

TECHNICAL AIRWORTHINESS AUTHORITY ADVISORY (TAA ADVISORY)	
TAA Advisory Number	2019-03e-v2
Title	Design Change Categorization – Major or Minor
Effective Date	16 September 2019 (Revised 4 December 2025)
References	TAM Part 1, Chapter 2 and Part 3, Chapter 2
OPI / Telephone	DTAES 3-5 / 613-614-0533
RDIMS#	2182D-1027-812-6 Vol 1 AEPM #1820334 (English) GPEA n°1947369 (français)

1. Purpose

- 1.1 The categorization of changes to a type design as minor or major is required to determine the route to be followed for the certification of a design change. This advisory provides guidance related to the Technical Airworthiness Manual (TAM) (regulatory reference 3.2.a) rules and standards for categorizing design changes as either major or minor, by:
- identifying the rules and standards in the DND/CAF TAM that are applicable to the categorization of design changes;
 - identifying the differences between a major and a minor design change;
 - providing the criteria and process for identifying a major design change;
 - providing a design change categorization checklist and question set; and
 - providing checklist advisory material to assist in answering the checklist questions.

2. Applicability

- 2.1 This TAA Advisory is applicable to TAA regulatory staff and TAA-acceptable design and technical organizations, as well as TAA-Authorized Individuals (AIs) who have been assigned authority and responsibility for making a major-minor categorization determination.
- 2.2 **Exceptions.** This advisory only addresses design changes that are considered modifications or alterations to the type design. The following types of design changes are to be managed by separate processes, as described below:
- Changes to the Approved Maintenance Program.** Changes to the maintenance program are reviewed and approved using the process defined in the TAM (regulatory reference 3.2.a), Part 5, Chapter 3.
 - Master Minimum Equipment List (MMEL).** Changes to a MMEL are to follow the process defined in TAA Advisory 2013-07 (regulatory reference 3.2.b).
 - Changes to the Flight Manual (FM).** This advisory does address the categorization changes to the FM in Part 2, section N of the question set in Annex A. However, changes to the FM follow the rules and standards provided in the TAM (regulatory reference 3.2.a), Part 2, Chapter 7 – Canadian Armed Forces Flight Publications.
 - Structural Non-Standard Repairs and Rectifications.** TAA Advisory 2019-07 – Structural Non-Standard Repairs (regulatory reference 3.2.c) provides the procedure for categorizing design changes arising from structural repairs and rectifications.

3. Related Material

- 3.1 **Definitions.** The formal definitions for most of the airworthiness-related terms in this document can be found in the Glossary of regulatory reference 3.2.a. The following definitions are not in the TAM Glossary:

- a. **Repair.** A rectification of a deficiency in an aeronautical product, or the restoration of an aeronautical product to an airworthy condition. If the repair does not require a change to the type design, then it is a restoration. If the repair requires a change to the type design, then it is a rectification.
- b. **Rectification.** A repair leading to a change to the type design, which requires an authorized individual to approve the repair design. If an existing approved repair scheme is available and applicable, then this 'standard repair' can be used to perform the rectification.

3.2 Regulatory References

- a. [C-05-005-001/AG-001](#) – *Technical Airworthiness Manual (TAM)*
- b. [TAA-OAA Advisory 2013-07](#) – *Joint TAA-OAA Guidance on the Development of an Initial Master Minimum Equipment List*
- c. [TAA Advisory 2019-07](#) – *Structural Non-standard Repairs*
- d. [TAA Advisory 2006-04](#) – *Installation of Miscellaneous Non-Required Equipment*
- e. AF9000 procedure [EMT09.052](#) – *Aircraft Flight Manual and Aircraft Operating Instructions Amendment*

4. TAA Regulatory Requirements

4.1 TAM Rule 3.2.2.R1 – Part 3, Chapter 2, Section 2 – Design Change Categorization. This rule states the following:

- a. Where a proposed design change is assessed for its potential effects on airworthiness and categorized as minor by an AI, in accordance with TAM Standard 3.2.2.S1, no further airworthiness certification activity is required. Sufficient technical data must be available to substantiate and formally document the categorization.
- b. Where a proposed design change is assessed and categorized as major by an AI, in accordance with TAM Standard 3.2.2.S1, design change certification shall be conducted in accordance with the rules and standards of this chapter [of the TAM].

4.2 TAM Standard 3.2.2.S1 – Part 3, Chapter 2 – Design Change Categorization. This standard states the following:

- a. Design Change Category. A proposed design change shall:
 - (i) be assessed for its potential effect on the airworthiness of the approved type design of an aeronautical product into which incorporation is intended and categorized as major or minor; and
 - (ii) have the assessment, and subsequent categorization, of the proposed design change conducted by an AI.
- b. Design change categories are defined as follows:
 - (i) **Minor.** A design change that has less than an appreciable effect on the airworthiness of the approved type design of an aeronautical product.
 - (ii) **Major.** A design change that has, or may have, an appreciable effect on the airworthiness of the approved type design of an aeronautical product.

5. Discussion

5.1 As described in the TAM, Part 1, Chapter 2 and Part 3, Chapter 2, a design change is the act or outcome of making a change to the approved type design of an aeronautical product. As stated in paragraph 2.2, the definition of a design change also includes modifications, alterations, changes to the approved maintenance program, as well as changes to the aircraft's approved roles and missions defined in the Statement of Operating Intent (SOI).

NOTE

Changes to an aircraft's role and mission, as defined in the SOI, are considered design changes, even though the role change does not involve a physical change to the aircraft's design. This is necessary since the selection of the original certification requirements are based on the operating criteria defined in the SOI. Therefore, a change to roles and missions could introduce a different operating environment that is not adequately addressed by the existing certification basis. Changes in the role and mission that do not have an 'appreciable effect' on the airworthiness of the existing type design may be classified as 'Minor'. Otherwise, the change must be treated as 'Major'. Examples include changes to the extended over-water operation limits or increases to the duration or severity of flight in icing conditions. Additional examples of role or mission changes that could be classified as 'Major' are provided in the Advisory Material of Annex B, section B.

- 5.2 The focus of this advisory, however, is on design changes that are considered modifications or alterations to the type design only. Specifically, the advisory provides guidance on how to distinguish between a minor and major type design change, by providing specific differentiation criteria, in a set of questions that are designed to establish if the change has an appreciable effect on the airworthiness of the aircraft. If the answer to the question is 'Yes', there is an appreciable effect, then the change must be categorized as 'Major'. The question set is supported by advisory material that provides additional criteria and examples to assist in answering the questions.
- 5.3 The traditional definition of a minor change is one that has a negligible effect on the airworthiness of the underlying type design. While this definition remains valid, it presents a problem for categorizing changes to complex, highly-integrated military and civil aircraft designs. In this context, it can be challenging to demonstrate that any given change to an aircraft design has a negligible or 'zero' effect. To solve this problem, most civil and military airworthiness authorities have chosen to define a major design change as one that has an 'appreciable' effect on airworthiness. Under this construct, a minor change becomes one that has 'less than an appreciable' effect. This interpretation allows for a minor change to have some effect on airworthiness, provided that the effect does not significantly reduce aircraft safety.
- 5.4 The TAA has adopted the 'appreciable effect' criteria to distinguish between a major and minor design change for the following reasons:
- a. align the TAA's definition with that being adopted by other airworthiness authorities;
 - b. align with the system safety definition of minor hazard / failure effect: 'A slight reduction in safety margins' (source: SAE-ARP 4761);
 - c. align with the definition of a minor failure condition that is related to a software error or complex electronic hardware malfunction: 'Failure conditions which would not significantly reduce aircraft safety, and which would involve crew actions that are well within their capabilities. For example, minor failure conditions may include a slight reduction in safety margins or functional capabilities, a slight increase in crew workload, such as, routine flight plan changes, or some inconvenience to occupants.' (Source: RTCA DO-178B); and
 - d. although these criteria are subject to interpretation, the risk of misidentifying a major change as minor is mitigated by the fact that all design changes to DND/CAF aircraft are managed and executed by TAA-acceptable organizations. These organizations have TAA-acceptable system engineering processes that are applied to all design changes, regardless of their categorization (major or minor).
- 5.5 The term 'appreciable' is defined as an effect that is large enough to be important or clearly noticed. Synonyms include: significant, discernable, measurable, serious and substantial. In the airworthiness context, a design change that has less than an appreciable effect on airworthiness can be defined as follows:
- a. the change does not significantly reduce aircraft safety;
 - b. the change does not involve more than a slight reduction in safety margins, or a slight increase in crew workload.

5.6 The TAA process for categorizing a design change as major or minor is shown in the flowchart provided in Figure 1.

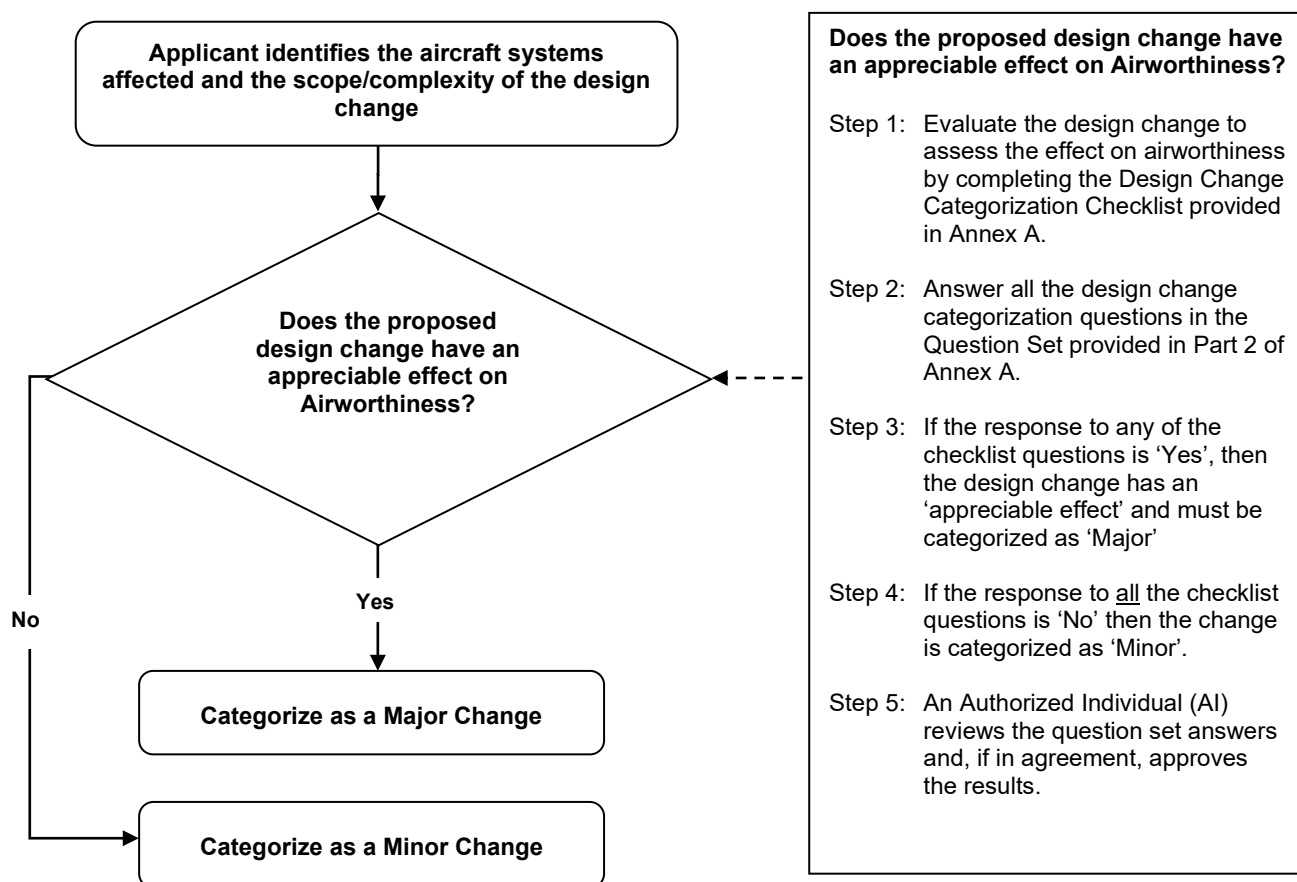


Figure 1 Design Change Categorization Process

NOTE

This flowchart is for Modifications/Alterations only. See paragraph 2.2 for the design change categorization procedure that is to be used for non-standard Structural Repairs, changes to the Approved Maintenance Program, the Flight Manual (FM) and the Master Minimum Equipment List (MMEL).

5.7 **Design Change Categorization Checklist.** The categorization checklist that is provided in Annex A to this advisory consists of the following three parts:

- a. **Part 1 – Design Change Information.** This section of the checklist identifies the information, or the appropriate reference documents, that will be required by the persons who will be completing Parts 2 and 3 of the checklist. In addition to the design change identification data, a description of the change is required, including the aircraft systems affected and the scope of the proposed design change. The design organization is responsible for providing the required information to the persons who will be completing Parts 2 and 3 of the checklist.
- b. **Part 2 – Design Change Categorization Question Set.** This part of the checklist provides questions that are used to determine if a change has an appreciable effect on airworthiness. Answer all the questions in Part 2. If the response to any of the checklist questions is 'Yes', then the design change has an 'appreciable effect' and must be categorized as 'Major'. If all the responses are 'No', then it is categorized as minor. Although only a single 'Yes' answer is needed to categorize a change as major, a response must still be provided for all the questions.

- c. **Part 3 – Design Change Categorization – Review and Approval.** Once the Part 2 questions have been answered, an AI who has not been involved in answering the Part 2 questions will review the question set answers and, if in agreement, approve the results.
- 5.8 **Question Set – Advisory Material.** Advisory material that may be used to develop answers to the question set is provided in Annex B to this advisory. This material is intended to assist with formulating a 'Yes' or 'No' response to the questions presented in Annex A. Also provided are examples of major design changes and additional criteria that may assist in determining if the effect of the change is appreciable.
- 5.9 Early in the design change development, there may not be sufficient information about the scope of the design change and its effects on the underlying type design to support the categorization process. Normally, the Applicant should wait for the required data before making a categorization decision. If this is not practicable, then the alternative is to default to the treatment of the change as major. The categorization can subsequently be changed, if new information becomes available to support the downgrading to a minor categorization.
- 5.10 **TAA Specialist Advice.** Whenever there is any doubt surrounding the interpretation of the checklist, or the design change categorization, the TAA (staff of the Directorate of Technical Airworthiness and Engineering Support (DTAES) 3 section), or the engineering support staff of DTAES 5, 6, 7 and 8 should be consulted. Note that seeking advice from the TAA staff, or requesting a recommendation Tech Note from the DTAES engineering specialists, does not imply that the design change must subsequently be classified as major. In cases where the DTAES 3 or DTAES Engineering Support staff do not agree with the Applicant regarding the categorization of a design change, the DTAES 3 decision will be binding.
- 5.11 **Design Changes Certified by another Authority.** A major design change that has been previously approved by another military or civilian airworthiness authority, will continue to be treated as a major design change. The fact that the design has been approved by another authority does not mean that the incorporation of the change in a DND/CAF aircraft can be treated as a minor change. In this situation, the Type Design Examination (TDE) process will be applied to complete the DND approval process.

Design Change Categorization Checklist

Instructions:

The purpose of this checklist is to assist the Authorized Individuals (AIs) in determining that a proposed design change is correctly categorized as 'Minor' or 'Major' and to record the results.

1. **Part 1 – Design Change Information.** Insert the relevant information. Include references to relevant design change description and analysis data.
2. **Part 2 – Design Change Categorization Questions.** Answer all the questions in Part 2. If the response to one or more of the checklist questions is 'Yes', then the design change has 'an appreciable effect' and must be categorized as 'Major'. If the responses are all 'No' then the change is categorized as 'Minor'.
3. **Part 3 – Design Change Categorization Review and Approval.** This section is to be completed by an individual authorized by the TAA to accept/approve the categorization results.

PART 1 – DESIGN CHANGE INFORMATION			
Project/Design Change Title:			
Aircraft Designation:			
System/Component(s) Affected:			
Description of Change:			
Design Change File #:		RDIMS #:	
OPI:			
	NAME	DESIGNATION	PHONE #
PART 2 – DESIGN CHANGE CATEGORIZATION QUESTION SET			
(See Annex B for advisory information on answering Part 2 questions)			
A. GENERAL CRITERIA – Applicable to all the Aircraft Systems (Also see Advisory Material in Annex B – Section A)			
No.	QUESTION	RESPONSE	COMMENTS
A1	Is it anticipated that the design change will introduce new aircraft functional hazard(s) with a severity classification of 'Catastrophic', 'Hazardous' or 'Major', or increase existing aircraft functional hazard severity to a classification of 'Catastrophic', 'Hazardous' or 'Major'?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

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A2	Will the change require the addition of any new airworthiness requirements, or a new interpretation of the certification requirements in the design certification basis?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
A3	Will the change require the use of a means/methods of demonstrating compliance that is appreciably different from the one previously used in certifying the type, or one that the TAA had not previously accepted?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
A4	Will the change alter (add, remove or amend) any of the aircraft limitations or restrictions?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
A5	Will the change introduce a new or revised standard or means of compliance as compared to those used in the original certification of the design?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B. AIRCRAFT USAGE, PERFORMANCE AND FLIGHT CHARACTERISTICS (Also see Advisory Material in Annex B – Section B)			
No.	QUESTION	RESPONSE	COMMENTS
B1	Will there be an appreciable change to the aircraft roles, missions or capabilities?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B2	Will there be any appreciable changes to the aircraft operating environment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B3	Will the change appreciably affect the ability of the aircraft to operate in controlled airspace?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B4	Will the change have an appreciable effect on the aircraft's performance characteristics or limitations?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B5	Will the change have an appreciable effect on the exterior profile of the aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B6	Will the change appreciably affect the installation, configuration, service life or performance of any propellers, main rotor or tail rotors?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B7	Will the change appreciably affect the ability of the aircraft to continue to meet the environmental noise standards established during the original certification of the design?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
C. STRUCTURES AND MECHANICAL SYSTEMS (Also see Advisory Material in Annex B – Section C)			
No.	QUESTION	RESPONSE	COMMENTS
C1	Will the change have an appreciable effect on the structural strength, loads applied, or dynamic response related to, the airframe, dynamic components, flight controls, mechanical systems or mission equipment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

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C2	Will the design change alter the physical characteristics or performance of a life-limited part or structural components that are subject to damage tolerance or fatigue evaluation?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
C3	Will the change have an appreciable effect on the configuration, operation, function or performance of any of the aircraft systems? Examples include: <ul style="list-style-type: none"> • undercarriage, wheels, and brakes • mechanical, hydraulic or electro-mechanical portions of the flight control system • ice protection • air data 	<input type="checkbox"/> Yes <input type="checkbox"/> No	
C4	Will the change introduce new structural components or materials to the aircraft compartments, such as mission consoles, equipment racks or crew seats?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D. AVIONIC SYSTEMS AND EQUIPMENT (Also see Advisory Material in Annex B – Section D)			
No.	QUESTION	RESPONSE	COMMENTS
D1	Will the design change have an appreciable effect on avionic systems, equipment functions or capabilities that have failure effects with a safety classification of ‘Catastrophic’, ‘Hazardous’ or ‘Major’?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D2	Will the design change add or have an appreciable effect on avionic systems, equipment functions or capabilities that are required by the National Defence Flying Orders (B-GA-100-001/AA-000)?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
E. AIRBORNE SOFTWARE (Also see Advisory Material in Annex B – Section E)			
No.	QUESTION	RESPONSE	COMMENTS
E1	Will the change modify, add or remove functions, features, capabilities or software life cycle data that could affect existing airborne software that has a system safety classification requirement of ‘Catastrophic’, ‘Hazardous’ or ‘Major’?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
E2	Will the change introduce the use of a “new” airborne software that has a system safety classification requirement of ‘Catastrophic’, ‘Hazardous’ or ‘Major’? “New” is used in this context to designate a software that has never been certified for this particular platform (aircraft). This includes a new developed software or a previously certified software from other civil or military platforms.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

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E3	Will the change modify the software Design Assurance Level (DAL) classification or the Software Criticality Indices (SwCI) classification?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
E4	Will the design change affect software that has previously been assigned a DAL level of A, B or C or a SwCI classification of 1, 2 or 3?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
F. AIRBORNE ELECTRONIC HARDWARE (AEH) (Also see Advisory Material in Annex B – Section F)			
F1	Will the change modify, add or remove functions, features, capabilities or AEH life cycle data that affect existing AEH that has a system safety classification requirement of 'Catastrophic', 'Hazardous' or 'Major'?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
F2	Will the change introduce the use of a "new" AEH that has a system safety classification requirement of 'Catastrophic', 'Hazardous' or 'Major'? "New" is used in this context to designate an AEH that has never been certified for this particular platform (aircraft). This includes a new developed AEH or a previously certified AEH from other civil or military platforms.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
F3	Will the design change affect airborne hardware that has been previously assigned a DAL level of A, B or C?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
G. ELECTRICAL SYSTEMS (Also see Advisory Material in Annex B – Section G)			
No.	QUESTION	RESPONSE	COMMENTS
G1	Will the change increase the load or affect the electrical generation capacity?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
G2	Will the change alter the battery time available during an emergency?"	<input type="checkbox"/> Yes <input type="checkbox"/> No	
G3	Will the change have an appreciable effect on any instrument lights, landing lights, wing icing detection lights, position lights, emergency lighting or other flight critical lights, including lighting required by regulation?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
G4	Will the change involve significant wiring modifications that affect the design features that ensure the separation of aircraft wiring-related ignition sources from flammable fluid lines and storage tanks?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

H. OCCUPANT/CABIN SAFETY (Also see Advisory Material in Annex B – Section H)			
No.	QUESTION	RESPONSE	COMMENTS
H1	Will the change to the cabin or flight deck configuration adversely affect any aspects of passenger/crew safety and/or survivability, or be appreciable enough to require a re-assessment of emergency evacuation capability?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
H2	Will a change affect any of the following: 1) the pilot's ability to fly the aircraft; 2) the crew's ability to egress the aircraft or; 3) the seat and seatbelt functionality?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
H3	Will the change introduce new materials to the aircraft compartment interiors that may affect flammability, smoke or toxic gas certification requirements?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
H4	Will the change include a new cargo handling system and/or nets/bulkheads introduced to protect the occupants in front of the cargo?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
H5	Will the change have an appreciable effect on any of the fire detection and suppression systems in the cabin, flight station or cargo compartment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
H6	Will the change have an appreciable effect on the sound pressure levels, as required by human factors requirements, in areas of the air vehicle occupied by personnel during flight or ground operations?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

I. POWERPLANT AND FUEL SYSTEMS (Also see Advisory Material in Annex B – Section I)			
No.	QUESTION	RESPONSE	COMMENTS
I1	Will the change alter the installation or configuration or performance of any engine, transmission or gearboxes?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
I2	Will the change have an appreciable effect on any power-plant, APU or transmission operating limitations, caution/warning systems or fire protection systems?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
I3	Will the change have an appreciable effect on the engine, propeller or rotor ice detection and protection systems?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
I4	Will the change have an appreciable effect on the fuel system (including jettisoning) and related pumps, valves, and piping?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
I5	Will the change have an appreciable effect on the environmental characteristics of noise, fuel venting or engine emissions?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
I6	Will the change involve a new or different means of propulsion or type fuel?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

J. AIRCRAFT STORES – CARRIAGE AND RELEASE (Also see Advisory Material in Annex B – Section J)			
No.	QUESTION	RESPONSE	COMMENTS
J1	Will the change add any new stores or weapons to the approved aircraft configuration, including external fuel tanks, pylons and racks?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
J2	Will the change have an appreciable effect on the manner or operation in which a store is carried, released and/or jettisoned from an aircraft, such that it could affect the safe flight of the aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

K. ELECTRO-MAGNETIC COMPATIBILITY (EMC), HIGH INTENSITY RADIATED FIELDS (HIRF) AND LIGHTNING (Also see Advisory Material in Annex B – Section K)			
No.	QUESTION	RESPONSE	COMMENTS
K1	Will the change have an appreciable effect on the EMC, HIRF or Lightning clearance of existing aircraft systems or equipment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
K2	Does the change include new equipment and components that could require an EMC, HIRF or Lightning clearance?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
K3	Does the change have an appreciable effect on systems or equipment that could require an assessment for operation in a HIRF environment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
K4	Does the change have an appreciable effect on systems or equipment that could require an assessment for lightning protection?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

L. AIRCRAFT CYBERSECURITY (Also see Advisory Material in Annex B – Section L)			
No.	QUESTION	RESPONSE	COMMENTS
L1	Does the change introduce a new data connectivity path or modify the configuration of an existing data connectivity path to external systems or networks, which may affect systems with hazards classified as ‘Catastrophic’, ‘Hazardous’ or ‘Major’?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
L2	Was the design change categorized as ‘Major’ by answering ‘yes’ to any of the questions in sections E or F (Airborne Software and Airborne Electronic Hardware, respectively) above?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

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L3	Will the design change modify a system with hazards classified as 'Minor' or 'No Safety Effect (NSE)' that is connected and, consequently, may propagate a cyber-attack, to a system with a hazard classified as 'Catastrophic', 'Hazardous' or 'Major'?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
M. HUMAN FACTORS (Also see Advisory Material in Annex B – Section M)			
No.	QUESTION	RESPONSE	COMMENTS
M1	Will the change have an appreciable effect on the flight deck design such that a human factors re-evaluation may be required?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
M2	Will the change have an appreciable effect on the passenger/cabin crew compartment design such that a human factors re-evaluation may be required?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
M3	Will the change have an appreciable effect on the flight deck crew or cabin crew safety of flight procedures, such that a human factors re-evaluation may be required?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
N. FLIGHT MANUAL (Also see Advisory Material in Annex B – Section N)			
No.	QUESTION	RESPONSE	COMMENTS
N1	Will the change modify the approved set of Technical Airworthiness Data (TAWD) information contained in the Flight Manual (FM) or Aircraft Operating Instructions (AOI)?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
N2	Will the change have an appreciable effect on the system operating information in the FM or AOI?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
O. MISSION EQUIPMENT (Also see Advisory Material in Annex B – Section O)			
No.	QUESTION	RESPONSE	COMMENTS
O1	Will the change have an appreciable effect on the functioning or failure modes of the mission equipment such that it will affect the safe flight of the aircraft, or the ability of the occupants to safely egress the aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
O2	Does the design change to the mission equipment affect any existing hazards that are classified as 'Major', 'Hazardous' or 'Catastrophic', in the Functional Hazard Assessment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

PART 3 – DESIGN CHANGE CATEGORIZATION REVIEW AND APPROVAL		
(To be completed by an Authorized Individual (AI))		
DESIGN CHANGE APPROVED AS: <input type="checkbox"/> MAJOR <input type="checkbox"/> MINOR (check as applicable)		
Comments:		
Approved By:	<div>_____</div> <div>Signature Date</div>	
NAME	DESIGNATION	PHONE

Design Change Categorization Checklist – Advisory Material

1. Instructions for the Completion of the Categorization Checklist in Annex A

- 1.1 This checklist is intended to be used by TAA-Acceptable Organizations (Acceptable Design Organizations (ADOs) and Acceptable Technical Organizations (ATOs)) and Authorized Individuals (AI) in the process of categorizing design changes as either 'Minor' or 'Major'.
- 1.2 This checklist is to be used only for Modifications/Alterations to DND/CAF aircraft, including changes to the physical design and configuration, aircraft software, aircraft roles and missions, operating environment, and aircraft flight avionics and mission.
- 1.3 To improve readability, the checklist questions use the words 'has an effect' or 'affects'. These terms are to be taken as a contraction of the phrase 'has an appreciable effect' and 'appreciably affect(s)'
- 1.4 This checklist is not applicable to design changes that involve Structural Repairs, changes to the Approved Maintenance Program, the Flight Manual (FM) and the Master Minimum Equipment List (MMEL). As described in paragraph 2.2 of the advisory, each of these types of changes have their own categorization procedure.
- 1.5 **Checklist - Part 1 – Design Change Information.** Insert the relevant design change information.
- 1.6 **Checklist - Part 2 – Design Change Categorization Question Set.** A response must be provided for all the questions provided in Part 2. The questions in this checklist have been developed such that, if the response to any of the checklist questions is 'Yes', then the design change has an appreciable effect on airworthiness and, therefore, must be categorized as 'Major'. If the response to all the questions is 'No', then there are no appreciable effects and the change is 'Minor'. The 'Comments' column in the checklist may be used to provide additional substantiation for the assessment. The person(s) answering the Part 2 questions is (are) not required to be formally designated as a TAA AI.
- 1.7 **Checklist - Part 3 – Design Change Categorization Review and Approval.** This section is to be completed by an individual authorized by the TAA to review the checklist question responses and approve the categorization results.
- 1.8 **Annex B - Advisory Material.** The advisory material provided in this annex is intended to assist respondents in answering the checklist categorization questions. The advisory material provides additional criteria and examples of major design changes.
- 1.9 The individual(s) involved in the categorization of design changes should be aware of the impact that a change to one aircraft system may have on the airworthiness of the systems of the aircraft.

2. Advisory Material – Annex A Checklist Questions

- A. General Criteria Applicable to all Aircraft Systems.** The following are criteria that apply to changes to any system in the aircraft. A design change shall be classified as major when one or more of the following conditions are met:

- A1. Is it anticipated that the design change will introduce new aircraft functional hazard(s) with a severity classification of 'Catastrophic', 'Hazardous' or 'Major', or increase existing aircraft functional hazard severity to a classification of 'Catastrophic', 'Hazardous' or 'Major'?

ADVISORY NOTES

1. *The purpose of Question A1 is not to imply that there is a need to revise the System Safety Assessment (SSA)/Functional Hazard Assessment (FHA) during the design categorization process. Rather, it is to determine whether or not the design change results in changes to the existing hazard severity level for the design element. If there is a change, then the design change must be categorized as 'Major'. Any required updates to the SSA/FHA would be completed as part of the design change implementation process.*
 2. *If the aircraft or the design element that will be changed does not have an existing SSA/FHA, a FHA of the changed design element/system would need to be performed, as a minimum, in order to determine if the design change affects 'Catastrophic', 'Hazardous' or 'Major' systems. If it is not practicable to complete the hazard assessment during the design change categorization process, then the design should be categorized as 'Major', and the hazard assessment deferred until the design change development process is conducted. If, during the design change development process, the hazard assessment determines that there is no effect on these systems, the change may be re-categorized as 'Minor', as described in this advisory.*
- A2. Will the change require the addition of any new airworthiness requirements or a new interpretation of the certification requirements in the design certification basis? Examples include:
- a. Requiring compliance with additional certification requirements;
 - b. Requiring the addition of a special condition.
- A3. Will the change require the use of a means/methods of demonstrating compliance that is appreciably different from the one previously used in certifying the type, or one that the TAA had not previously accepted? For example, if the change requires a significantly expanded flight and/or lab testing program, as compared to that used during the original design certification program, then the change is likely to be appreciable.
- A4. Will the change alter (add, remove or amend) any of the aircraft limitations or restrictions? By definition, any alteration to the limitations or restrictions listed for the type design is considered a major change.
- A5. Will the change introduce a new or revised standard or means of compliance as compared to those used in the original certification of the design? Where a new or different design standard, or a new/different means of compliance is used in place of the one used during the original certification, then the associated design change should be categorized as "major", since the findings of compliance, for the portions of the design affected by the change, must be repeated using the new standard or means of compliance.

B. Aircraft Usage, Performance and Flight Characteristics. A design change must be considered major if it appreciably affects the certified aircraft performance and flight characteristics, according to the following criteria:

- B1. Will there be an appreciable change to the aircraft roles, missions or capabilities? An example of appreciable change would be expanding the operating envelope of a helicopter from land-based-only operations to include operation from a naval vessel. Other examples include:
- a. changes to the aircraft Statement of Operating Intent (SOI);
 - b. changes to the maximum allowable weight of the aircraft;
 - b. changes to the exposure to flight in icing conditions;
 - c. changes to the minimum or maximum operating altitudes;

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- d. changes to the extended over-water operating limits;
 - e. installation of flare and chaff systems;
 - f. installation of systems integrating a high-power laser; and
 - g. change in the intensity and/or frequency of high-g manoeuvres.
- B2. Will there be any appreciable changes to the aircraft operating environment? An example of change to the approved operating environment would be expanding the areas of allowable operation to include Northern Domestic Airspace and Polar Regions, which requires a means of establishing direction that is not dependent upon a magnetic source. Other examples include expanding the aircraft limits for:
- a. geo-location;
 - b. temperature;
 - c. visibility;
 - d. low-altitude operations;
 - e. exposure to potential icing conditions;
 - f. exposure to salt spray; or
 - g. exposure to lightning.
- B3. Will the change appreciably affect the ability of the aircraft to operate in controlled airspace? An example would be the installation of a capability for performance-based operation, which includes the following:
- a. Required Navigation Performance (RNP);
 - b. Area Navigation (RNAV);
 - c. Automatic Dependant Surveillance Broadcast (ADS-B); and
 - d. Reduced Vertical Separation Minimum (RVSM).
- B4. Will the change have an appreciable effect on the aircraft's performance characteristics or limitations? Examples of changes that may have an appreciable effect include:
- a. alterations to the wings, tail surfaces and fuselage;
 - b. alterations to the flight control systems and handling qualities, including changes to the flight controls function (gains adjustments, functional modification to software), or changes to the flight protection or warning system;
 - c. changes that have the potential to affect the flutter or vibration characteristics;
 - d. alterations to the design airspeeds, airspeed limitations, acceleration (g's) and altitude limits;
 - e. alterations to the take-off, climb or landing performance, including the take-off or landing decision points;
 - f. alterations to the longitudinal, lateral or directional control or stability;
 - g. alterations to the performance or functioning of any lift or drag devices,
 - h. alterations to the height-velocity envelope of the rotorcraft;
 - i. alterations to the stalling speed or stalling characteristics, including changes to the stall protection and warning systems;
 - j. alterations to the hover or auto-rotation performance of the rotorcraft;
 - k. alterations to the structural flight envelope (V-n diagram);
 - l. alterations to the performance or function of any lift or drag devices;
 - m. alterations to the approved weight or center of gravity (C of G) limits,

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- n. alterations to the ground handling characteristics, including now-wheel steering; or
 - o. alterations to the compartment capacity limits, specifically the maximum weight allowable for the various compartments of the aircraft.
- B5. Will the change have an appreciable effect on the exterior profile of the aircraft? Examples of changes to the exterior profile of the aircraft include:
- a. significantly increase drag, or exceed aerodynamic smoothness limits;
 - b. a change in the shape of the wing, empennage or flight control surfaces;
 - c. the addition of winglets, radome or antenna; or
 - d. the installation of new external tanks or stores.
- B6. Will the change appreciably affect the installation, configuration, service life or performance of any propellers, main rotor or tail rotors? Examples include:
- a. alterations to the propeller/rotor blade: diameter, material; airfoil shape, planform; number of rotor blades and material; and
 - b. alterations to the propeller/rotor drive system, hubs including dampers and controls, the blade retention system and blade lubrication systems.
- B7. Will the change appreciably affect the ability of the aircraft to continue to meet the environmental noise standards established during the original certification of the design? Aircraft noise consists of engine noise and airframe noise. Engine noise emanates from the fans and compressors inside the jet engine. Airframe noise is generated when air passes over the plane's body (the fuselage) and its wings. This causes friction and turbulence, which make a noise. Sources of airframe noise include the fuselage, main wings, landing gear and wheel-bays, trailing edge flaps, leading edge slats, etc. Typically, engine noise is the dominant aircraft noise source during takeoffs, while airframe noise is a bigger contributor to noise source during landings.

C. Structures, Mechanical and Hydraulic Systems. A design change is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

- C1. Changes to the structural strength, loads applied or dynamic response related to the airframe, dynamic components, flight controls, mechanical systems or mission equipment, including:
- a. changes such as a cargo door cut-out, fuselage plugs, change of dihedral, addition of floats;
 - b. changes that affect primary structural element loads and their path;
 - c. changes to materials, processes or methods of manufacture of primary structural elements, such as spars, frames and critical parts;
 - d. changes that affect dynamic components, flight controls, mechanical systems or mission equipment;
 - e. structural changes that involve the use of alternate material or production methodology;
 - f. changes that affect the flight control system or control system loads;
 - g. changes that affect any stability augmentation or any automatic or power-operated control system;
 - h. changes that affect the structural strength and/or loads applied to the stressed-skin wing, vertical and horizontal stabilizer, engine mounts, nacelles or landing gear support structure;
 - i. changes that affect the structural strength or dynamic response of the main/tail rotor structure, rotorcraft fuselage and/or rotor pylon;

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- j. changes that affect the mass distribution of a structural component;
 - k. changes that affect the structural flight envelope (V-n diagram);
 - l. the structural strength and/or loads applied to the crew and occupants harnesses, seats and seat attachment points, during an emergency landing or crash;
 - m. changes that affect the strength and/or crashworthiness of compartment interiors, equipment racks, mission consoles and furnishings; or
 - n. changes that affect the compartment capacity limits, specifically the maximum weight allowable for the various compartments of the aircraft.
- C2. Changes to the physical characteristics or performance of a life-limited part or structural components that are subject to damage tolerance or fatigue evaluation, including:
- a. changes that adversely affect fatigue or damage tolerance or life limit characteristics; or
 - b. changes that affect physical characteristics or performance of a life-limited part or structural components that are subject to damage tolerance or fatigue evaluation.
- C3. Changes to the configuration, operation, function or performance of the following aircraft systems:
- a. changes that affect undercarriage and wheels, including:
 - (1) the operation of the landing gear, including retraction and extension;
 - (2) the ground handling characteristics, including nose-wheel steering; or
 - (3) the performance or functioning of the brakes.
 - b. changes that affect the hydraulic system, including:
 - (1) the function or performance of any hydraulic actuating systems;
 - (2) the introduction of new fluid types; or
 - (3) hydraulic line routing/clamping and separation from wiring and other ignition sources.
 - c. changes that affect fire detection and suppression;
 - d. changes that affect the functioning of an electrical actuating system or component;
 - e. changes that affect compressed gas system, including valves and piping;
 - f. changes that affect airframe or rotor deicing and or ice protection system;
 - g. changes that affect any external structure that may affect anti icing systems;
 - h. changes that affect flight control systems, including:
 - (1) hydraulic systems and actuators; or
 - (2) mechanical and electro-mechanical actuators.
 - i. changes that affect vulnerability to the effects of lightning; or
 - j. changes that affect air data systems, including the altitude, airspeed sensing and pitot heat functions.
- C4. Changes to, or the introduction of, new structural components to the aircraft compartments, such as mission consoles, equipment racks or crew seats, including:
- a. changes that affect structural strength and/or loads applied to the crew and occupants harnesses, seats and seat attachment points; or
 - b. changes that affect new composite materials or fabrication procedures, such that recertification would be required, e.g., flammability and material compatibility.

D. Avionic Systems and Equipment. Avionics engineering promotes aviation safety by ensuring that the avionic equipment, systems and their installation meet the appropriate airworthiness certification requirements. The criteria, guidance and examples provided below, which are based on assessments

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of the varying level of impact that avionic equipment and systems may have on the aircraft system safety, will assist in determining the answer to the following Annex A questions:

- D1. Will the design change have an appreciable effect on avionic systems, equipment function or capabilities that have failure effects with a safety classification of 'Catastrophic', 'Hazardous' or 'Major' in the following situations:

ADVISORY NOTE

Given that the examples of various systems and their associated failure classification are based on prior experience and on guidance derived from various airworthiness regulatory documents (e.g., FAA ACs, EASA AMCs), they are considered generic and somewhat arbitrary. The actual failure classification and effects for a given item of equipment or system on a given project must be based on the actual Functional Hazard Assessment (FHA), associated System Safety Assessment (SSA) or equivalent documents.

a. Modification of avionic equipment and systems with 'Major', 'Hazardous' or 'Catastrophic' effects:

- (1) Certain avionic equipment and systems may be categorized as having failure effects at the aircraft system safety level, with a system safety hazard classification of:
 - i. **'Major'** – examples include, but are not limited to, communication systems; certain navigation systems like VOR, GNSS RNAV/RNP Enroute, Terminal and Non-Precision Approach, and ATC Surveillance Systems;
 - ii. **'Hazardous'** – examples include, but are not limited to, certain navigation systems like ILS, GNSS RNP LPV;
 - iii. **'Catastrophic'** – examples include, but are not limited to, flight instruments and displays that provide primary or critical flight information; automatic flight control systems; electronic engine controls.
- (2) A design change **introducing** such equipment and systems on the aircraft will always be considered a major change for the purposes of this advisory.
- (3) A design change involving the **modification** of such equipment and systems, where it is determined that there is an **appreciable effect** on the airworthiness of these types of systems, will be considered a major change for the purposes of this advisory.
- (4) Examples of what is considered appreciable effect on airworthiness include, but are not limited to:
 - i. Changes to the performance specification, which affect the functional and performance capabilities of the equipment (e.g., the addition of another satellite constellation to a GNSS receiver);

ADVISORY NOTE

Changes to non-operational equipment performance specifications (e.g., maintenance display pages, system configuration pages) can be considered as not having an appreciable effect on airworthiness and, therefore, classified as minor.

- ii. Changes to the intended operational usage of the equipment (e.g., certification for operations in Northern Domestic Airspace); or
- iii. Changes that affect operational functionality or performance of the equipment (e.g., addition of RNP 2 Parallel Offset functionality to already RNP 2 certified installation, addition of RNP AR APCH capability to an already PBN certified

configuration, addition of Automatic Dependent Surveillance – Broadcast (ADS-B Out) capability).

ADVISORY NOTE

Consult DTAES 3 staff if any doubt or uncertainty exists on whether a design change is considered to have an appreciable effect on airworthiness.

- b. **Modification of avionic equipment and systems with ‘negligible’ to ‘minor’ effects:**
 - (1) Some avionic equipment and systems are categorized as having ‘negligible’ or ‘minor’ impact from an aircraft system safety perspective. Design changes affecting this equipment or these systems, by either modifying them or adding completely new systems, can normally be classified as a minor design change for the purposes of this advisory.
 - (2) Nonetheless, for situations where TSO equipment is being modified, the original equipment manufacturer (OEM) will typically provide documentation that identifies whether the change is considered major or minor from a TSO perspective. It is still incumbent on the AI to determine whether the change to TSO equipment has an appreciable effect on airworthiness at the aircraft level.
 - (3) Additionally, since these equipment or systems may be interfaced with other aircraft systems having more critical failure effects, it is imperative that the AI determine whether there is an appreciable effect on the other equipment or systems as a result of these changes.
- D2. Will the design change add or have an appreciable effect on avionic systems, equipment functions or capabilities that are required by the National Defence Flying Orders (B-GA-100-001/AA-000)?
 - a. Examples of equipment required by the National Defense Flying Orders include the following non-exhaustive list of equipment:
 - (1) FDR/CVR (B-GA-100-001/AA-000 Chapter 3, Paragraph 22)
 - (2) Altimeter (B-GA-100-001/AA-000 Chapter 3, Paragraph 15)
 - (3) Magnetic Compass (B-GA-100-001/AA-000 Chapter 3, Paragraph 16)
 - (4) Two Way Radio (B-GA-100-001/AA-000 Chapter 3, Paragraph 18)
 - (5) ELT or UHF Bailout Tone Emitter (B-GA-100-001/AA-000 Chapter 3, Paragraph 20)
 - b. In some cases, the equipment above does not contribute to failure conditions, at the aircraft level, that are categorized as ‘Major’, ‘Hazardous’ or ‘Catastrophic’. However, the inclusion of this equipment in the National Defense Flying Orders is indicative of the fact that it provides a specific safety benefit to the overall aircraft. Modifications of equipment required by the Flying Orders require scrutiny to ensure that an acceptable level of safety is maintained, and the modifications do not compromise important safety functions at the aircraft level. For example, the upgrade/replacement of an existing FDR/CVR using the existing aircraft sensor interfaces or the addition of a new recorded parameter that is already available on a recorder data bus could be considered minor, since, generally, the aircraft crew has minimal to no interaction with the FDR/CVR system, and the modification is limited to the FDR/CVR only. Additionally, no new interfaces are connected to the CVR/FDR.
 - c. On the other hand, the installation of a FDR/CVR in an aircraft that did not previously have one, or the significant modification of the interfaces (i.e., changing from analog input to digital bus interfaces, a new analog sensor or new digital bus interface, or new physical

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mounting of sensors to control surfaces or actuators) would be considered major, since the modification is more extensive and has an appreciable effect on airworthiness.

E. Airborne Software. A software design change is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

- E1. Will the change modify, add or remove functions, features, capabilities or software life cycle data that affect existing airborne software that has a system safety classification requirement of 'Catastrophic', 'Hazardous' or 'Major', including the following changes to software life cycle data:
- a. changes to software requirements, design, architecture and code components (especially those affecting safety-related functions, partitioning, redundancy or safety monitors);
 - b. changes to code (source, object, and executable object) components that perform a safety-related function, or changes to a component providing input to another component, which performs a safety-related function. (For this order, a safety-related function is one that could potentially induce or allow a major, hazardous, or catastrophic failure condition to go undetected);
 - c. changes to characteristics of the development environment impacting the executable object code;
 - d. modifications to Operational Flight Programs (OFPs) that affect flight controls/limits;
 - e. changes to memory allocation requirements so that memory margins are adversely impacted (for example, less than five percent margin remaining);
 - f. changes to timing requirements so that timing margins are adversely impacted (for example, margins are unpredictable or less than 10 percent margin remains);
 - g. changes to input/output requirements (such as bus loading) so that input or output performance is adversely impacted (for example, less than five percent margin remains);
 - h. data and control coupling characteristics are adversely impacted (for example, to the extent that more than 50 percent of the coverage analysis must be redone); and
 - i. changes to interface characteristics.

ADVISORY NOTE

In Part 2, Chapter 4 – Airborne Software and Electronic Hardware of TAA's Airworthiness Design Standards Manual (ADSM), the Design Assurance Level (DAL A, B, C and D) methodology of RTCA DO-178C is deemed one of the two software processes accepted by the TAA for determining the criticality of software functions. The other is the U.S. Military Standard MIL-STD 882E, which uses Software Criticality Indices (SwCI 1, 2, 3 and 4).

- E2. Will the change introduce the use of a "new" airborne software that has a system safety classification requirement of 'Catastrophic', 'Hazardous' or 'Major'? The term "new" is used in this context to designate a software that has never been certified for this particular platform (aircraft). This includes a newly developed software or a previously certified software from other civil or military platforms.
- a. new software with a Design Assurance Level (DAL) of A, B, C (RTCA DO-178C or Software Criticality Indices (SwCI) 1, 2, 3 is a major change; and
 - b. new software with a DAL of D or E or a SwCI 4 and 5 are considered minor changes.
- E3. Will the change modify the software DAL classification or the SwCI classification? Changes to software are classified as either major or minor as follows:
- a. changes to DAL A, B or C (or Level 1 and 2 for DO-178/A) are considered major changes;
 - b. changes to SwCI 1, 2, or 3 (using the Mil-Std-882 classification system for failure effects corresponding to catastrophic, critical and marginal) are considered major changes; and

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- c. changes to DAL D and E or SwCI 4 and 5 are considered minor changes.
- E4. Will the change affect software that has design assurance levels of DAL A, B or SwCI 1, 2, or 3?

ADVISORY NOTES

1. *Because the software was designed to a specific hardware environment, changes to the processor or to the hardware components interfacing with the processor might have a major impact on the software behavior. A detailed impact analysis on the software is required, usually validated by software regression testing.*
2. *Any modification, addition, or removal of functions, features, or capabilities in software or AEH with a DAL A, B or C classification may introduce an unacceptable information security risk. See Section L – Aircraft Cybersecurity.*

F. Airborne Electronic Hardware (AEH). The term AEH refers to complex electronic hardware in airborne systems. Complex electronic hardware includes devices like Field Programmable Gate Arrays (FPGAs), Programmable Logic Devices (PLDs) and Application Specific Integrated Circuits (ASICs). RTCA's DO-254 standard is the counterpart to the software standard DO-178 and is recognized by the TAA as a means of demonstrating compliance for the design of complex electronic hardware in airborne systems. An AEH design change is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

- F1. Will the change modify, add or remove functions, features, capabilities or AEH life cycle data that affect an existing AEH that has a system safety classification requirement of 'Catastrophic', 'Hazardous' or 'Major', including the following changes to the AEH life cycle data:
 - a. changes to AEH requirements, design, architecture and code components (especially those affecting safety-related functions, redundancy or safety monitors);
 - b. changes to code (HDL, netlist and binary code) components that perform a safety-related function, or changes to a component providing input to another component, which performs a safety-related function (For this order, a safety-related function is one that could potentially induce or allow a major, hazardous, or catastrophic failure condition to go undetected);
 - c. changes to characteristics of the development environment impacting the netlist and binary code, and
 - d. changes to interface characteristics.
- F2. Will the change introduce the use of a "new" AEH that has a system safety classification requirement of 'Catastrophic', 'Hazardous' or 'Major'? The term "new" is used in this context to designate an AEH that has never been certified for this particular platform (aircraft). This includes a newly developed AEH or a previously certified AEH from other civil or military platforms.
 - a. This includes DAL A, B and C AEH.
 - b. Changes to DAL D and E AEH are considered to be minor.
- F3. Will the change modify the AEH DAL classification?
 - a. DAL classification of A, B or C?
 - b. Changes to DAL D and E are minor changes.

G. Electrical. An electrical design change is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

- G1. Will the change increase the load or affect the electrical generation capacity, including:

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- a. an electrical generation device, or the electrical distribution system between the generating source and either its primary distribution bus, or any other bus designated as an essential bus;
 - b. any of the electrical system control or protection devices; and
 - c. the electrical load analysis of the aircraft? A modification must be assessed to determine the effect on the capacity of the electrical power system to accommodate the change and does not negatively impact power availability for previously-installed required systems.
- G2. Will the change have an appreciable effect on any instrument lights, landing lights, wing icing detection lights or position lights, emergency lighting or other flight critical lights, including lighting required by regulation? Significant changes may include:
- a. light location, orientation or field-of view; and
 - b. light intensity, colour or compatibility with Night Vision Goggles (NVG).
- G3. Will the change impact the battery time available during an emergency, such that it may, for example:
- a. reduce the storage capacity of the primary battery;
 - b. affect any communication, flight instruments or indicators that will be powered by the battery backup system; or
 - c. require any ground or flight testing to verify that a change to the emergency battery system provides the minimum specified power to the backup flight instruments.
- G4. Will the change involve significant wiring modifications that affect the design features that ensure the separation of aircraft wiring-related ignition sources from flammable fluid lines and storage tanks? Considerations include the following:
- a. Examples of significant wiring modifications include, but are not limited to, the installation of new avionic systems, new galley installations and new instrumentation. Installation procedures for the design change must include instructions for the routing and securing of electrical wiring and flammable fluid-carrying lines (e.g., fuel lines, hydraulic lines, oxygen lines) that ensure the required clearances are provided under all operational conditions.
 - b. Certification activities may be required to verify that the modifications to the Electrical Wiring Interconnect System (EWIS) meet the airworthiness requirements of the aircraft certification basis, as well as any additional requirements specified for the design change.
 - c. Modifications that could require EWIS certification include, but are not limited to, those that install new equipment in close proximity to wiring or introduce a wiring-related heat source in an area that might contain material/vapor that could cause a fire to be sustained, in the event of an ignition source arising in adjacent wiring.
 - d. Design changes that introduce new wiring or significant changes to the existing EWIS must be evaluated to determine the potential effects of deterioration to the EWIS and the need to amend the aircraft Instructions for Continued Airworthiness (ICAs) to ensure that the appropriate inspection and maintenance activities are included.

ADVISORY NOTE

See reference a, para 3.2., TP 14331E – Enhanced Zonal Analysis Procedures, of TAA Advisory 2018-01 – Integrity Monitoring Requirements for EWIS.

- H. Occupant/Cabin Safety.** A design change to the flight deck and passenger/mission compartment is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

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- H1. Will the change to the cabin or flight deck configuration adversely affect any aspects of passenger/crew safety and survivability, or be appreciable enough, to require a re-assessment of emergency evacuation capability? Items to consider include, but are not limited to the following:
- a. changes to cabin layouts that affect evacuation path or access to exits;
 - b. changes of distance between seat and adjacent obstacle like a divider;
 - c. changes to pressurization, emergency oxygen, protective breathing systems;
 - d. changes to occupant restraints and seats;
 - e. changes to, or introduction of, dynamically tested seats;
 - f. changes to emergency markings, both interior and exterior;
 - g. changes to emergency lighting (e.g., exit lights);
 - h. changes that increase interior noise levels above specified limits; and
 - i. changes to the mission equipment racks, consoles and crew seats.
- H2. Will a change affect any of the following: 1) the pilot's ability to fly the aircraft; 2) the crew's ability to egress the aircraft, or; 3) the seat and seatbelt functionality?, including:
- a. the aircrew crew seats, belts or harnesses; and
 - b. the crew ejection and parachute systems; including:
 - (1) changes to the ejection seat, actuation system and safety inter-locks;
 - (2) changes to the ejection envelope of the ejection seat; or
 - (3) changes that affect the ability of the ejection seat to perform safely over the entire range of authorized occupants?
- H3. Will the change introduce new material to the aircraft interior that may affect flammability, smoke or toxic gas certification requirements, including changes to:
- a. seat coverings;
 - b. interior panel material; and
 - c. floor panel materials
- H4. Will a new cargo handling system and/or nets/bulkheads be introduced to protect the occupants in front of the cargo?
- H5. Will the change have an appreciable effect on any of the fire detection and suppression systems in the cabin, flight station or cargo compartment? Appreciable changes may include:
- a. the addition or removal of a complete system or part of a system;
 - b. changes to the type of fire detection method, sensor or zonal coverage;
 - c. changing the fire extinguishing agent; and
 - d. changes to the duration of fire suppression operation.
- H6. Will the change have an appreciable effect on the sound pressure levels, as imposed by human factors requirements, in areas of the air vehicle occupied by personnel during flight or ground operations? Appreciable changes may include:
- a. the introduction of new noise sources;
 - b. an increased noise volume or changes to the noise frequency spectrum; and
 - c. the removal of, or changes to, noise suppression material.
- I. Powerplant, Propellers and Fuel Systems.** A design change to the propulsion and fuel systems is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

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11. Will the change alter the installation, configuration or performance of any engine, transmission or gearboxes? Such changes include:
 - a. changing an aircraft engine model from one type to another;
 - b. changes in compression ratio or substitution of major engine parts, which require rework and testing of the engine;
 - c. changes that affect the propeller diameter, number of blades, material, airfoil, planform and blade retention system;
 - d. changes that affect the propeller or helicopter rotor drive system, rotor hubs including dampers and controls, the blade retention system and lubrication systems;
 - e. changes that will affect the propeller and rotor drives system endurance; and
 - f. change the temperature or other environmental factors, such that they will be outside of the limits approved for the aircraft type.
12. Will the change have an appreciable effect on any powerplant (including any APU) or transmission operating limitations, caution/warning systems or fire detection and suppression systems, including:
 - a. powerplant control system changes that affect the engine/propeller/airframe interface;
 - b. changes that affect operating speeds, temperatures and other limitations;
 - c. changes that affect, or introduce, parts where the failure effect has been shown to be catastrophic, hazardous or major;
 - d. changes that affect, or introduce, engine critical parts or their life limits;
 - e. changes to any part of the engine that adversely affects the existing containment capability of the structure;
 - f. the installation of an accessory that is not approved for the engine;
 - g. the removal of accessories that are listed as required equipment on the aircraft or engine specification;
 - h. new instrumentation displaying operating limits; or
 - i. changes that affect engine and APU fire detection and suppression.
13. Will the change have an appreciable effect on the engine, propeller or rotor ice detection and protection systems, including:
 - a. changes that affect the propeller or rotor diameter, number of blades, material, airfoil; and
 - b. changes that affect any engine inlet configuration or inlet-related anti-ice system.
14. Will the change have an appreciable effect on the fuel system including the following:
 - a. modifications to the fuel system and tanks (number, size and configuration);
 - b. impact on fuel line routing/clamping and separation from wiring and other ignition sources;
 - c. any conversions for the purpose of using fuel of a rating or grade other than that listed in the engine specifications;
 - d. changes that adversely affect the fuel, oil and air systems, which alter the method of operation, or require reinvestigation against the type-certification basis;
 - e. changes to the fuel system pumps, valves or piping;
 - f. changes to the fuel jettisoning capability;
 - g. an increase in the engine fuel venting or engine emissions;
 - h. approved use of any new fuels or lubricants; and
 - i. change that affect the design or installation of fuel tank(s) (including crashworthiness).

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- 15. Will the change have an appreciable effect on the environmental characteristics of noise, fuel venting or engine emissions, including:
 - a. the ability of the aircraft to meet applicable Canadian and international Aircraft noise restrictions;
 - b. the ability of the aircraft to meet applicable Canadian and international engine emission restrictions; and
 - c. the ability of the aircraft to meet applicable Canadian and international fuel venting restrictions.
- 16. Will the change involve a new or different means of propulsion, or type fuel, i.e., turbo-prop to turbo-jet or gas-turbine to electric?

J. Aircraft Stores – Carriage and Release. A change to the aircraft stores, carriage and release systems is appreciable and must be considered major if it affects any of the areas or conditions described in the following:

- J1. Will the change add any new stores or weapons to the approved aircraft configuration, including external fuel tanks, pylons and racks?
 - a. the installation of new weapons and stores, including the carriage of an existing weapon or store in a new location or next to a different weapon or store;
 - b. the installation of flare and chaff systems;
 - c. the integration of chaff and flares and other countermeasures expendables;
 - d. the installation of systems integrating a high-power laser; and
 - e. a modification to the release device of a store.
- J2. Will the change have an appreciable effect on the manner or operation in which a store is carried, released and/or jettisoned from an aircraft, such that it could affect the safe flight of the aircraft?

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A store is any device intended for internal or external carriage, and mounted or placed on aircraft suspension or release equipment, whether or not the device is intended to be separated in flight from the aircraft. Stores include but are not limited to:

- a. *missiles;*
- b. *rockets and rocket launchers;*
- c. *bombs and mines;*
- d. *torpedoes and torpedo simulators;*
- e. *pyrotechnic flares or markers;*
- f. *ECM flare and chaff cartridges;*
- g. *fuel tanks and deployable refuelling hoses;*
- h. *pods, i.e., photographic;*
- i. *Electronic Counter Measures (ECM);*
- j. *dispensers and rescue;*
- k. *tow targets;*
- l. *drones; and*
- m. *cargo and guns, including applicable ammunition.*

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- K. Electro-Magnetic Compatibility (EMC).** A change to the aircraft systems and components must be considered major if the change meets the criteria requiring an EMC, HIRF or Lightning evaluation and clearance, as described in the following:
- K1. Will the change have an appreciable effect on the EMC, HIRF or Lightning clearance of existing aircraft systems or equipment?
 - a. Will the defined EMC, HIRF or Lightning environment be affected by a change to the aircraft roles, missions or operating environment?
 - b. Will the change reduce or degrade the aircraft functions in the defined EMC, HIRF or Lightning environment?
 - K2. Does the change include new aircraft equipment or components that will require an EMC, HIRF or Lightning evaluation and clearance, including:
 - a. new equipment that may affect Electromagnetic Environmental Effects (E3) integrity, e.g., new radar, communication systems, aerial delivery systems;
 - b. equipment and components that have failure effects with a systems safety hazard severity classification of 'Catastrophic', 'Hazardous' or 'Major'; and
 - c. an evaluation of the design change data package to confirm that the EMC, HIRF and Lightning requirements have been included.
 - K3. Does the change have an appreciable effect on systems or equipment that could require an assessment for operation in a HIRF (High-Intensity Radiated Fields) environment, including:
 - a. HIRF operating environment assessment;
 - b. shielding and bonding requirements; and
 - c. an impact on existing protection features and a need for additional protection.
 - K4. Does the change have an appreciable effect on systems or equipment that could require an assessment for lightning protection, including:
 - a. a lightning operating environment assessment;
 - b. shielding and bonding requirements; and
 - c. an impact on existing protection features and a need for additional protection?
- L. Aircraft Cybersecurity.** In the context of airworthiness, the term "Aircraft Cybersecurity" refers to the protection of the airworthiness of an aircraft from an unauthorized electronic interaction: harm due to human action (intentional or unintentional) using access, use, disclosure, disruption, modification, or destruction of data and/or data interfaces. This also includes the consequences of malware and forged data, and of access of other systems to aircraft systems. A change to the aircraft systems and networks that allows access to external systems and networks must be considered major if the change meets the criteria described in the following sub-sections (DTAES 8-2 staff is available to assist in making the determination):
- L1. Does the change introduce a new data connectivity path or modify the configuration of an existing data connectivity path to external systems or networks, which may affect systems with hazards classified as 'Catastrophic', 'Hazardous' or 'Major'? The following are examples of cybersecurity-related changes that may be considered major:
 - a. new or modified data connectivity path to:
 - (1) tactical, strategic and enterprise networks, such as: AFTAC, LCSS, CSNI and GPNet;
 - (2) connectivity mode and/or protocol changes (Ethernet, TCP/IP, Wi-Fi, Radios (HF/UHF/VHF), USB, Bluetooth, SATCOM, etc.);
 - (3) direct and indirect Internet connectivity;

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- (4) field Loadable Software/Mission Planning Systems;
 - (5) mission laptops and Electronic Flight Bags (EFBs);
 - (6) Health Usage and Monitoring (and reporting) Systems; and
 - (7) additions of new and/or modifications (such as firewall reconfigurations) of existing system interconnections within the aircraft.
- b. a drop-in replacement of a legacy mission system (DAL E) with a system that offers new functionality with a potential security impact, such as field loadable software functionality.
- c. any 'Major' modifications to system software or hardware may introduce new security vulnerabilities and must be assessed by DTAES 8. As an example, the following should be considered:
 - (1) introduction of a new version of an operating system or reconfiguration of the existing operating systems (new kernel, new services, partitioning changes, etc.);
 - (2) architectural changes that impact data and control flow within the Operational Flight Program (OFP); and
 - (3) hardware updates, such as the introduction of a new processor.
- L2. Was the design change categorized as 'Major' by answering 'yes' to any of the questions in sections E or F (Airborne Software and Airborne Electronic Hardware, respectively) above? Any 'Major' modifications in system software or hardware may introduce new security vulnerabilities and must be assessed by DTAES 8. As an example, the following should be considered:
 - a. introduction of a new version of an operating system or reconfiguration of the existing operating systems (new kernel, new services, partitioning changes, etc.);
 - b. architectural changes that impact data and control flow within the Operational Flight Program (OFP); and
 - c. hardware updates, such as the introduction of a new processor.
- L3. Will the design change modify a system with hazards classified as 'Minor' or 'No Safety Effect' (NSE) that is connected and, consequently, may propagate a cyber-attack to a system with hazards classified as 'Catastrophic', 'Hazardous' or 'Major'? As an example, a Directional Infrared Counter Measures (DIRCM) system may have a DAL D or E assurance level; however, it may also provide health, status and threat azimuth data to an avionics bus, creating a potential access to safety critical systems (DAL A, B, and C). Given that the DIRCM system will typically allow for configuration data uploads, the potential security impact needs to be assessed by DTAES 8.

M. Human Factors Engineering (HFE). Human factors engineering promotes aviation safety by working to reduce the occurrence and impact of human error in aviation systems and improve human performance. A change to any of the flight essential systems that impacts critical functions performed by the flight crew must be considered major if the change meets the criteria described in the following:

- M1. Will the change have an appreciable effect on the flight deck design, such that a human factors re-evaluation may be required? For example, does the change affect:
 - a. the ability of the crew to perform the required tasks;
 - b. the minimum required flight crew;
 - c. the flight deck configuration, such that crew performance may be degraded;
 - d. the pilots' field of view;
 - e. the flight instrument arrangements, field of view or night visibility;
 - f. the function of the cockpit controls, or the motion and effect of cockpit controls;
 - g. the primary flight reference displays and controls;

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- h. the flight and navigation instruments, or the arrangement or visibility of any instruments or indicator required for flight, including any change to the flight instrument arrangements, field of view or night visibility;
 - i. the location or visibility of any powerplant instruments;
 - j. the visibility or positioning of any warning, caution or advisory lights (or other indicators that may demand crew intervention), such that crew response may be degraded (for example, night vision devices);
 - k. crew reach capability to controls (in particular those required when harnesses are locked);
 - l. the external visibility and transmitted visual indications required by the aircrew to maintain flight; conduct all necessary flight tasks; avoid ground or flight obstacles; and command, control and monitor all associated emergency procedures and maneuvers to ensure safe operation in military and civilian airspace;
 - m. the level of automation;
 - n. the flight crew emergency egress;
 - o. the flight crew's integrated life support systems (e.g., high altitude, "g" protection, ocular protection, and breathing) functionality and accessibility; or
 - p. the sound pressure levels in the flight deck.
- M2. Will the change have an appreciable effect on the passenger/cabin crew compartment design, such that a human factors re-evaluation may be required? For example, does the change affect:
 - a. the cabin crew and passenger capability of reach, placard comprehension, or ability to undertake emergency procedures required for continued safe operation of flight;
 - b. cabin emergency lighting adequacy;
 - c. cabin crew interaction/communication with flight deck;
 - d. the ability of the passengers and cabin crew to evacuate the airplane; or
 - e. the sound pressure levels in areas of the air vehicle occupied by personnel during flight.
- M3. Will the change have an appreciable effect on the flight deck crew or cabin crew safety of flight procedures, such that a human factors re-evaluation may be required? Examples include:
 - a. changes to the location, function or operation of any of the primary flight controls, instruments and emergency switches; and
 - b. alteration or negation of standard emergency egress procedures.
- N. **Flight Manual.** As described in the TAM, Part 2, Chapter 7 – *Canadian Armed Forces Flight Publications*, changes to information in the FM that is specified as Technical Airworthiness Data (TAWD) are considered major. Changes to TAWD require a review by an authorized individual, if the FM change meets the criteria described in the following:
 - N1. Will the change modify the approved set of TAWD information contained in the Flight Manual (FM) or Aircraft Operating Instructions (AOI), including the following:
 - a. operating limitations;
 - b. normal and emergency operating procedures;
 - c. performance information;
 - d. loading information;
 - e. additional limitations, procedures and data related to any military-specific configuration, such as:
 - (1) weapons or other stores;
 - (2) ejection seats;

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- (3) helmet-mounted flight crew systems; and
- (4) special purpose operations.
- f. other information that is necessary for the safe operation because of design, operating or handling characteristics.

ADVISORY NOTE

FM/AOI changes that are required by another type of design change must be supported by a FM Technical Review prior to staffing the publication amendment. For example, a FM/AOI change resulting from an aircraft modification will be supported by an airworthiness approval for the modification prior to approval of the FM/AOI change.

- N2. Will the change have an appreciable effect on the system operating information in the FM or AOI? Such a change may affect:
- a. the system description; or
 - b. the system operation information.

ADVISORY NOTE

The FM/AOI change categorization checklist, provided below in Table M-1, is a copy of the table found in the AEPM procedure [EMT09.052](#), (regulatory reference 3.2.e to this advisory), titled Aircraft Flight Manual and Aircraft Operating Instructions Amendment. A complete copy of this procedure is available on the DGAEPM MAP Online Intranet, or upon request from DTAES 7-6.

Table M-1 – FM Change Categorization Checklist

Description of Change	Classification
Alterations to definitions and general information contained in the FM Front Matter specific to the usage instructions for the FM	Major (TAWD)
Addition, non-editorial change or removal of any operating limitations	Major (TAWD)
Addition, non-editorial change or removal of normal operating procedures	Major (TAWD)
Addition, non-editorial change or removal of emergency operating procedures	Major (TAWD)
Addition, non-editorial change or removal of abnormal operating or malfunction procedures	Major (TAWD)
Changes to the approved performance data	Major (TAWD)
Changes to the aircraft loading information	Major (TAWD)
Changes to the kinds of operation or role	Major (TAWD)
Changes to the crew composition, number of occupants, or payload	Major (TAWD)
Other information that is necessary for the safe operation because of design, operating or handling characteristics	Major (TAWD)
Additional limitations, procedures and data necessary for the safe operation of the aircraft in any military-specific configuration or with any installed military equipment, such as: <ul style="list-style-type: none"> a. weapons or other stores 	Major (TAWD)

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Description of Change	Classification
b. helmet-mounted flight crew systems c. ejection seats d. provisions for input to control the aircraft by crew members other than flight crew e. special purpose operations	
Changes which are editorial in nature, correcting typographical, grammatical, spelling and formatting changes or corrections, clarifying descriptions, etc., without changing the intent of the content	Minor (Technical Review not required)
Addition, change or removal of any system description or operating information that is not a procedural check	Major (non-TAWD)
Addition, non-editorial change or removal of a Warning	Major (TAWD)
Addition, non-editorial change or removal of a Caution	Major (TAWD)
Addition, non-editorial change or removal of a Note	Major (non-TAWD)

O. Mission Equipment. Although mission or role equipment installed in a DND aircraft is not essential for the safe operation of the aircraft, all the installed mission systems and equipment must be certified to demonstrate that they can safely operate aboard the aircraft. A change to the aircraft mission equipment must be considered major if the change meets the criteria described in the following:

- O1. Will the change have an appreciable effect on the functioning or failure modes of the mission equipment, such that it will affect the safe flight of the aircraft, or the ability of the occupants to safely egress the aircraft? Examples of such effects include:
 - a. affecting the emergency egress and crashworthiness mounting/securing features;
 - b. affecting the electrical installation, including electrical loads, circuit protection and wiring routing, installation and clamping;
 - c. presenting an EMC or HIRF radiation source hazard; and
 - d. presenting a hazard to the crew or other aircraft occupants.
- O2. Does the design change to the mission equipment affect any existing hazards that are classified as 'Major', 'Hazardous' or 'Catastrophic' in the Functional Hazard Assessment?

ADVISORY NOTE

For additional information pertaining to the installation of miscellaneous, non-required electrical, electronic and mission equipment in DND/CAF aircraft, see TAA Advisory 2006-04 – Installation of Miscellaneous Non-Required Equipment.