Aboriginal Corrections</b></p>	<p>Fully developing and implementing the continuum of care and services for Aboriginal men and women offenders, from intake through warrant expiry, in all regions</p> <p>Enhancing horizontal collaboration with other levels of government, with Aboriginal communities and with stakeholders <sup>a</sup></p> <p>Identifying systemic barriers for Aboriginal offenders and increasing CSC cultural competence to address those barriers</p>
<p><b>Revised Community Strategy for Women Offenders</b></p>	<p>Provide a guideline for community staff to assist them in addressing the unique challenges posed by women offenders while supporting the continuum of care model</p>
<p><b>Mental Health Strategy for Corrections in Canada</b></p>	<p><i>Correctional, Government and Non-Government Partners:</i> Partnerships are developed between correctional jurisdictions, government partners (including regional health authorities), community service providers and non-government organizations (NGOs) to address factors that may affect individuals' mental health and well-being</p> <p><i>Developing Community Capacity:</i> Partnerships with local, regional and national stakeholders will be encouraged and supported to be responsive to the needs and interests of individuals with mental health problems and/or mental illnesses</p>
<p><b>Federal Victims Strategy and Fund</b></p>	<p>Led by the Department of Justice Canada, the objective is to give victims a more effective voice in the criminal justice system. The Policy Centre for Victim Issues at the Department of Justice works in close collaboration with federal colleagues as well as victims, victim advocates, provincial and territorial governments, service providers and others involved in the criminal justice system</p>

Note a: Stakeholder Definition: those with an interest in the outcomes or who may potentially be impacted by them; someone who has a stake in an organization or program. Stakeholders either affect the organization/program, or are affected by it.

## **Appendix B: National Aboriginal Advisory Committee (NAAC)**

The National Aboriginal Advisory Committee (NAAC), chaired by CSC's Commissioner, is required to meet at least twice per year and plays a key role in facilitating collaboration between CSC and its external stakeholders by serving as a forum where the Commissioner receives advice and recommendations concerning the provision of correctional services to Aboriginal offenders. CSC is to ensure that the NAAC is informed of correctional programs and services in order to provide CSC advice, counsel and recommendations on policy, procedures, and interventions impacting Aboriginal offenders. The NAAC is to provide, from a balanced community perspective, informed advice on a number of matters concerning Aboriginal corrections<sup>21</sup> and speak to a variety of matters related to Aboriginal culture and spirituality with members bringing forward the needs of Aboriginal offenders and communities as a whole. The Committee's membership, ultimately selected by CSC's Commissioner, serves three year terms and includes nominations from the national Aboriginal organizations, the National Elders Working Group, and the Regions.

The process to form the NAAC began in 1995 when the decision was made to develop a national strategy for Aboriginal corrections. CSC's Executive Committee approved the strategy in 1997, with one of the key initiatives being the creation of a NAAC, which was to support development and implementation of Aboriginal initiatives. Later that year, *Commissioner's Directive 702: Aboriginal Offenders* was issued, which stated that in accordance with section 82 of the CCRA, "a NAAC will be established to provide advice on the provision of correctional services to Aboriginal offenders" and that the "Regional Deputy Commissioner will establish,

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<sup>21</sup> The terms of reference for the NAAC lists the items about which advice is to be provided as follows: establishing Aboriginal-research priorities; establishing national policies for Aboriginal corrections; reviewing Aboriginal initiatives and monitoring correctional outcomes; informing the development of Aboriginal interventions; developing human rights standards for the treatment of Aboriginal offenders, and; ensuring and/or facilitating community involvement in Aboriginal corrections.

chair and maintain a Regional Aboriginal Advisory Committee to provide advice on the provision of correctional services to Aboriginal offenders.”

The NAAC has also been involved in CSC’s 2010 Transformation Agenda and provided advice on the strategy for Aboriginal corrections and initiatives undertaken as part of the Agenda (CSC, 2010d). Specifically, the NAAC has provided advice on related issues such as monitoring performance, transfers, detention referrals, delayed parole reviews and suspension and revocation of conditional release.

Although documentation concerning the NAAC was provided, the availability of information both inside and outside the department regarding the NAAC’s mandate, activity, and membership is limited.

## **Appendix C: National Ethnocultural Advisory Committee (NEAC)**

The purpose of the National Ethno Cultural Advisory Committee (NEAC) is to create and maintain a forum to develop and to provide advice to the Assistant Commissioner, Correctional Operations and Programs (ACCOP) on the provision of correctional services and programs to Ethnocultural offenders; and to consult regularly with Ethnocultural communities and other appropriate persons with knowledge of ethnocultural matters, for the public safety. The Committee is comprised of: a minimum of seven, and maximum of eleven, non-CSC employees which are selected from nominees reflecting the CSC ethnocultural offender population; Chairperson of the national Citizen Advisory Committee; Director General, Offender Programs and Reintegration; Director, Reintegration Programs Division; and Manager, Ethnocultural Programs.

Roles and responsibilities of the NEAC include:

- advisory capacity on issues related to Ethnocultural offenders;
- inform the Ethnocultural community groups about CSC Mission and ethnocultural issues related to CSC;
- facilitate the implementation of the Regional Ethnocultural Advisory Committees;
- liaise with staff and inmates;
- in co-operation with ethnocultural communities, and organizations, endorse race relations and cross-cultural awareness training for staff and offenders of CSC;

*Commissioner's Directive 767: Ethnocultural Offender Programs (CSC, 2001)*, ensures that the needs and cultural interests of offenders belonging to ethnocultural minority groups are identified and that programs and services are developed and maintained to meet those needs. In order to achieve this objective each region is required to develop a regional advisory committee that reflects the regional ethnocultural diversity, in order to provide advice on regional ethnocultural issues, and to ensure liaison and consultation, as required, with the various ethnocultural communities.



In general, the National Ethno Cultural committees (NEAC) and Regional Ethno Cultural Committees (REACs) facilitate consultation and disseminate information about CSC to ethno cultural communities. Regional Ethno-cultural Advisory Committees (REAC) are involved in meetings and forums within and outside ethno cultural organizations to raise awareness and enhance the availability of community resources for ethno cultural offenders (CSC, 2010d). As a result correctional approaches are better able to meet the specific needs of ethno cultural offenders to prepare them for safe community reintegration. Consultations on regional priorities are continuously occurring between REAC members and CSC managers to ensure their support and integration with other CSC initiatives/activities. Permanent funding has been allocated to various regions to maintain the future implementation of these plans (CSC, 2010d).

## Appendix D: Focus Group and Survey Responses

**Table D1: Offender groups to which community partners provide services**

Specific offender Group			
Survey		Focus groups	
Women offenders ( <i>n</i> = 24)	33%	Women offenders ( <i>n</i> = 48)	72%
Men offenders ( <i>n</i> = 46)	63%	Men offenders	--
Aboriginal offenders ( <i>n</i> = 27)	37%	Aboriginal offenders ( <i>n</i> = 60)	90%
Non-Aboriginal offenders ( <i>n</i> = 24)	33%	Non-Aboriginal offenders	--
Offenders with mental disorders ( <i>n</i> = 28)	38%	Offenders with mental disorders ( <i>n</i> = 58)	87%
Older offenders ( <i>n</i> = 24)	33%	Older offenders ( <i>n</i> = 60)	90%
Sex offenders ( <i>n</i> = 24)	33%	Sex offenders	--
Violent offenders ( <i>n</i> = 23)	32%	Violent offenders ( <i>n</i> = 56)	84%
Anglophone offenders ( <i>n</i> = 23)	32%	Anglophone offenders	--
Francophone offenders ( <i>n</i> = 23)	32%	Francophone offenders	--
Visible minority offenders ( <i>n</i> = 28)	38%	Visible minority offenders ( <i>n</i> = 56)	84%
Other offender groups ( <i>n</i> = 10)	14%	Other offender Groups ( <i>n</i> = 20)	30%

**Table D2: Staff Questionnaire Participants by Region**

Questionnaire 1- Information Sharing		Questionnaire 2- Engagement Activities	
CSC staff ( <i>n</i> = 530)	%	CSC Staff ( <i>n</i> = 256)	%
Québec	31%	Québec	40%
Ontario	26%	Ontario	9%
Prairie	21%	Prairie	23%
Atlantic	12%	Atlantic	5%
Pacific	10%	Pacific	14%
NHQ	1%	NHQ	8%

**Table D3: Staff Questionnaire Participants by Work Location**

Questionnaire 1- Information Sharing		Questionnaire 2- Engagement Activities	
CSC staff ( <i>n</i> = 493 <sup>a</sup> )	%	CSC Staff ( <i>n</i> = 198 <sup>b</sup> )	%
Community	64%	Community	57%
Institution	36%	Institution	43%

a. Data were missing for 14 respondents, and for the purpose of the current table were omitted for 14 RHQ staff and 9 “other” staff.

b. Data were missing for 26 respondents, and for the purpose of the current table were omitted for 22 RHQ staff and 10 “other” staff.

**Table D4: CSC and Community partners level of agreement that CSC has effective processes and strategies in place for the following reasons:**

	CSC Staff				CSC Partners		
	Strongly Disagree/Disagree	Neither Agree nor Disagree	Agree/Strongly Agree		Strongly Disagree/Disagree	Neither Agree nor Disagree	Agree/Strongly Agree
Identify new partners/stakeholders ( <i>n</i> = 184)	19%	41%	40%	Identify new partners/stakeholders ( <i>n</i> = 99)	9%	39%	52%
Establish new partnerships/ stakeholder relationships ( <i>n</i> = 182)	16%	39%	45%	Establish new partnerships/ stakeholder relationships ( <i>n</i> = 100)	12%	39%	49%

**Table D5: CSC staff and Community Partners reported frequency with which community partners are consulted by CSC for the following activities**

	CSC staff				CSC Partners		
	Never/Rarely	Occasionally	Frequently/Very frequently		Never/Rarely	Occasionally	Frequently/Very frequently
CSC policies ( <i>n</i> = 133)	44%	32%	24%	CSC policies ( <i>n</i> = 138)	24%	38%	38%
CSC Strategies ( <i>n</i> = 141)	27%	33%	40%	CSC Strategies ( <i>n</i> = 136)	27%	34%	39%
Government horizontal initiatives ( <i>n</i> = 90)	56%	30%	14%	Government horizontal initiatives ( <i>n</i> = 109)	40%	36%	24%
Other related policy/strategy activities ( <i>n</i> = 91)	45%	33%	22%	Other related policy/strategy activities ( <i>n</i> = 96)	37%	39%	24%

**Table D6: CSC staff and Community Partners reported frequency with which partners are consulted by CSC for the development of policies and strategies for the following offender groups**

	CSC Staff				CSC Partners		
	Never/ Rarely	Occasionally	Frequently/Very frequently		Never/ Rarely	Occasionally	Frequently/Very frequently
Offenders in general ( <i>n</i> = 196)	27%	32%	41%	Offenders in general ( <i>n</i> = 137)	31%	36%	33%
Women offenders ( <i>n</i> = 150)	56%	21%	23%	Women offenders ( <i>n</i> = 132)	54%	20%	26%
Aboriginal offenders ( <i>n</i> = 181)	38%	30%	32%	Aboriginal offenders ( <i>n</i> = 130)	47%	26%	27%
Offenders with mental disorders ( <i>n</i> = 189)	33%	37%	30%	Offenders with mental disorders ( <i>n</i> = 132)	35%	36%	29%

**Table D7: Level of agreement that the following offender information is shared between CSC and community partners in a manner that promotes efficient use of time and resources**

	CSC Staff			CSC Partners		
	Strongly Disagree/ Disagree	Neither Agree nor Disagree	Agree/Strongly Agree	Strongly Disagree/ Disagree	Neither Agree nor Disagree	Agree/Strongly Agree
Information regarding incidents ( <i>n</i> = 188)	14%	18%	68%	Information regarding incidents ( <i>n</i> = 78)	19%	62%
Program reports ( <i>n</i> = 184)	13%	19%	69%	Program reports ( <i>n</i> = 74)	22%	54%
Risk assessments ( <i>n</i> = 191)	11%	19%	70%	Risk assessments ( <i>n</i> = 71)	28%	44%
Community assessments ( <i>n</i> = 190)	10%	14%	76%	Community assessments ( <i>n</i> = 68)	35%	37%
Correctional plan updates ( <i>n</i> = 182)	9%	19%	72%	Correctional plan updates ( <i>n</i> = 70)	26%	41%
Community strategy ( <i>n</i> = 186)	7%	17%	76%	Community strategy ( <i>n</i> = 67)	24%	45%
Residency requirements ( <i>n</i> = 185)	11%	16%	73%	Residency requirements ( <i>n</i> = 71)	27%	42%
Discretionary release requirements ( <i>n</i> = 181)	9%	21%	70%	Discretionary release requirements ( <i>n</i> = 71)	25%	38%
Pre-Release planning ( <i>n</i> = 187)	15%	18%	67%	Pre-Release planning ( <i>n</i> = 72)	29%	35%

**Table D8: Level of agreement that the following offender information is shared between CSC and CRF staff in a manner that promotes efficient use of time and resources**

	CSC Staff				CRF staff		
	Strongly Disagree/ Disagree	Neither Agree nor Disagree	Agree/Strongly Agree		Strongly Disagree/ Disagree	Neither Agree nor Disagree	Agree/Strongly Agree
Information regarding incidents ( <i>n</i> = 162)	5%	10%	85%	Information regarding incidents ( <i>n</i> = 30)	7%	7%	86%
Program reports ( <i>n</i> = 156)	2%	15%	83%	Program reports ( <i>n</i> = 28)	--	11%	89%
Risk assessments ( <i>n</i> = 152)	4%	12%	84%	Risk assessments ( <i>n</i> = 29)	--	10%	90%
Correctional plan updates ( <i>n</i> = 158)	3%	9%	88%	Correctional plan updates ( <i>n</i> = 29)	4%	10%	86%
Community assessments ( <i>n</i> = 162)	3%	10%	87%	Community assessments ( <i>n</i> = 28)	--	4%	96%
Community strategy ( <i>n</i> = 160)	2%	11%	87%	Community strategy ( <i>n</i> = 28)	7%	11%	82%
Residency requirements ( <i>n</i> = 160)	4%	9%	87%	Residency requirements ( <i>n</i> = 30)	3%	3%	94%
Discretionary release requirements ( <i>n</i> = 152)	4%	8%	88%	Discretionary release requirements ( <i>n</i> = 29)	7%	3%	90%
Pre-Release planning ( <i>n</i> = 158)	5%	11%	84%	Pre-Release planning ( <i>n</i> = 29)	31%	7%	62%

**Table D9: Level of agreement that the following offender information is shared between CSC and PBC Board members in a manner that promotes efficient use of time and resources**

	CSC Staff			PBC Board Members			
	Strongly Disagree/Disagree	Neither Agree nor Disagree	Agree/Strongly Agree	Strongly Disagree/Disagree	Neither Agree nor Disagree	Agree/Strongly Agree	
Program reports ( <i>n</i> = 180)	7%	5%	88%	Program reports ( <i>n</i> = 17)	18%	6%	76%
Risk assessments ( <i>n</i> = 180)	5%	4%	91%	Risk assessments ( <i>n</i> = 16)	19%	--	81%
Community assessments ( <i>n</i> = 176)	3%	3%	94%	Community assessments ( <i>n</i> = 17)	12%	--	88%
Correctional plan updates ( <i>n</i> = 177)	4%	6%	90%	Correctional plan updates ( <i>n</i> = 17)	18%	6%	76%
Community strategy ( <i>n</i> = 179)	4%	4%	92%	Community strategy ( <i>n</i> = 17)	12%	--	88%
Discretionary release requirements ( <i>n</i> = 175)	6%	6%	88%	Discretionary release requirements ( <i>n</i> = 17)	18%	18%	64%
Reasons for discretionary release decisions ( <i>n</i> = 169)	8%	8%	84%	Reasons for discretionary release decisions ( <i>n</i> = 17)	6%	18%	76%
Reasons for imposing residency ( <i>n</i> = 179)	6%	5%	89%	Reasons for imposing residency ( <i>n</i> = 16)	25%	--	75%



**Table D10: Citizen Advisory Committee Activities**

CAC members' participation in CSC activities				
	2004		2012	
	#	%	#	%
Having regular meetings and discussions with CSC				
Not at all	33	14%	1	2%
Somewhat	60	26%	12	24%
Very	136	59%	38	75%
<b>Total</b>	<b>229</b>	<b>100%</b>	<b>51</b>	<b>100%</b>
Regular visits to CSC facilities and programs				
Not at all	76	33%	4	8%
Somewhat	75	33%	18	37%
Very	77	34%	27	55%
<b>Total</b>	<b>228</b>	<b>100%</b>	<b>49</b>	<b>100%</b>
Requesting information on all aspects of the correctional				
Not at all	65	29%	6	13%
Somewhat	68	30%	17	35%
Very	92	41%	25	52%
<b>Total</b>	<b>225</b>	<b>100%</b>	<b>48</b>	<b>100%</b>
Being well informed on the correctional process and other				
Not at all	40	18%	6	12%
Somewhat	73	33%	19	37%

Very	111	50%	26	51%
<b>Total</b>	<b>224</b>	<b>100%</b>	<b>51</b>	<b>100%</b>
<hr/>				
Seeking information on general correctional issues				
Not at all	50	22%	5	10%
Somewhat	73	32%	22	45%
Very	104	46%	22	45%
<b>Total</b>	<b>227</b>	<b>100%</b>	<b>49</b>	<b>100%</b>
<hr/>				
Maintaining liaison with other CACs through national, regional and/or local participation				
Not at all	123	55%	11	23%
Somewhat	49	22%	20	42%
Very	51	23%	17	35%
<b>Total</b>	<b>223</b>	<b>100%</b>	<b>48</b>	<b>100%</b>
<hr/>				
Serving as a link between CSC and the local community				
Not at all	95	42%	12	24%
Somewhat	76	34%	22	44%
Very	55	24%	16	32%
<b>Total</b>	<b>226</b>	<b>100%</b>	<b>50</b>	<b>100%</b>
<hr/>				
Meetings with offenders and offender/parolee groups				
Not at all	111	50%	14	30%
Somewhat	51	23%	18	39%
Very	61	27%	14	30%
<b>Total</b>	<b>223</b>	<b>100%</b>	<b>46</b>	<b>100%</b>
<hr/>				
Assisting in identifying and solving problems involving community attitudes, myths and misinformation				

Not at all	97	43%	21	41%
Somewhat	64	28%	15	29%
Very	64	28%	15	29%
<b>Total</b>	<b>225</b>	<b>100%</b>	<b>51</b>	<b>100%</b>
Supporting and encouraging community involvement through volunteer participation				
Not at all	105	48%	17	34%
Somewhat	55	25%	19	38%
Very	60	27%	14	28%
<b>Total</b>	<b>220</b>	<b>100%</b>	<b>50</b>	<b>100%</b>
Helping to increase communication between my local community and CSC				
Not at all	105	47%	15	31%
Somewhat	64	29%	21	43%
Very	55	25%	13	27%
<b>Total</b>	<b>224</b>	<b>100%</b>	<b>49</b>	<b>100%</b>
Acting as an independent observer of CSC's day-to-day activities and operations				
Not at all	129	58%	22	45%
Somewhat	51	23%	15	31%
Very	44	20%	12	24%
<b>Total</b>	<b>224</b>	<b>100%</b>	<b>49</b>	<b>100%</b>
Contributing to the training and development of other CAC members				
Not at all	131	59%	17	34%
Somewhat	55	25%	21	42%

Very	37	17%	12	24%
<b>Total</b>	<b>223</b>	<b>100%</b>	<b>50</b>	<b>100%</b>

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Increasing awareness/understanding of my local community about CSC

Not at all	99	44%	14	28%
Somewhat	69	31%	25	50%
Very	57	25%	11	22%
<b>Total</b>	<b>225</b>	<b>100%</b>	<b>50</b>	<b>100%</b>

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Surveying attitudes of the community, offenders and correctional staff

Not at all	159	72%	31	61%
Somewhat	41	19%	13	25%
Very	20	9%	7	14%
<b>Total</b>	<b>220</b>	<b>100%</b>	<b>51</b>	<b>100%</b>

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Contributing to offender programs in the institution and in the community

Not at all	149	67%	32	64%
Somewhat	31	14%	13	26%
Very	43	19%	5	10%
<b>Total</b>	<b>223</b>	<b>100%</b>	<b>50</b>	<b>100%</b>

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Being an observer or participant at correctional workshops or training sessions

Not at all	129	58%	20	40%
Somewhat	57	26%	25	50%
Very	36	16%	5	10%
<b>Total</b>	<b>222</b>	<b>100%</b>	<b>50</b>	<b>100%</b>

Acting as an independent observer during disturbances or crises

Not at all	184	82%	38	78%
Somewhat	24	11%	7	14%
Very	17	8%	4	8%
<b>Total</b>	<b>225</b>	<b>100%</b>	<b>49</b>	<b>100%</b>

Meeting with community members and groups to inform and receive feedback on correctional issues

Not at all	143	64%	29	58%
Somewhat	44	20%	17	34%
Very	37	17%	4	8%
<b>Total</b>	<b>224</b>	<b>100%</b>	<b>50</b>	<b>100%</b>

Attending parole hearing, disciplinary courts and grievance proceedings

Not at all	178	80%	32	64%
Somewhat	26	12%	15	30%
Very	18	8%	3	6%
<b>Total</b>	<b>222</b>	<b>100%</b>	<b>50</b>	<b>100%</b>

Assisting offenders in their community reintegration

Not at all	162	73%	33	69%
Somewhat	29	13%	13	27%
Very	32	14%	2	4%
<b>Total</b>	<b>223</b>	<b>100%</b>	<b>48</b>	<b>100%</b>

Assisting in the development of community resources for institutional pre-release or post-release programs

Not at all	181	82%	35	71%
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Somewhat	19	9%	13	27%
Very	20	9%	1	2%
<b>Total</b>	<b>220</b>	<b>100%</b>	<b>49</b>	<b>100%</b>

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Note: in 2004 and 2012, respondents provided their responses through a 5-point scale (1="never", 2 = "rarely", 3 = "occasionally", 4 = "frequently, and 5 = "very frequently"). To analyze these data, the results were rescored to a 3-point scale with "never" and "rarely" recoded as "not at all", "occasionally" recoded as "somewhat", with "frequently" and "very frequently" recoded as "very."