Guidance on amendments to the Food and Drug Regulations for drugs for use in relation to COVID-19





Forword

- 2 Guidance documents provide assistance to industry and health care professionals on how to comply with
- 3 governing statutes and regulations. They also provide guidance to Health Canada staff on how mandates and
- 4 objectives should be met fairly, consistently and effectively.
- 5 Guidance documents are administrative, not legal, instruments. This means that flexibility can be applied by
- 6 industry. However, to be acceptable, alternate approaches to the principles and practices described in this
- 7 document must be supported by adequate justification. They should be discussed in advance with the
- 8 relevant program area to avoid the possible finding that applicable statutory or regulatory requirements have
- 9 not been met.
- 10 As always, Health Canada reserves the right to request information or material, or define conditions not
- 11 specifically described in this document, to help us adequately assess the safety, efficacy or quality of a
- 12 therapeutic product. We must make sure that such requests are justifiable and that decisions are clearly
- 13 documented.
- 14 This document should be read in conjunction with the accompanying notice and the relevant sections of
- 15 other applicable guidance documents.

Table of contents

18	Overview	1
19	Background	1
20	Scope and application	2
21	Policy objectives	2
22	Policy statements	3
23	Explanation of key terms	4
24	Preparing a submission or supplement	5
25	Submission scenarios	5
26	Modified requirements	6
27	Format and structure for filing	11
28	Content and requirements for filing	12
29	Risk management plan	14
30	Labelling	15
31	Notice of compliance (NOC) for a COVID-19 drug	16
32	Drug identification number (DIN)	16
33	Market notification	18
34	Transparency	18
35	Performance standards	19
36	Fees	19
37	Drug establishment licences and good manufacturing practices	21
38	Drug establishment licences for COVID-19 drugs	21
39	Transitioning of DELs issued or amended under the ISAD IO	
40	Applying for a new or amended DEL for a COVID-19 drug	
41	DEL terms and conditions	
42	DEL suspension and cancellation	23
43	DEL performance standards	
44	Drug establishment licence fees	
45	Good manufacturing practices	
46	Intellectual property	27
47	Intellectual property	27
48	Data protection	
49	Patented Medicines (Notice of Compliance) Regulations	
50	Certificate of supplementary protection	
51	Post-market requirements	29
52	Pharmacovigilance reporting requirements	29
53	Annual summary reporting	
54	Issue-related summary reports	
55	Other post-market requirements	

56	Pre-positioning of COVID-19 drugs	31
57	Importation and distribution of a pre-positioned drug	31
58	Transition of pre-positioned drugs from the ISAD IO to the Regulations	32
59	Submission scenarios, reference documents and key contacts	33
60	Submission scenarios	33
61	Reference documents	36
62	Key contacts	39
63		

Overview

64

77

78

79

80

81

93

94

95

96 97

98

- 65 This guidance applies to sponsors of new COVID-19 drug submissions as well as sponsors seeking a notice of
- 66 compliance (NOC) for COVID-19 drugs that received temporary authorization under the Interim order
- 67 respecting the importation, sale and advertising of drugs for use in relation to COVID-19 (ISAD IO). It also
- applies to new COVID-19 drug establishment licences under the Food and Drug Regulations. 68
- 69 This document will help manufacturers prepare a submission for a notice of compliance for a COVID-19 drug
- 70 under the Regulations. It also outlines the process for meeting the post-market regulatory requirements.
- Background 71
- 72 The COVID-19 pandemic posed an immediate and significant risk to the health and safety of Canadians. To
- 73 address the associated public health need in Canada, the Minister of Health made a number of interim orders
- 74 to expedite and facilitate access to drugs, medical devices and foods for a special dietary purpose. The
- 75 Minister used the power granted under section 30.1 of the *Food and Drugs Act* to make these interim orders.
- 76 The ISAD IO came into effect on September 16, 2020, and provided:
 - an optional, expedited authorization pathway for the importation, sale and advertising of drugs to be used in relation to COVID-19
 - modified requirements for drug establishment licence (DEL) applications for those drugs
 - the introduction of a mechanism for the placement of COVID-19 drugs in Canadian facilities prior to the authorization to sell in Canada (pre-positioning)
- 82 The ISAD IO ends 1 year after it came into effect. After this time, drugs authorized through the ISAD IO would 83 no longer have been legally permitted to be sold in Canada, unless transition measures were implemented.
- 84 The ISAD IO provided a temporary emergency authorization for COVID-19 drugs to address the pandemic.
- 85 The authorization was not a notice of compliance. In order for drugs to receive an NOC, a submission needs
- 86 to be made under Division 8 of the *Food and Drug Regulations*.
- 87 The Food and Drug Regulations have been amended to allow for modified requirements that facilitate the
- 88 regulatory process for new COVID-19 drugs to receive an NOC through a new drug submission (NDS). The
- 89 amendments maintain some of the mechanisms introduced through the ISAD IO, thus continuing to provide
- 90 Canadians with quick access to safe and effective COVID-19 drugs. This guidance document explains the
- 91 modified requirements provided in these amendments to the *Regulations*.
- 92 For more information on these measures and the ISAD IO, refer to the following items:
 - Explanatory note
 - Interim order respecting the importation, sale and advertising of drugs for use in relation to COVID-
 - Information and application requirements for drugs authorized under the interim order: Guidance document
 - Canada Gazette II of amendments to the Food and Drug Regulations

Scope and application 100

102

103

104 105

106 107

108

109

115

116

- 101 COVID-19 means the coronavirus disease 2019. This guidance document applies to:
 - drug products authorized under the interim order
 - manufacturers planning to file a submission for a notice of compliance (NOC) for a designated COVID-19 drug as defined in C.08.001.2, whether or not they are taking advantage of modified requirements
 - drug products filed and/or authorized for a designated COVID-19 drug under the Food and Drug Regulations
 - establishments seeking a DEL related to COVID-19 drugs
 - pre-positioning mechanism introduced under the ISAD IO
- A "designated COVID-19 drug" is a new drug for which the purpose and conditions of use recommended by 110
- 111 the manufacturer relate to COVID-19. For the purpose of this document, COVID-19 drugs also include
- 112 designated COVID-19 drugs.
- 113 For guidance on obtaining product authorization for disinfectants, hand sanitizers and veterinary health
- 114 products, manufacturers should refer to the following guidance documents:
 - Management of drug submissions and applications
 - Management of disinfectant drug applications
- Human-use antiseptic drugs 117
- Veterinary health products: About the VHP notification program 118
- 119 The amendments introduced in Part C, Divisions 1, 1A, 2 and 8 of the Regulations are described in this
- 120 guidance document. The amendments introduce similar provisions found in the ISAD IO with regards to
- 121 requirements for drug product authorizations, DEL applications and pre-positioning of products prior to
- authorization. The integration of these measures in the Regulations aims to give Canadians continued and 122
- timely access to safe and effective COVID-19 drugs. 123
- Policy objectives 124
- 125 The objective of the amended Regulations is to allow for a mechanism for continued and timely access to
- 126 safe and effective COVID-19 drugs. The review, authorization and oversight of these drugs will be conducted
- 127 under the Regulations.
- 128 The amendments to the *Regulations* offer the following benefits:
 - continues to support access to safe, effective and high-quality COVID-19 drugs
 - enables the sale and advertising of COVID-19 drugs that were authorized under the ISAD IO to continue after the IO expires
 - enables manufacturers of new COVID-19 drugs for which an authorization was not sought under the ISAD IO to seek authorization under the Regulations with similar requirements as those provided under the ISAD IO
 - continues the post-market regulatory obligations placed on authorization holders, manufacturers and importers after the ISAD IO expires
 - continues to allow the early importation and placement in Canadian facilities (pre-positioning) of a promising COVID-19 drug for which a federal government contract for its procurement is in place, before that drug receives market authorization in Canada
 - continues an agile approach for DELs that authorizes regulated activities for COVID-19 drugs

140 141

129

130

131

132

133 134

135

136

137 138

- 142 Under the amended Regulations:
 - 1. Health Canada only grants an NOC for a COVID-19 drug under the Regulations if it's determined that the benefits and risks of the product are supported by evidence of the drug's safety, efficacy and consistent quality.
 - 2. Any uncertainties or risk mitigation measures related to the drug in the context of the public health need due to COVID-19 are managed through the use of terms and conditions.
 - 3. As with all drugs, Health Canada assesses and monitors the safety and effectiveness of all COVID-19 drugs for which an NOC was issued. If required, Health Canada takes immediate action, including compliance and enforcement measures and the suspension or cancellation of an NOC to protect the health and safety of Canadians.
- Policy statements 152

145

146 147

148 149

150

- 153 Manufacturers of COVID-19 drugs may be able to obtain an NOC under the *Regulations* by leveraging certain
- 154 options and modified requirements carried over from the ISAD IO.
- 155 These amendments to the Regulations allow for a submission for drugs to treat or prevent COVID-19 to be
- 156 filed earlier through a "rolling submission" process. Manufacturers are responsible for completing the
- 157 required documentation and providing the necessary evidence to Health Canada. COVID-19 drug submissions
- 158 will be prioritized based on public health needs.
- 159 Licensing decisions are based on the materials submitted in the application. Health Canada will consider the
- 160 necessity of the drug in addressing urgent COVID-19-related public health needs.
- 161 Manufacturers who have a valid authorization issued under the ISAD IO can file a new drug submission (NDS)
- 162 under the Regulations. Sale of the drug may continue while the submission is in review, as long as it was filed
- 163 within the 90-day period.
- 164 Where an expanded indication for COVID-19 was authorized under the ISAD IO for a marketed drug, the
- 165 manufacturer is able to submit an SNDS to add the new COVID-19 indication. Modified requirements,
- 166 including the ability to file a rolling submission, are available to these SNDSs.
- 167 An NOC is not needed before September 16 to continue to sell, as long as an authorization was granted
- 168 under the ISAD IO and a submission was filed within specified timelines. After September 16, if Health
- 169 Canada has not issued an NOC, the ISAD IO authorization remains in effect until a decision is reached on the
- 170 submission. (See section on "Timelines within which to file a submission under the Regulations to obtain a
- 171 notice of compliance.")
- 172 With this approach, manufacturers who initially obtained an authorization under the ISAD IO may submit an
- 173 NDS with the same data as was included in their interim order application, along with any necessary updates.
- 174 Where applicable, newly available data should be included in the NDS. To facilitate an expedited review, the
- 175 sponsor should provide a summary of the submission package highlighting any changes. COVID-19 drugs are
- 176 reviewed on an expedited timeline above the usual performance standards. As such, the Priority Review
- 177 Policy does not apply.
- 178 Manufacturers who had their ISAD IO authorization revoked or who have never applied can also file an NDS
- 179 submission leveraging the modified requirements for COVID-19 drugs in the Regulations.

Explanation of key terms 181

186 187

191

192

- Designated COVID-19 drug: As defined in C.08.001.2, is a "new drug" under C.08.001. As such, it is subject to 182 183 the requirements in Part C, Division 8 of the Food and Drug Regulations, including the:
- existing NDS and supplemental NDS (SNDS) provisions that require an NOC (see C.08.002(1) and 184 185 C.08.003(1)) to allow a new drug to be sold
 - submission outcomes under C.08.004
 - suspension provisions under C.08.006
- For this document, designated COVID-19 drugs will be collectively referred to as COVID-19 drugs. 188
- 189 Drug: According to the Food and Drugs Act, includes any substance or mixture of substances manufactured, 190 sold or represented for use in:
 - the diagnosis, treatment, mitigation or prevention of a disease, disorder, abnormal physical state or its symptoms in human beings or animals
 - restoring, correcting or modifying organic functions in human beings or animals
- 194 disinfection in premises where food is manufactured, prepared or kept

Preparing a submission or supplement

Submission scenarios

- 197 The Governor in Council introduced amendments to the Regulations to provide a mechanism for COVID-19
- 198 drugs to be authorized through the issuance of an NOC, based on modified requirements that have been
- 199 transferred from the ISAD IO.

195

196

209

- 200 Table 1 provides an overview of the modified requirements for COVID-19 drugs in the Regulations.
- 201 The "NDS CV" submission type has been created for NDSs that seek approval on the basis of any of the
- 202 requirements in subsections C.08.002(2.1), C.08.002(2.2) or C.08.002(2.3) of the Regulations. To make use of
- 203 any of the alternative requirements, the manufacturer must make the statements required by paragraphs
- 204 C.08.002(2.4)(a) and meet the requirement in C.08.002(2.4)(b). To meet the requirements in subsection
- 205 C.08.002(2.1), the manufacturer must also make the statement required by paragraph C.08.002(2.1)(a) and
- 206 meet the requirement in C.08.002(2.1)(b). Manufacturers should ensure that all statements required are
- 207 made in module 1.2.3, "Certification and Attestation Forms."
- 208 For more details, please refer to submission scenarios. NDS CV submission type means NDS [COVID].

Table 1: Overview of modified requirements for COVID-19 drug submissions

Scenario	Submiss ion type	Available modified requirements	Terms and conditions
New drug with same data as filed under IO (and possible additional data)	NDS	C.08.002(2.1): supportive evidence C.08.002(2.2): draft label C.08.002(2.3): rolling submission	Yes
New designated COVID-19 drug (not previously filed under the ISAD IO)	NDS	C.08.002(2.1): supportive evidence C.08.002(2.2): draft label C.08.002(2.3): rolling submission	Yes
Marketed drug adding additional indication for COVID-19	SNDS	Modified requirements (as above)	Yes

Scenario	Submiss ion type	Available modified requirements	Terms and conditions
Supplemental new drug submissions adding additional indication for COVID-19 following authorization of the NDS for a designated COVID-19 drug	SNDS	Modified requirements (as above)	Yes

- 210 Timelines within which to file a submission under the Regulations to obtain a notice of compliance 211
- 212 The amendments to the Regulations provide that an ISAD IO authorization will be revoked unless a 213 submission is filed within:
 - 90 days following the coming into force of the amendments, if the drug was authorized under the ISAD IO before the amendments came into force or
 - 90 days following the issuance of an authorization under the ISAD IO, if the drug was authorized after the amendments came into force
- 218 Where a submission has been filed within these timelines, the COVID-19 drug may continue to be sold under 219 the ISAD IO authorization until the submission has been approved, rejected or withdrawn. This is the case 220 even after the ISAD IO ceases to have effect.
- 221 If a manufacturer fails to file a submission under the Regulations within the prescribed timelines, the 222 manufacturer will have to wait until the product is authorized under the Regulations to resume sale.
- 223 Manufacturers are encouraged to submit a submission under the Regulations before the 90-day deadline to 224 ensure the submission has met the requirements for filing (detailed in the section below).
- 225 Modified requirements

215

216 217

- 226 Manufacturers of COVID-19 drugs will have the option to follow similar requirements under
- 227 the Regulations to those under the ISAD IO, as set out in paragraphs C.08.002(2.1) to (2.3). To make use of
- 228 these alternate requirements, manufacturers must include a statement identifying that the purpose and
- 229 conditions of use specified in the NDS relate only to COVID-19.
- 230 Manufacturers should ensure that all statements required are made in module 1.2.3, "Certification and
- 231 Attestation Forms." Manufacturers are also encouraged to identify in a cover letter the modified
- 232 requirements they intend to follow.
- 233 Modified requirements include:
 - ability to file a rolling submission(C.08.002(2.3))
 - exemption from submitting detailed reports of tests made to establish the safety and clinical effectiveness of the new drug under C.08.002(2)(g) and (h)
 - however, the manufacturer must provide sufficient evidence that the benefits of the drug outweigh the risks, taking into account uncertainties as well as the public health need due to COVID-19 (C.08.002(2.1)(b))
 - exemption from being required under C.08.002(2)(j.1) to provide a mock-up label if the manufacturer provides a draft of the label
 - submission must also include any package insert and document provided upon request that sets out supplementary information on use of the drug (C.08.002(2.2))

234

235

236

237

238

239

240

241

- 244 exemption from being required under C.08.002(2)(o) to conduct an assessment as to whether there 245 is a likelihood the new drug will be mistaken for another drug due to a resemblance between the 246 brand names
 - o commonly referred to as a brand name assessment
- 248 The options available in subsections C.08.002(2.1), C.08.002(2.2) and C.08.002(2.3) only apply if the 249 manufacturer has met the requirements outlined in subsection C.08.002(2.4).
- 250 Health Canada intends to assess the requirements of subsections C.08.002(2.1), C.08.002(2.2), C.08.002(2.3)
- 251 and C.08.002(2.4) in screening.

264

265

266

- 252 In accordance with C.08.002(2.5), the amended regulations do not apply if the manufacturer is seeking a
- 253 notice of compliance for a COVID-19 drug on the basis of a direct or indirect comparison between the COVID-
- 254 19 drug and another COVID-19 drug (for example, a generic or biosimilar submission). Submissions will be
- 255 assessed under C.08.002(2.5) before a submission receives a filing date.
- 256 Safety and efficacy: C.08.002(2.1) as an alternative to C.08.002(2)(g) and C.08.002(2)(h)
- 257 The Regulations were amended to allow manufacturers who seek approval for a COVID-19 drug to file a
- 258 submission with an alternative data package where justified based on the urgent public health needs
- 259 resulting from COVID-19. As more products emerge to address the public health needs brought upon by
- 260 COVID-19, manufacturers should discuss data requirements with Health Canada before filing. A data package
- 261 based on C.08.002(2.1) may only be appropriate in some circumstances.
- 262 Following discussion with Health Canada, a manufacturer may file an NDS for a COVID-19 drug without 263 complying with the requirements set out in paragraphs C.08.002(2)(g) and C.08.002(2)(h). To do so:
 - the manufacturer must state that the NDS is for a COVID-19 drug (C.08.002(2)(2.1)(a))
 - the NDS must contain sufficient safety, efficacy and quality evidence such that the benefits of the new drug outweigh the risks (C.08.002(2)(2.1)(b))
- 267 Manufacturers should make the required statement in module 1.2.3, "Certification and Attestation Forms."
- 268 Manufacturers are encouraged to specify in a cover letter that the NDS relies on subsection C.08.002(2.1) of
- 269 the Regulations to facilitate processing.
- 270 A manufacturer who relies on C.08.002(2.1) to provide evidence of safety and efficacy will be subject to any
- 271 terms and conditions that might be imposed on an NOC issued for the COVID-19 drug. (See paragraph
- 272 C.01.014.21(1)(b).) In the context of the public health need related to COVID-19, the manufacturer is required
- 273 to include in the NDS sufficient safety, efficacy and quality evidence showing that the drug's benefits
- 274 outweigh the risks. Health Canada reviews the NDS and will apply terms and conditions on the authorization
- 275 to require the manufacturer to address risks and uncertainties after authorization.
- 276 If the manufacturer has a full data package to support the NDS, then they may choose not to rely on
- 277 C.08.002(2.1).
- 278 For more information, see the following guidance documents on:
- 279 Evidence requirements for COVID-19 vaccines
- 280 Evidence requirements for COVID-19 drugs

- Product labels 283
- C.08.002(2.2) provides for exemption from the requirement in C.08.002(2)(j.1) to provide a mock-up of labels 284
- 285 of the drug.
- 286 However, the submission must contain a draft of every label to be used with the new drug. This includes any
- 287 package insert and any document that sets out supplementary information on the use of the new drug.
- 288 Health Canada will accept text-only labels and/or mock-up labels (if available). Sponsors should refer to
- 289 sections 3, 9 and 10 of the Food and Drugs Act as well as related provisions of the Regulations to ensure they
- 290 are complying with the labelling requirements. You may also refer to the following for more information:
 - Guidance document: Labelling of pharmaceutical drugs for human use
- Guidance for market authorization requirements for COVID-19 vaccines: Labelling and post-market 292 293 requirements
- 294 Sponsors are also encouraged to contact Health Canada in advance to discuss their labelling proposals. When
- 295 modified requirements are sought, sponsors should include a rationale and 'note to reviewer' in the
- 296 submission.

- 297 Manufacturers are encouraged to specify in a cover letter that the NDS relies on subsection C.08.002(2.2) of
- 298 the Regulations to facilitate processing.
- 299 Rolling submissions
- 300 After September 15, 2021, a sponsor cannot submit anything under the ISAD IO, even if it was in the plan for
- 301 a rolling submission. No ISAD IO authorization can be granted after September 16, 2021.
- 302 C.08.002(2.3) carries over a manufacturer's ability to file a rolling submission, as permitted in the ISAD IO.
- 303 Health Canada recognizes that the submission may not contain all of the information otherwise required by
- 304 section C.08.002 at the time it is filed. Health Canada will begin its review using the information submitted by
- 305 the manufacturer and accept new evidence as it becomes available. When providing the balance of the
- 306 remaining missing information, the sponsor should confirm that it considers all missing information to have
- been provided. A manufacturer may file an NDS for a new COVID-19 drug without including some of the data 307
- 308 otherwise required under:
- 309 paragraphs C.08.002(2)(e) to C.08.002(2)(k), C.08.002(2)(m) and C.08.002(2)(n)
- 310 paragraph C.08.002(2.1)(b) or
- 311 subsection C.08.002(2.2)
- 312 This rolling review process can reduce the time it takes to authorize these critical new drugs while
- 313 maintaining appropriate standards of safety, efficacy and quality.
- 314 To file a rolling submission, the manufacturer must include all applicable forms and other administrative
- 315 components. The NDS must also include a plan identifying the missing parts of the submission. This plan must
- 316 specify how and when the missing information or material will be provided to the Minister during the review
- 317 period. (See C.08.002(2.3).)
- 318 The plan should be up-to-date and complete. The manufacturer should discuss and agree upon the plan in
- 319 advance with Health Canada. Some elements of the plan may be more appropriate to file as an SNDS
- 320 following approval of the NDS, rather than as part of a rolling submission. Health Canada recommends a pre-
- 321 submission meeting to discuss efficient filing strategies for changes, such as chemistry, manufacturing and
- 322 control changes.

- 323 Manufacturers are encouraged to specify in a cover letter that the NDS relies on subsection C.08.002(2.3) of
- 324 the Regulations to facilitate processing. As with other NDSs under Division 8, the filing date refers to the date
- 325

327

335

336

343

348

349

353

358

- the NDS is deemed administratively complete by Health Canada
 - all the elements and forms required for processing are completed and submitted to Health Canada
- 328 The filing date may differ from the date of original receipt if the submission is considered to be
- 329 administratively incomplete at that time. Data or information that is subsequently provided in a rolling
- 330 submission will be considered solicited information under the NDS and will not change the filing date of the
- 331 submission.
- 332 The process for establishing a filing date has not changed from the standard process outlined in the Guidance
- 333 document: The management of drug submissions and applications.
- 334 As with other NDSs under Division 8, the filing date refers to the date that:
 - the NDS is deemed administratively complete by Health Canada and
 - all the elements and forms required for processing are completed and submitted to Health Canada
- 337 This is typically the same day the regulatory activity is received by Health Canada.
- 338 For a submission to be considered administratively complete under Division 8 of the Regulations, it must
- 339 meet the requirements of the data protection provisions of the Food and Drug Regulations and the Patented
- 340 Medicines (Notice of Compliance) Regulations. It must also contain forms such as the:
- 341 Regulatory Enrolment Process (REP) Regulatory Transaction (RT) File
- 342 REP Product Information (PI) File
 - RFP RT Form Fee Section
- 344 **Submission Certificate**
- 345 Sufficient information must be submitted within a reasonable timeframe. The Minister reviews the NDS
- 346 based on the requirements and makes a decision, as per section C.08.004 of the Regulations.
- 347 The plan should contain:
 - a list of the non-clinical, clinical and quality data to be provided (planned and in progress)
 - a timeframe for when this clinical and quality data will be available
- 350 a timeframe for when this clinical and quality data will be filed for review
- 351 If the missing information outlined in the plan will be submitted as multiple packages, the plan must clearly specify what information will be contained in each data package. For example: 352
 - data package A will be submitted on DD/MM/YYYY and contains results from studies XX, YY and ZZ
- 354 data package B will be submitted on DD/MM/YYYY and contains results from studies MM, NN and 355
- 356 Pre-submission meetings provide an opportunity to discuss the plan in detail. These meetings should be used 357 to:
 - establish submission content and timelines
 - determine the data that will be submitted when the submission is filed
 - determine the data that will be provided at a later date
- 361 The cover letter should refer to the plan. If changes are required to labelling to reflect the new information, annotated and clean copies of the drug labels should be included. 362

- 364 Health Canada will:
- 365 review the submission to ensure it includes the detailed plan
- 366 assess the information submitted by the manufacturer
- 367 accept new evidence as it becomes available until the review has been completed
- 368 Any subsequent data or information sent later is considered solicited information under the NDS if the data
- 369 or information is provided according to the plan or in response to Health Canada's request. There may be
- 370 situations where an update to the plan is appropriate. Health Canada will assess these on a case-by-case
- 371 basis. However, changes in the timing or content should be avoided if possible as they will impact the review
- 372 timeline and efficiency. Information or data provided otherwise may be considered unsolicited information.
- 373 Health Canada will not issue an NOC unless the Minister is satisfied that the NDS complies with the
- 374 requirements of C.08.002.
- Regulatory activity and transaction details for designated COVID-19 drugs 375
- 376 As noted above, modified requirements introduced through amendments to the Regulations are only
- 377 available for an NDS. The "NDS CV" submission type has been created for NDSs that use any of the provisions
- in subsections C.08.002(2.1), C.08.002(2.2) or C.08.002(2.3) of the Regulations. The manufacturer must select 378
- 379 the "NDS CV" submission type in the appropriate Regulatory Enrolment Process (REP) regulatory transaction
- template when submitting their NDS. "NDS CV" submission types are described as "Drug submission with 380
- 381 modified requirements for Designated COVID-19 drugs."
- 382 To file an NDS that does not benefit from any of the modified requirements mentioned in the 3 subsections
- 383 above, a manufacturer must select the regular NDS submission type when submitting its NDS.
- 384 Pre-submission meetings
- 385 Manufacturers are encouraged to have regular communications with Health Canada. Early and ongoing
- consultation(s) with Health Canada help ensure that regulatory requirements are met. 386
- 387 Before filing an NDS, manufacturers are encouraged to request a pre-submission meeting to discuss all
- 388 aspects of their submission. At this meeting, Health Canada will expect you to describe your submission plan
- 389 and indicate how and when you will provide the Minister with the missing information or material, if
- applicable (subsection C.08.002(2.3)). 390
- 391 To request a pre-submission meeting with the appropriate directorate, consult the guidance documents on
- 392 the:

- Management of drug submissions and applications
- Management of regulatory submissions for veterinary drugs 394
- 395 For relevant contact information, please see key contacts.
- Format and structure for filing 396
- 397 For general procedures on how to file applications, please also refer to the guidance documents on the:
- Management of drug submissions and applications or 398
- 399 Management of regulatory submissions for veterinary drugs
- 400 Submissions for human drugs should be formatted, structured and filed as outlined in the:
- Guidance document on the preparation of regulatory activities in the eCTD format 401
- 402 Organization and document placement for Canadian module 1
- Guidance document on the regulatory enrolment process (REP) 403

- 404 Manufacturers who cannot comply with the formatting requirements may contact the Office of Submissions
- 405 and Intellectual Property for further options and guidance. Please send an email to ereview@hc-sc.gc.ca.
- 406 Submissions for veterinary drugs should be formatted, structured and filed as outlined in the following
- 407 guidance documents on the:

- Preparation of regulatory activities in the non-eCTD format
- 409 Regulatory enrolment process (REP)
- 410 Manufacturers who cannot comply with the formatting requirements may contact the Veterinary Drugs
- 411 Directorate by email at vdd.skmd.so-dgps.dmv.cp@hc-sc.gc.ca.
- 412 Submissions filed under the Regulations for which an application was previously filed under the ISAD IO will
- 413 receive a new dossier ID separate from the ISAD IO application. The new dossier ID must be requested using
- 414 the dossier ID request forms.
- Content and requirements for filing 415
- 416 Submissions made under the Regulations must be independent from any ISAD IO application. At a minimum,
- 417 they must contain all relevant data that was included in the ISAD IO application, along with any required
- 418 updates. The sponsor must include all the data they rely on to support their submission in the NDS. The
- 419 sponsor should consult with Health Canada at a pre-submission meeting before filing.
- 420 For both the non-clinical and clinical information package, you may not need to include as much information
- 421 at the time of filing as you do for a data package in a typical drug submission. This is balanced by additional
- 422 information, which is to be provided as part of the rolling submissions as well as the terms and conditions of
- 423 authorization.

426

430

- 424 Non-clinical information and requirements
- 425 Key non-clinical information may be required to:
 - demonstrate the potential for clinical effectiveness under the proposed conditions of use
- 427 support the safety of the COVID-19 drug
- 428 All key studies should be conducted in accordance with good laboratory practices.
- 429 For more information, consult the following guidance document:
 - Non-clinical laboratory study data supporting drug product applications and submissions: Adherence to good laboratory practice
- 432 Clinical information and requirements
- 433 A manufacturer may submit an NDS relying on the modified requirements in C.08.002(2.1) to (2.3). All known
- 434 information should be provided to support the safety and efficacy of the COVID-19 drug. This includes all
- 435 available clinical trial data and the safety and efficacy summary documents.
- 436 For more information, consult the following guidance document:
- 437 Evidence requirements for COVID-19 vaccines
- 438 Quality (chemistry and manufacturing) information and requirements
- 439 For further guidance on meeting application and information requirements, consult the list of guidance
- 440 documents. Under section C.08.002(2.3) in the Regulations, the manufacturer may provide the information
- 441 and material normally required under paragraphs (2)(e), (f) and (m) on a rolling basis. The manufacturer must
- 442 specify in their plan how and when they will provide the missing information to Health Canada.

- 443 Manufacturers should make sure that their plan is detailed and accurate. They should discuss the plan with
- 444 Health Canada before submitting it. Health Canada will review the timing proposed in the plan as well as the
- 445 chemistry and manufacturing information.
- 446 For more information, consult the following guidance document:
 - Evidence requirements for COVID-19 vaccines
- Comparative submissions for subsequent entry drugs 448
- 449 Under the Abbreviated New Drug Submissions (ANDS) and NDS pathways of the Food and Drug Regulations,
- 450 manufacturers of subsequent entry drugs (generics and biosimilars) can seek an NOC on the basis of a
- 451 comparison to a drug that has already received an NOC.
- 452 Manufacturers must demonstrate similarity to an authorized reference drug (for example, in the case of
- 453 generics, a Canadian reference product as defined in section C.08.001.1). This is done by filing a comparative
- 454 submission that relies, in part, on the previously authorized evidence of safety and effectiveness for the
- 455 previously authorized reference drug. The manufacturer may then submit a reduced data package in the
- 456 submission.

- 457 The amendments do not extend the modified requirements provided under new subsections C.08.002(2.1),
- 458 (2.2) and (2.3) to cases where manufacturers seek an NOC for a COVID-19 drug on the basis of a direct or
- 459 indirect comparison between that drug and another COVID-19 drug.
- 460 The Regulations do not allow comparative submissions to be filed while benefitting from any of the modified
- requirements, even where consent from the reference product manufacturer is provided. Therefore, 461
- 462 comparative submissions are expected to be filed as an ANDS or a comparative NDS.
- 463 Health Canada will apply subsection C.08.002(2.5) of the Regulations in processing and comparative
- 464 submissions that seek approval on the basis of any of the new subsections C.08.002(2.1), (2.2) and (2.3) will
- 465 not receive a filing date. When it appears that the filing of a submission is prevented, the manufacturer will
- 466 be provided with a written preliminary decision and an opportunity to make representations in response. If,
- 467 following consideration of the representations, Health Canada remains of the view that the submission
- 468 cannot be filed, the manufacturer will be notified and the submission will not be processed further.
- 469 Manufacturers of subsequent entry products are prohibited from filing a submission on the basis of a direct
- 470 or indirect comparison to a COVID-19 drug for which an authorization was issued under the ISAD IO
- 471 (C.08.003.01(2)). Note that subsection C.08.003.01(2) is not intended to prevent the filing of a submission
- 472 that contains new data from clinical trials comparing the efficacy of the new drug to an existing one. Also
- 473 note that C.08.003.01 does not prevent the filing of a submission or supplement on the basis of a comparison
- 474 to a COVID-19 drug that has received an NOC (C.08.003.01(3)).
- 475 Manufacturers who intend to file a submission seeking an NOC for a COVID-19 drug on the basis of a
- 476 comparison with another COVID-19 drug are encouraged to contact Health Canada for a pre-submission
- 477 meeting.
- Information and requirements for veterinary drugs 478
- 479 A COVID-19 drug submission should contain all available information to help Health Canada assess the drug's
- 480 safety, efficacy and quality. Information should include evidence of its efficacy in the target species, animal
- 481 safety, human safety and quality.
- 482 For drugs used in a food-producing animal, information should be provided on the safety of drug residues in
- 483 meat and other food products from the treated animal intended for human consumption.

Risk management plan 484

- 485 Manufacturers should submit a Risk Management Plan (RMP) for a COVID-19 drug. If an RMP has been filed 486 as part of the ISAD IO application, an updated RMP with the most recent post marketing data, risk
- 487 minimization measures and pharmacovigilance activities should be submitted.
- 488 The RMP should focus on the product's updated safety risks in the context of COVID-19 use to ensure that:
 - the benefit-risk profile of the product is managed optimally during its life-cycle
 - knowledge gaps at the time of authorization are described and risks are further quantified and characterized over time

492 It should:

489

490

491

493

494

495

496

497

498

500

501

502

503

504

505

506

507

508

509 510

518

- outline the product's safety risks related to COVID-19 use
 - outline the pharmacovigilance activities and risk minimization activities used to identify, characterize, prevent or minimize risks
 - contain an evaluation of the effectiveness of such risk minimization measures
- For information on the scope of RMPs, please refer to the following guidance document:
 - Submission of risk management plans and follow-up commitments
- For COVID-19 drugs submitted for authorization, the RMP should include the following: 499
 - safety specification section on the identified risks, potential risks and missing information for the product (for example, special populations where there is limited information or who were excluded from clinical trials), with a focus on risks in COVID-19 patients, where appropriate
 - pharmacovigilance plan on the specific activities to be taken to identify and report safety issues, including expedited adverse reaction reporting, periodic reporting and ongoing/planned studies to quantify and characterize those risks (for example, registries, prospective cohort studies)
 - risk minimization plan to manage the safety risks including routine risk minimization measures (for example, labelling) and additional measures beyond those considered routine (such as educational materials for health care professionals or patients, or a restricted access or distribution program), if
 - plan to measure the effectiveness of additional risk minimization activities
- 511 An RMP that has been reviewed and accepted as part of the submission for a COVID-19 drug is expected to 512 be implemented. If the manufacturer filed under the requirement in subsection C.08.002(2.1), any elements 513 of an RMP that are essential for the safe and effective use of the product could be identified as terms or 514 conditions and must be implemented.
- 515 A Canadian addendum that demonstrates that the RMP meets Canadian regulatory requirements must 516 accompany the core RMP. Information on these requirements are provided in the following guidance 517 documents and recent notice:
 - Evidence requirements for COVID-19 vaccines
 - Notice of clarification to drug manufacturers and sponsors on Canadian-specific considerations in risk management plans
- 521 If you have a question about the type of quality, safety and effectiveness information required, please 522 contact the appropriate directorate within Health Canada. Please refer to the key contacts for relevant 523 contact information.

- Labelling 524
- Manufacturers of a COVID-19 drug must comply with all applicable labelling requirements in the Food and 525
- 526 *Drugs Act* and parts A and C of the *Regulations*:
- 527 A.01.014
- 528 A.01.015
- 529 A.01.60.1 to A.01.068
- A.01.065 530
- C.01.004 to C.01.011 531
- 532 C.01.401
- C.03.202 533
- 534 C.03.203

- 535 C.03.206 to C.03.209
- C.04.019 and C.04.020 536
- 537 Existing regulatory provisions on the labelling of veterinary drugs also apply.
- 538 Manufacturers that file an NDS for a COVID-19 drug using the modified requirements may be asked to
- 539 include a warning statement on the inner and outer labels. This statement may be displayed on any
- 540 panel. The data submitted to support the NDS and any associated terms and conditions that the Minister
- 541 places on the DIN will dictate this.
- 542 Drug products that are packaged in special and/or containers that are too small to accommodate the inner
- 543 label requirements outlined in the Regulations may contain abbreviated labelling. But there must be an outer
- 544 label that meets all regulatory requirements. Sponsors are encouraged to contact Health Canada to discuss
- their labelling proposals. When modified requirements are sought, sponsors should include a rationale and 545
- 546 'note to reviewer' in the submission.
- 547 The plain language labelling requirements for mock-up labels and a brand name assessment package do not
- apply (C.08.002(2)(j.1) and C.08.002(2)(o)). 548
- 549 While exempt from these requirements, manufacturers are strongly encouraged to complete and submit a
- 550 brand name assessment package and to provide mock-up labels:
- 551 at the time of NDS filing (if available) or
- 552 at the earliest time after the NDS is filed
- 553 Manufacturers may also file these materials after the NOC is granted.
- 554 Health Canada may apply labelling terms and conditions as necessary. We will request that the sponsor
- submit a brand name assessment and final mock-up package labels at an agreed-upon time if the sponsor 555
- 556 chooses to use the labelling modified requirements provided by the *Regulations*.
- 557 Manufacturers that are unable to provide a complete brand name assessment package at the time of filing or
- 558 at the earliest time after the NDS is filed, may provide a package where simulation exercises are omitted.
- 559 Modified requirements to transition to Canadian specific labelling (including when final mock-ups are to be
- 560 provided) will continue to be assessed based on the global supply and pandemic situation. Health Canada will
- 561 work with manufacturers to develop an appropriate timeline to:
 - submit the labelling materials to support their market authorization
- 563 transition to the Canadian labelling at a point when the global supply and pandemic situation will make this possible 564

- 565 Plain language labelling and Look-alike Sound-alike components are not needed with respect to the labelling
- 566 of veterinary drugs.
- 567 Consult the list of guidance documents for further guidance on labelling.
- Filing a supplement to an NDS for a COVID-19 drug 568
- 569 A manufacturer of a COVID-19 drug that holds an authorization for a new drug under the ISAD IO may file an
- 570 NDS under section C.08.002 of the Regulations. Once the manufacturer receives an NOC for the COVID-19
- 571 drug, they may file a supplement to that new drug submission (SNDS) for any changes post-NOC. Filing a
- 572 supplement to an NDS for a COVID-19 drug depends on the situation. The SNDS relies on the original
- 573 modified requirements on the NOC. Discuss with Health Canada before filing. Where applicable, the
- 574 manufacturer may also be able to incorporate the change as part of its NDS.
- 575 Consult the following guidance documents on post-NOC:
 - Framework document for pharmaceutical, biologic and radiopharmaceutical drugs for human use
 - Safety and efficacy document for pharmaceutical, biologic and radiopharmaceutical drugs for human
 - Post-notice of compliance (NOC) changes: Quality document
- Notice of compliance (NOC) for a COVID-19 drug 581
- For Health Canada to issue an NOC (C.08.004) for the sale of a COVID-19 drug, the NDS must meet the 582
- 583 requirements of section C.08.002. For drugs relying on the modified requirements in C.08.002 (2.1), the NDS
- 584 must contain enough evidence to support the conclusion that the drug's benefits outweigh the risks when
- 585 used as indicated. The evidence takes into consideration the uncertainties around the drug in the context of
- 586 the public health need related to COVID-19.
- Drug identification number (DIN) 587
- 588 When the manufacturer of a COVID-19 drug that was previously authorized under the ISAD IO submits an
- 589 NDS to obtain an NOC, the DIN that was assigned under the ISAD IO remains active until the NDS has been
- 590 approved, rejected or withdrawn. This ensures that all regulatory obligations associated with the DIN
- 591 continue.

577

- 592 Once an NOC is issued for a COVID-19 drug, Health Canada may assign the same digits for the DIN under
- 593 C.01.014.2 as were issued under section 7 of the ISAD IO.
- 594 If the submission that was submitted under the Regulations is rejected or withdrawn, the DIN will be revoked
- 595 at that time.
- 596 For more information on DINs, refer to the:
- 597 Guidance document on the regulatory requirements for drug identification numbers (DINs)
- Terms and conditions on a DIN pertaining to a COVID-19 drug relying on modified requirements 598
- in C.08.002(2.1) 599
- 600 Health Canada may at any time impose or amend terms and conditions on a DIN (C.01.014.21(1)(b)) of a
- 601 COVID-19 drug where the manufacturer relied on C.08.002(2.1) to obtain an NOC. If the manufacturer only
- used other provisions, then the terms and conditions power does not apply. 602
- 603 This authority allows Health Canada to issue an NOC for a COVID-19 drug while attaching additional
- 604 conditions that the DIN holder must comply with. These terms or conditions are used to ensure appropriate

- 605 oversight, manage uncertainties or mitigate risks. However, the terms and conditions on a DIN for a COVID-606 19 drug using the submission flexibility in C.08.002(2.1) will be based on what's needed when a submission is 607 not able to meet the usual data requirements. Examples of anticipated terms and conditions include:
 - specific pharmacovigilance and risk mitigation and management measures
 - additional quality information
 - confirmation of effectiveness
- drug shortage measures introduced to prevent or alleviate a shortage 611
- 612 The terms and conditions are on the DIN and remain on the DIN regardless of subsequent SNDSs (supplement
- 613 to a new drug submission). The exception is if the Minister removes the terms and conditions as part of the
- 614 (SNDS) process.

609

610

621

- 615 The terms and conditions may also apply to drugs authorized on the basis of a comparison to a COVID-19
- 616 drug, where the NOC of the comparator product had relied on these submission flexibilities
- 617 (C.01.014.21(1.1)(b)). This ensures that any post-market commitments for a reference product may also be
- 618 imposed on NOCs issued on the basis of a comparison.
- 619 Terms and conditions can be imposed or amended at any time on a DIN for a COVID-19 drug
- 620 (C.01.014.21(1.1)(a)) that was filed as:
 - an NDS under section C.08.002 relying on the data flexibility referred to in C.08.002(2.1)
- a supplement to an NDS for that new drug 622
- They can also be imposed or amended at any time on a DIN for a COVID-19 drug (C.01.014.21(1.1)(b)) 623
- 624 authorized on the basis of a direct or indirect comparison to another COVID-19 drug (see C.01.014.21(1.1)(a))
- 625 and filed as:
- 626 an NDS filed under C.08.002
 - an abbreviated new drug submission (ANDS) filed under C.08.002.1
- 628 a supplement to a new drug submission or an abbreviated new drug submission that is filed under 629 section C.08.003
- Health Canada will discuss the terms and conditions with the sponsor prior to imposing them. All terms and 630 conditions are enforceable under section 21.7 of the Act. 631
- 632 Terms and conditions do not apply to any drugs, including COVID-19 drugs, authorized through the existing
- 633 NDS and SNDS pathway if the manufacturer:
- 634 is able to satisfy the full data requirements (C.08.002(2)(g) and (h))
- 635 has not relied on C.08.002(2.1)
- Submitting information to fulfill terms and conditions 636
- Information on the fulfilment of terms and conditions should be submitted as solicited information with an 637
- 638 accompanying cover letter. The subject should state "Solicited information, fulfilling Terms and Conditions for
- 639 COVID-19 drug." Supporting documentation is to be provided.
- 640 Health Canada will review the documentation to determine if the conditions have been met. Once we are
- 641 satisfied that the manufacturer has complied with all the terms and conditions, we will indicate this in a letter
- 642 and reference the original file/control number.

	л								1.3	C.		10.0		
I\.	/I	а	r	K	Θ.	t	n	\cap	ŤΙ	ŤΙ	C2	11	ior	١

- 644 A market notification for a drug authorized under the ISAD IO does not constitute market notification of a
- 645 drug under the Regulations. This is the case even if the same digits have been issued to the drug as the DIN
- 646 under both the ISAD IO and the Regulations.
- 647 The manufacturer of a COVID-19 drug authorized under the Regulations must notify Health Canada when
- 648 they first sell the COVID-19 drug under an NOC. The manufacturer must complete, sign, date and return the
- 649 Health Canada-issued drug notification form (DNF) within 30 days of the date of the first sale. All pages of the
- DNF must be returned to Health Canada. 650
- 651 The DIN assigned under the ISAD IO will be revoked once the same DIN is assigned to the drug under
- 652 the Regulations. Our drug product database will indicate the DIN as "approved" until the manufacturer
- 653 submits a completed DNF, at which point the DIN will be "marketed."
- 654 If the manufacturer did not file mock-up labels during review, the manufacturer should submit final mock-ups
- 655 or final printed labels when the COVID-19 drug is marketed or launched.
- For more information on market notifications or notifications for the 'interruption of sale,' consult the: 656
- Guidance document on the regulatory requirements for drug identification numbers (DINs) 657
- Changes in product ownership, mergers and buyouts or licensing agreements 658
- 659 Submissions proposing administrative changes should be filed within Administrative (Abbreviated) New Drug
- 660 Submissions ((A)NDS).
- 661 If sponsors are proposing labelling changes along with the proposed administrative changes, they must file
- these changes within an (A)NDS 'labelling only' to obtain Health Canada authorization. They must do so 662
- 663 before making any changes to labelling materials on the market.
- 664 Refer to the following guidance document for more information:
 - Administrative processing of submissions and applications involving human or disinfectant drugs
- Notification of discontinuation of sale 666
- The manufacturer of a COVID-19 drug must submit the notification of discontinuation of sale to Health 667
- 668 Canada within 30 days after the COVID-19 drug is permanently discontinued in Canada. The date of
- 669 discontinuance is when the manufacturer last sells its drug, not when it is last sold at retail.
- 670 For information and general procedures on notification of discontinuance, authorization holders should
- 671 consult the:
- 672 Guidance document on the regulatory requirements for drug identification numbers (DINs)
- For more information on additional requirements on how to report a discontinuance of sale, see the section 673
- 674 on shortages or discontinuation of sale.
- 675 Transparency
- 676 Health Canada will continue to communicate up-to-date information about COVID-19 drugs under the
- amended Food and Drug Regulations. 677

- 679 You can find the following information online:
 - COVID-19 product-related information can be viewed on the COVID-19 vaccines and treatments portal and the drug and health product register
 - submissions for COVID-19 drugs that have been accepted for review in the lists for drug and health product submissions under review
 - regulatory decision summaries (RDS) and summary basis of decision documents (SBDs) for COVID-19 drugs in the drug and health product register
 - clinical information used to seek approval of COVID-19 drugs can be viewed on Health Canada's clinical information portal
 - drug inspection outcomes and measures in the drug and health product inspections database
- 689 In addition, Health Canada will continue to provide the most up-to-date approved Risk Management Plans for COVID vaccines and treatments in their entirety to external stakeholders upon request. Health Canada 690 691 confirms that sponsors will be consulted before any risk management plan for COVID-19 vaccines and treatments is made public. For more information, please consult the: 692
 - <u>Labelling and post-market requirements section of the Guidance for market authorization</u> requirements for COVID-19 vaccines
 - Public release of clinical information
- Performance standards 696
- 697 Health Canada aims to prioritize submissions for COVID-19 drugs. Drug submissions will be prioritized and 698 reviewed to reflect the public health need.
- 699 The time required to review a submission will depend on the submission itself, the volume of data to be 700 assessed and the ability of the manufacturer to submit the data as per the plan, where applicable. Published 701 performance standards will apply to submissions related to COVID-19 drugs, other than rolling submissions, 702 made under the Regulations.
- 703 Rolling submissions will not be subject to performance standards (in other words, credits to manufacturers 704 due to missed performance standards). This is explained in the Fees section below.
- 705 For more information, refer to the following guidance documents on the:
- 706 Management of drug submissions and applications
 - Management of regulatory submissions for veterinary drugs
- Fees 708

715

680

681

682

683

684

685 686

687

688

693

694

- 709 Submission fees
- 710 Pre-market evaluation fees will be remitted for human drug submissions filed under the Food and Drug Regulations seeking approval for a COVID-19 drug, provided that: 711
- 712 an application was previously filed under the ISAD IO for the same drug and
- 713 no submission was previously filed under the Food and Drug Regulations for that drug
- 714 Once a drug has received an NOC under the *Regulations*, the existing Drug Right To Sell (DRTS) fee will apply.

- 716 Where an application had not been filed under the ISAD IO, the following fees will apply to COVID-19 drug 717 submissions filed under the *Regulations*:
- 718 existing evaluation fees will be charged for submissions
 - existing small business mitigation measures are available for COVID-19 drug submissions, and include
 - full waiver of evaluation fee for the company's first drug submission with Health Canada
- 721 a 50% reduction in all other evaluation fees as well as a 25% reduction in DIN and DEL fees
- 722 Please consult the following guidance document:

720

723

725

726

727

- Fees for the review of human and disinfectant drug submissions and applications
- 724 Submissions with fees have associated performance standards. Penalties may apply:
 - Published performance standards will apply, but it's expected that most COVID-19 drug submissions will be managed and reviewed efficiently.
 - Rolling submissions will not be subject to performance standards (in other words, the 25% remittance to manufacturers due to missed performance standards will not apply).

Drug establishment licences and good manufacturing practices 729

- Drug establishment licences for COVID-19 drugs 730
- 731 Division 1A of Part C of the Regulations applies to COVID-19 drugs. A person must hold a drug establishment
- 732 licence (DEL) authorizing any activities conducted with respect to COVID-19 drugs.
- You can find the following information online: 733
 - how to interpret the Regulations for DEL requirements in the Guidance on drug establishment licences (GUI-0002)
 - your responsibilities related to the DEL application process and how Health Canada manages DEL applications in the document on the Management of applications and performance for drug establishment licences (GUI-0127)
- 739 For more information about drug establishment licences and COVID-19, see our page on drug establishment
- 740 licences and COVID-19.
- 741 If you have questions about the DEL requirements or DEL applications for COVID-19 drugs, please email us
- at del.questions-leppp@hc-sc.gc.ca. Include the term "COVID-19" in your email subject line for a faster 742
- 743 response.

734

735

736 737

738

- Transitioning of DELs issued or amended under the ISAD IO 744
- Stakeholders wishing to apply for a DEL or DEL amendment for a COVID-19 drug may continue to do so under 745
- 746 the Interim order respecting the importation, sale and advertising of drugs for use in relation to COVID-19
- (ISAD IO) until its expiry on September 16, 2021. For information on how to submit a DEL application under 747
- 748 ISAD IO, please refer to the section on Drug establishment licences of the ISAD IO guidance document.
- 749 Applications that have been submitted under section 20 of ISAD IO and not issued before its expiry will be
- 750 automatically transitioned. They will continue to be reviewed as though they were submitted under the Food
- 751 and Drug Regulations. For such applications, DEL fees will not apply.
- 752 Notification
- 753 DEL holders who wish to maintain their licence, or part of their licence, that was issued under section 20 of
- 754 the ISAD IO, must notify Health Canada before ISAD IO expires. We recommend doing so at least 30 days
- 755 before ISAD IO expires.
- 756 To maintain a DEL issued under section 20 of ISAD IO, please submit the following information to Health
- 757 Canada:

758

759

- include "Maintain COVID-19 drug IO DEL" in the subject line of the notification email
- include details indicating the notification is being submitted to maintain a DEL or part of a DEL issued for an application submitted under section 20 of the ISAD IO
- include the application number assigned by the Drug Establishment Licensing Unit
- 762 Health Canada will review your notification to maintain and will inform you if more information is required.
- 763 Failure to notify us will result in the DEL being automatically cancelled, in whole or in part, when ISAD IO
- 764 expires.

Applying for a new or amended DEL for a COVID-19 drug 765



770

771

772

773

774

777

778

This section is applicable after ISAD IO expires on September 16, 2021. For information on how to submit a DEL application under ISAD IO, please refer to the Drug Establishment Licences section of the ISAD IO guidance document.

- 766 New drug establishment licence (DEL) applications (C.01A.005(1)) or amendment applications (C.01A.006(1) 767 (1.1)) for a COVID-19 drug can be submitted under the Regulations. Follow the standard process and use the 768 most current version of the application form (FRM-0033).
- 769 When applying for a new or amended DEL for a COVID-19 drug, be sure to include the following information:
 - the subject line "COVID-19 drug" in the application email, which signals that this is a high-priority application
 - a statement in the body of the application email or cover letter that the DEL application is for a COVID-19 drug submitted under C.01A.005(2) or C.01A.006(1.1) of the Regulations
 - the name of the drug
- Submit your completed application form by email to el.applications-le@hc-sc.gc.ca. 775
- 776 For more information on DEL requirements, please consult the following guidance documents on:
 - Drug establishment licences (GUI-0002)
 - How to demonstrate foreign building compliance with drug good manufacturing practices (GUI-0080)
- Management of applications and performance for drug establishment licences (GUI-0127) 779
- 780 Issuance of a DEL for a COVID-19 drug
- 781 Health Canada issues or amends DELs in accordance with Part C, Division 1A of the Regulations.
- 782 COVID-19-related DEL applications submitted under the *Regulations* are processed in an expedited manner.
- 783 Timelines for the expedited review are determined on a case-by-case basis. The materials submitted in the
- 784 application and the volume of information to be assessed are factors in how quickly we can review the
- 785 application.
- 786 For more information on the issuance of a DEL or DEL amendments, please consult the:
- 787 Guidance on drug establishment licences (GUI-0002)
- DEL terms and conditions 788



This section is applicable after ISAD IO expires on September 16, 2021. For information on how to submit a DEL application under the ISAD IO, please refer to the drug establishment licences section of the ISAD IO guidance document.

- 789 At any time, Health Canada may impose or amend terms and conditions on DELs for a COVID-19 drug
- 790 submitted under the Regulations. Decisions to impose or amend terms and conditions are based on the need
- 791 to mitigate or manage additional oversight for risk-based reasons. These reasons include matters related to
- 792 available evidence, medical necessity and activities conducted.
- 793 The ability to impose or amend terms and conditions gives Health Canada the agility to facilitate rapid access
- 794 to COVID-19 drugs while mitigating risks.

- 795 Terms and conditions previously imposed on a DEL issued under the ISAD IO for a COVID-19 drug will
- 796 continue to apply under the *Regulations* as necessary.
- 797 Every person who holds a DEL must conduct the licensable activities in accordance with the licence, and any
- 798 terms and conditions imposed on it.
- 799 DEL holders that do not comply with the terms and conditions imposed on their licence will be subject to
- 800 compliance and enforcement action for the contravention of s. 21.7 of the Food and Drugs Act. Such actions
- 801 will align with the legislative framework and the principles outlined in our compliance and enforcement
- policy for health products (POL-0001). 802
- DEL suspension and cancellation 803



This section is applicable after ISAD IO expires on September 16, 2021. For information on how to submit a DEL application under the ISAD IO, please refer to the drug establishment licences section of the ISAD IO guidance document.

- 804 Health Canada can suspend or cancel a DEL in full or in part for any of the reasons set out in sections
- 805 C.01A.016 to C.01A.017.1 in order to prevent a risk to the health and safety of the consumer in relation to a
- 806 COVID-19 drug. When a DEL is suspended or cancelled, the DEL holder must cease all suspended/cancelled
- 807 activities.
- 808 For more information on DEL suspension and cancellation, consult the:
- 809 Guidance on drug establishment licences (GUI-0002)
- DEL performance standards 810



This section is applicable after ISAD IO expires on September 16, 2021. For information on how to submit a DEL application under the ISAD IO, please refer to the <u>drug establishment licences section</u> of the ISAD IO guidance document.

- 811 DEL applications related to COVID-19 drugs will be prioritized and reviewed based on the:
- public health need 812
 - materials submitted in the application
- 814 volume of information to be assessed
- 815 For more information on the performance standard, consult the guidance document on the:
- 816 Management of applications and performance for drug establishment licences (GUI-0127)
- Drug establishment licence fees 817
- 818 DEL fees will be remitted for applications submitted under the ISAD IO until September 16, 2021. After that
- 819 time, drug establishment licence fees will apply to the review of DEL applications submitted for a COVID-19
- 820
- 821 Please consult the guidance document on:
- 822 Fees for the review of human and veterinary drug establishment licence applications

- 824 Fees apply for the review of the following types of DEL applications:
- 825 an application for a new or reinstated DEL
 - an application for an amendment to add a domestic building to a DEL
- 827 an application for the annual licence review of a DEL
- 828 The DEL fee is calculated using the following components:
 - Domestic component: the fee charged for each building listed on the licence or application based on the most upstream activity at that building
 - Foreign building component: the fee charged for each unique foreign building (or building outside Canada) on the licence or application
- Fees can be requested to be waived or reduced for applications filed by: 833
 - a small business
 - a publicly funded health care institution
- any branch or agency of the Government of Canada or of a province or territory 836
- Good manufacturing practices 837



829

830

831

832

834

835

This section is applicable after ISAD IO expires on September 16, 2021. Please refer to the Good manufacturing practices section of the ISAD IO guidance document.

- For information on the requirements around good manufacturing practices (GMP), consult the: 838
- 839 Good manufacturing practices guide for drug products (GUI-0001)
- Evidence requirements to support GMP compliance of foreign buildings is included in the following guidance: 840
- How to demonstrate foreign building compliance with drug good manufacturing practices (GUI-0080) 841
- 842 If you're unable to obtain documents outlined in GUI-0080 due to the pandemic, please email us
- at foreign.site-etranger@hc-sc.gc.ca. You should contact us before you send in your DEL application. Be sure 843 844 to include "COVID-19" in your subject line.
- 845 If you're unable to host a GMP drug inspection at your facility due to the pandemic, please email us
- 846 at GMP Questions BPF@hc-sc.gc.ca. We may consider operational relief and flexibilities to inspection
- 847 timelines as set under the current fee regime on a case-by-case basis. To monitor compliance, GMP
- 848 inspections will be conducted using a risk-based approach for licensable activities.
- 849 Extension of certain flexible measures for DEL and GMP compliance, as communicated in DEL bulletins, will
- 850 continue until further notice.
- 851 For more information about good manufacturing practices and COVID-19, see our page on good
- 852 manufacturing practices and COVID-19.
- Finished product testing 853
- 854 DEL holders must meet all product release requirements as outlined in the Food and Drug Regulations.
- 855 Finished product testing requirements in C.02.019 of the Regulations no longer apply to a distributor or
- 856 importer of a schedule D (biologic) COVID-19 drug if it's subject to a written request under the lot release
- 857 program (C.04.015).

- 858 Licence holders must comply with testing requirements set out in Division 2 of the Regulations. If you are 859 unable to meet these requirements due to the pandemic, contact us at GMP Questions BPF@hc-sc.gc.ca.
- 860 For more information on the lot release program requirements, refer to the:
- Guidance for sponsors on the lot release program for schedule D (biologic) drugs 861
- 862 Good manufacturing practices guide for drug products (GUI-0001)

Intellectual property 863

- Intellectual property 864
- 865 As a consequence of the review, authorization and oversight of COVID-19 drugs under the Regulations,
- 866 manufacturers may benefit from intellectual property protections that are available in respect of a
- 867 submission that results in an NOC. These protections include:
- data protection under section C.08.004.1 of the Food and Drug Regulations 868
- protection under the Patented Medicines (Notice of Compliance) Regulations (PM (NOC) Regulations) 869
- 870 protection under the Certificate of Supplementary Protection regime
- Data protection 871
- 872 The amendments contain one interpretive provision clarifying the impact of an authorization under the ISAD
- 873 IO on data protection eligibility, but do not alter these protections.
- 874 Subsection C.08.004.1(1) of the Food and Drug Regulations provides that an "innovative drug" is one that
- 875 contains a medicinal ingredient not previously approved in a drug by the Minister and that is not a variation
- 876 of a previously approved medicinal ingredient. The amendments introduce language to explain that, for the
- 877 purpose of the definition of "innovative drug" in subsection C.08.004.1(1) of the Regulations, a medicinal
- 878 ingredient is not considered to be approved in a drug by reason of an authorization under the ISAD IO. This
- 879 provision is not intended to change the scope or current interpretation of "approved" under the existing
- 880 definition. Rather, it explains the intended application of that definition where a medicinal ingredient was
- 881 used in a drug authorized under the ISAD IO.
- 882 The interpretation of "innovative drug" ensures that an authorization granted under the ISAD IO does not
- 883 preclude data protection eligibility under the Regulations.
- 884 Data protection will be assessed in accordance with the existing process, as described in the Guidance
- 885 document on data protection under C.08.004.1 of the Food and Drug Regulations.
- Patented Medicines (Notice of Compliance) Regulations 886
- 887 The amendments to the Food and Drug Regulations do not disturb the operation of the Patent Act or
- 888 the Patented Medicines (Notice of Compliance) Regulations (PM (NOC) Regulations). Patent lists may be
- 889 added to the Patent Register at the time the submission or supplement is approved under
- the Regulations, provided the requirements of the PM(NOC) Regulations are met, including that a patent list 890
- 891 be submitted in accordance with subsections 4(5) and 4(6), as applicable.
- 892 For a rolling submission, data or information provided after the filing date will not change the filing date of
- 893 the submission. As with other submissions, patent lists provided after the filing date of the submission must
- 894 meet the timing requirements of subsection 4(6) of the PM(NOC) Regulations to be considered for inclusion
- 895 on the Patent Register.
- 896 The PM(NOC) Regulations will continue to be administered in accordance with existing processes. These are
- 897 described in the:
- 898 Guidance document on Patented Medicines (Notice of Compliance) Regulations
- Certificate of supplementary protection 899
- 900 The amendments to the Food and Drug Regulations do not disturb the operation of the Patent Act or
- 901 the Certificate of Supplementary Protection Regulations (CSP Regulations). Therefore, a certificate of

902 supplementary protection may be issued in respect of a patent to a drug approved under the Regulations, 903 provided the requirements of the CSP Regulations and Patent Act have been met.

Though not introduced for this purpose, the provisions contained in these amendments allow an earlier filing of an NDS, making it easier for manufacturers to file their NDS within the time period specified in paragraph 106(1)(f) under the Patent Act and 6(1)(b) established under the CSP Regulations to be eligible to obtain a certificate of supplementary protection.

The certificate of supplementary protection scheme will continue to be administered in accordance with existing laws and the process described in the Guidance document on certificates of supplementary protection. Section 2.2.2 of this document outlines Health Canada's continued interpretation of an "application for a marketing approval equivalent to an authorization for sale" for the purpose of the timely submission requirements in paragraph 106(1)(f) of the Patent Act and paragraph 6(1)(b) of the CSP Regulations. Such an application is considered equivalent to an NDS rather than equivalent to an ISAD IO application. Similarly, the definition of "authorization for sale" explicitly excludes an authorization issued pursuant to an interim order made under section 30.1 of the Food and Drugs Act. Therefore, if a manufacturer submits an application in a prescribed foreign country that's equivalent to an NDS, in order to meet the timely submission requirements, the manufacturer must file an application for the authorization for sale of the same drug with the Minister before the end of 1 year from the date of the foreign application.

904

905

906

907

908

909

910

911

912

913

914 915

916

917

919	Post-mark	et real	uireme	nts
212	1 OSC IIIGI K	CLICH		1163

- 920 The only amendment related to post-market regulation is the Minister's new (continued) authority to impose
- 921 terms and conditions for a designated COVID-19 drug (C.01.014.21).
- 922 To ensure the safe and effective use of a product, additional post-market requirements may be imposed as a
- 923 term or condition on the authorization. An example of a post-market term or condition on the authorization
- 924 is the submission and implementation of a risk management plan ((RMP) and/or elements thereof).
- 925 Otherwise, existing post-market regulations remain the same.
- 926 For more information on the scope of RMPs, consult the:
 - Guidance document on the submission of risk management plans and follow-up commitments
- Pharmacovigilance reporting requirements 928
- Adverse reaction reporting 929
- 930 Adverse reactions must be reported to the Canada Vigilance Program.
- 931 The market authorization holder (MAH) must report within 15 days of receiving the following information
- 932 (C.01.017):

- domestic serious expected and unexpected adverse drug reactions
- 934 foreign serious unexpected adverse reactions
- unusual failures in efficacy for new drugs (C.08.007, C.08.008) 935
- 936 However, adverse reactions associated with COVID-19 drugs are a priority. MAHs are strongly encouraged to
- submit reports related to this priority area to Health Canada without delay. MAHs should identify in the 937
- 938 report that the drug is a COVID-19 drug.
- 939 For information and general procedures on how to report serious adverse drug reactions, consult the
- 940 guidance document on:
- Reporting adverse reactions to marketed health products 941
- 942 You can also obtain more information on submitting reports electronically.
- 943 For details on how to report adverse reactions associated with veterinary drugs, MAHs of veterinary drugs
- 944 are encouraged to contact the Veterinary Drugs Directorate by email at hc.pv-vet.sc@hc-sc.gc.ca.
- Annual summary reporting 945
- 946 Once a year and when requested by the Minister of Health, MAHs must conduct a concise, critical analysis of
- 947 the adverse reactions and serious adverse reactions to a drug. They must also prepare a summary report
- 948 relating to the reports received during the previous 12 months (C.01.018).
- 949 For information on preparing and submitting an annual summary report, consult the guidance document on:
- 950 Preparing and submitting summary reports for marketed drugs and natural health products
- Issue-related summary reports 951
- 952 Health Canada may request an issue-related summary report (C.01.019) any time. This report is a concise,
- 953 critical analysis of a specific safety or effectiveness issue.

- 954 For information on preparing and submitting an issue-related summary report, consult the guidance 955 document on: 956 Preparing and submitting summary reports for marketed drugs and natural health products
- 957 Additional good pharmacovigilance practices
- 958 For additional information on good pharmacovigilance requirements, consult the:
- 959 Good pharmacovigilance practices (GVP) guidelines (GUI-0102)
- 960 Foreign actions reporting
- 961 Under section C.01.050 of the Regulations, authorization holders must notify Health Canada of foreign 962 regulatory actions. These include serious risk related to recalls, suspension or revocation of manufacturing or
- 963 market authorizations within one of the specified foreign regulatory jurisdictions.
- For information on this reporting requirement, consult the guidance document on: 964
- 965 Notifying Health Canada of foreign actions
- Other post-market requirements 966
- Record keeping 967
- 968 Under sections C.01.020(1) of the Regulations, manufacturers of a COVID-19 drug must maintain records and
- 969 case reports as they relate to sections C.01.017 to C.01.019.
- 970 Under section C.02.020, DEL holders must maintain records for each COVID-19 drug that they fabricate,
- 971 package/label, distribute or import.
- 972 For more information, consult the:
- 973 Good manufacturing practices guide for drug products (GUI-0001)
- 974 Shortages or discontinuation of sale
- 975 For drugs for human use, authorization holders should consult sections C.01.014.9 and C.01.014.10 and
- 976 the guide to reporting drug shortages and discontinuations. The guide contains additional information and
- 977 general procedures on how to report drug shortages and discontinuations of sale.
- 978 For details on shortages, see the Interim order respecting the prevention and alleviation of shortages of
- 979 drugs in relation to COVID-19.
- 980 For more information on reporting shortages, authorization holders of veterinary drugs should contact the
- 981 Veterinary Drugs Directorate by email at vdd.vetdrugs-medsvet.dmv@hc-sc.gc.ca.
- 982 Compliance and enforcement
- 983 Health Canada monitors compliance, undertakes enforcement activities and works to prevent non-
- 984 compliance. When taking compliance and enforcement action, Health Canada considers a number of factors
- 985 while adhering to the legislative framework and principles of our compliance and enforcement policy for
- health products (POL-0001). 986
- 987 For further guidance, see the <u>list of guidance documents</u>.

Pre-positioning of COVID-19 drugs 989

- 990 A promising COVID-19 drug may be imported into Canada before it receives a Canadian market authorization.
- 991 This early importation and placement in Canadian facilities is referred to as "pre-positioning." It facilitates the
- 992 immediate distribution of the drug upon authorization, making it available to Canadians as early as possible.
- 993 This mechanism may be used to import a promising COVID-19 drug into Canada if the Chief Public Health
- 994 Officer (CPHO) of the Public Health Agency of Canada has notified the Minister identifying the COVID-19 drug
- 995 that is to be pre-positioned.

997

999

1000

1001

1002

1003

1004

1005

- 996 To be eligible to import a COVID-19 drug for pre-positioning, several conditions are required:
 - The Government of Canada has entered into a contract for its procurement.
- 998 An authorization for the drug has not been issued.
 - The manufacturer has filed a submission for the drug's authorization.
 - The importer of the drug to be pre-positioned has a valid Canadian drug establishment licence.
 - The CPHO has provided the Minister with information required under C.08.009.03.
 - The DEL holding importer has provided the Minister with information required under C.08.009.03(2), including
 - Evidence demonstrating the foreign building(s) for which the COVID-19 drug is fabricated, packaged, labelled or tested meets the applicable requirements of the provisions of Divisions 2 to 4 of Part C of the Regulations.
- Importation and distribution of a pre-positioned drug 1007
- 1008 Following the review of the information provided by the CPHO and importer, the Minister of Health issues a
- 1009 letter to the CPHO indicating whether the requirements of pre-positioning have been met. To facilitate
- 1010 importation of the pre-positioned drug into Canada, a copy of this letter should accompany the product
- 1011 across the border.
- 1012 The person importing a COVID-19 drug for pre-positioning must have a drug establishment licence (DEL), but
- 1013 does not require the activity of importation to be licensed on the DEL. However, the DEL holder responsible
- 1014 for importing the pre-positioned COVID-19 drug will be subject to certain sections in Part C, Divisions 2 to 4 of
- 1015 the Regulations concerning storage, distribution, quality control and rapid recall.
- A pre-positioned COVID-19 drug cannot be distributed for use until it is authorized in Canada. It can, 1016
- 1017 however, be moved to an alternate storage facility, as long as the Minister has been notified by the CPHO of
- 1018 the civic address of that facility.
- 1019 Once the drug receives market authorization in Canada, all DEL requirements apply to subsequent
- 1020 importation and distribution.
- 1021 Pre-positioned COVID-19 drugs that do not receive market authorization under the Regulations must be
- 1022 destroyed or returned to the manufacturer.
- 1023 For guidance on meeting the regulatory requirements for record keeping, storage and distribution of pre-
- 1024 positioned COVID-19 drugs, consult the:
- 1025 Good manufacturing practices guide for drug products (GUI-0001)
- 1026 Evidence requirements to support the GMP compliance is included in the following guidance document:
- 1027 How to demonstrate foreign building compliance with drug good manufacturing practices (GUI-0080)

1028 1029	For more information about good manufacturing practices and COVID-19, visit <u>Good manufacturing practices</u> and <u>COVID-19</u> .
1030	Transition of pre-positioned drugs from the ISAD IO to the Regulations
1031 1032	After ISAD IO expires, COVID-19 drugs that met the requirements under sections 27 to 30 of the ISAD IO are deemed to have been pre-positioned under the <i>Regulations</i> .
1033 1034	Any information provided under sections 27 to 30 of the ISAD IO before it expires but has not been deemed to have met all requirements to pre-position will continue to be reviewed under the <i>Regulations</i> .

Submission scenarios, reference documents and key contacts 1035

- Submission scenarios 1036
- 1037 Please refer to Table 1 in this guidance document for a summary of submission scenarios.
- 1038 Scenario 1

1048

1049

1055

1056

- COVID-19 drug is authorized under ISAD IO (authorization was not suspended or revoked) and a 1039
- submission is subsequently filed under the Food and Drug Regulations for that drug 1040
- 1041 Manufacturers would file an NDS under Division 8 of the Regulations. The submission must include the same
- 1042 data as was included in the ISAD IO application, along with any necessary updates. This can include new
- 1043 evidence that was not available when the application was filed under the ISAD IO. Manufacturers would
- 1044 outline in a summary the changes to the application compared to the ISAD IO filing.
- 1045 To maintain the ability to sell the COVID-19 drug authorized under ISAD IO, the NDS must be filed:
- 1046 within 90 days following coming into force of the amendments, if the drug was authorized under the 1047 ISAD IO before the amendments came into force or
 - 90 days following issuance of an authorization under the ISAD IO, if the drug was authorized after the amendments came into force
- 1050 Manufacturers can continue selling the COVID-19 drug under the ISAD IO authorization until the NDS is 1051 approved, rejected or withdrawn. This is the case even after the ISAD IO expires.
- 1052 Many of the regulatory provisions found in the ISAD IO are available when filing a submission under 1053 the Regulations. These are further described in:
- 1054 **Rolling submissions**
 - Clinical information and requirements
 - Non-clinical information and requirements
- 1057 Quality (chemistry and manufacturing) information and requirements
- 1058 **Product labels**
- 1059 Labelling
- 1060 An NDS filed under the amended Regulations would support the issuance of the notice of compliance (NOC), 1061 along with any applicable terms and conditions. Further details are provided in the:
- 1062 Issuance of a notice of compliance for a COVID-19 drug
- 1063 Terms and conditions on a drug identification number relying on modified requirements in 1064 C.08.002(2.1)

1065 While the NDS is under review, the DIN that was assigned under ISAD IO remains assigned to the drug 1066 authorized under ISAD IO. This continues to ensure the operation of all regulatory obligations associated with 1067 the drug. Sponsors are invited to discuss with Health Canada their plans for packaging and labelling and reuse of a DIN. 1068

1069 Scenario 2 1070 An NDS is filed for a COVID-19 drug for which an application was never filed under ISAD IO 1071 Manufacturers of new COVID-19 drugs who did not file an application under ISAD IO may file an NDS seeking 1072 approval of the drug on the basis of the modified requirements under the amended NDS pathway in the 1073 Regulations. 1074 Many of the regulatory provisions found in ISAD IO are available when filing a submission under 1075 the Regulations. These are further described in: 1076 **Rolling submissions** 1077 Clinical information and requirements Non-clinical information and requirements 1078 Quality (chemistry and manufacturing) information and requirements 1079 1080 **Product labels** Labelling 1081 1082 An NDS filed under the amended Regulations would support the issuance of the NOC, along with any 1083 applicable terms and conditions. Further details are provided in the: 1084 Issuance of a notice of compliance for a COVID-19 drug 1085 Terms and conditions on a drug identification number relying on modified requirements in 1086 C.08.002(2.1) 1087 The manufacturer will have to wait to receive an NOC before marketing its drug. 1088 Scenario 3 1089 A submission seeking approval for a subsequent entry drug on the basis of a direct or indirect comparison to a COVID-19 drug (that is, a comparative submission) 1090 1091 Subsequent entry submissions seeking approval for a COVID-19 drug on the basis of a direct or indirect 1092 comparison to another COVID-19 drug are not eligible to benefit from the amended Regulations. These 1093 submissions will be filed as an ANDS or a comparative NDS. 1094 Scenario 4 Submitting an amendment to an ISAD IO authorization while an NDS has been filed under 1095 the Regulations and is under review 1096 1097 Manufacturers can file an amendment to their ISAD IO authorization while an NDS is under review during the 1098 period of June 16 to September 16, 2021. 1099 Manufacturers are encouraged to file relevant information under the ISAD IO and under the Food and Drug 1100 Regulations. During the June to September transition period, sponsors should discuss their application plan

with Health Canada to determine if a concurrent amendment filing under ISAD IO is merited. In this case,

Review decisions for ISAD IO amendments that are not completed by September 16, 2021, will require

both submissions must be filed separately to Health Canada. Health Canada will process amendments to the

1101

1102

1103

1104

1105

1106

ISAD IO authorization in parallel to an NDS.

manufacturers to re-file under the Regulations for further consideration.

- 1107 Many of the regulatory provisions found in ISAD IO are available when filing a submission under the Regulations. These are further described in: 1108
- 1109 **Rolling submissions**
- Clinical information and requirements 1110
- Non-clinical information and requirements 1111
- 1112 Quality (chemistry and manufacturing) information and requirements
- **Product labels** 1113
- 1114 Labelling

- 1115 An NDS filed under the amended Regulations would support the issuance of the notice of compliance (NOC), 1116 along with any applicable terms and conditions. Further details are provided in the:
 - Issuance of a notice of compliance for a COVID-19 drug
- 1118 Terms and conditions on a drug identification number relying on modified requirements in 1119 C.08.002(2.1)
- 1120 While the NDS is under review, the DIN that was assigned under the ISAD IO remains assigned to the drug 1121 authorized under the ISAD IO. This continues to ensure the operation of all regulatory obligations associated
- 1122 with the drug. Sponsors are invited to discuss with Health Canada their plans for packaging and labelling and
- 1123 reuse of a DIN.
- 1124 Upon issuance of an NOC, the ISAD IO authorization will no longer be valid. Any post-NOC changes would be
- 1125 filed as an SNDS. Please refer to the:
- 1126 Guidance document: Post-notice of compliance (NOC) changes: Framework document (pharmaceutical, biologic and radiopharmaceutical drugs for human use only) 1127
- Scenario 5 1128
- 1129 Submissions for COVID-19 vaccines against variants
- 1130 Sponsors are encouraged to meet with Health Canada to discuss their development and regulatory filing
- 1131 plans for variant COVID-19 vaccines. This includes sponsors who are:
- 1132 modifying authorized COVID-19 vaccines to respond to variants
- 1133 developing new vaccine platforms against COVID-19 variants
- 1134 The submission type for a variant COVID-19 vaccine will depend on the specific vaccine, taking into account:
- 1135 the platform used
- 1136 whether the proposed variant vaccine is a version of an already authorized COVID-19 vaccine
- 1137 the extent of change from the previously authorized version
- The emergence of SARS-CoV-2 variants is an evolving field. Please refer to the: 1138
- 1139 Guidance for market authorization requirements for COVID-19 vaccines: Requirements for vaccines to address SARS-CoV-2 variants 1140
- This guidance will be updated as we gain experience with variant COVID-19 vaccines. 1141

1143	Reference documents
1144	Authorization application guidance documents and webpages:
1145 1146 1147 1148 1149	 Management of drug submissions and applications Regulatory enrolment process Common electronic submissions gateway Management of regulatory submissions for veterinary drugs Filing submissions electronically
1150	General guidance documents:
1151 1152 1153 1154 1155 1156 1157 1158 1159	 Information and submission requirements for biosimilar biologic drugs Drug submissions relying on third-party data (literature and market experience) The use of foreign reviews by Health Canada Determining prescription status for human and veterinary drugs Questions and answers: Prescription drug list Regulatory requirements for drug identification numbers (DINs) Drug establishment licences and COVID-19 Good manufacturing practices and COVID-19 Compliance and enforcement policy for health products (POL-0001) Drug and medical device databases
1161	Regulatory roadmap for biologic (Schedule D) drugs in Canada
1162	Safety and efficacy guidance documents:
1163 1164 1165 1166 1167	 Non-clinical laboratory study data supporting drug product applications and submissions: Adherence to good laboratory practice Preparation of comparative bioavailability information for drug submissions in the CTD format Cochrane Handbook for Systematic Reviews of Interventions Preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement
1168	Quality guidance documents:
1169 1170 1171 1172 1173 1174	 Preparation of quality information for drug submissions in the CTD format: Biotechnological/biological (biotech) products Preparation of quality information for drug submissions in the CTD format: Conventional biotherapeutic products Quality (chemistry and manufacturing) guidance: New drug submissions (NDSs) and abbreviated new drug submissions (ANDSs)
1175	Labelling guidance documents:
1176 1177 1178 1179 1180 1181 1182	 Review of drug brand names: Guidance document for industry Frequently asked questions review of drug brand names Good label and package practices guide for prescription drugs (GLPPG) Questions and answers: Plain language labelling regulations for prescription drugs Labelling of special containers policy Labelling of pharmaceutical drugs for human use Product monograph guidance documents and notices

1184	COVID-19 vaccines guidance documents:
1185 1186 1187 1188	 Guidance for market authorization requirements for COVID-19 vaccines: Requirements for vaccines to address SARS-CoV-2 variants ACCESS Consortium: Points to consider for strain changes in authori zed COVID-19 vaccines in an ongoing SARS-CoV2 pandemic
1189	Establishment licensing guidance documents:
1190 1191	 Guidance on drug establishment licences and drug establishment licensing fees (GUI-0002) Management of applications and performance for drug establishment licences (GUI-0127)
1192	Good manufacturing practices (GMP) guidance documents:
1193 1194 1195 1196 1197	 Good manufacturing practices guide for drug products (GUI-0001) Annex 2 to the current edition of the good manufacturing practices guidelines schedule D drugs (biological drugs) (GUI-0027) How to demonstrate foreign building compliance with drug good manufacturing practices (GUI-0080 Good manufacturing practices (GMP) for Active Pharmaceutical Ingredients (APIs) (GUI-0104)
1198	Good laboratory practices (GLP) guidance document:
1199	 Good laboratory practices (GLP) guidelines (Dir-9801)
1200	Post-market vigilance guidance documents:
1201 1202 1203 1204 1205 1206 1207 1208 1209 1210 1211 1212	 Reporting adverse reactions to marketed health products (overview) Report an adverse reaction to a drug: industry Preparing and submitting summary reports for marketed drugs and natural health products Good pharmacovigilance practices (GVP) guidelines (GUI-0102) Notifying Health Canada of foreign actions: Guidance document for industry Amendments to the Food and Drugs Act: Guide to new authorities (power to require and disclose information, power to order a label change and power to order a recall) Format and content for post-market drug benefit-risk assessment in Canada Submission of risk management plans and follow-up commitments Guide to reporting drug shortages and discontinuations Recall policy for health products (POL-0016) Drug and natural health products recall guide (GUI-0039)
1213	Post-notice of compliance (NOC) changes guidance documents:
1214 1215 1216 1217	 Framework document (pharmaceutical, biologic and radiopharmaceutical drugs for human use only) Quality document Safety and efficacy document (for pharmaceutical, biologic and radiopharmaceutical drugs for human use only)
1218	Advertising guidance documents:
1219 1220	Marketing of drugs and medical devices

1221	Disinfectants guidance documents and monograph:
1222 1223 1224 1225 1226 1227	 Management of disinfectant drug applications Safety and efficacy requirements for surface disinfectant drugs Disinfectant drugs Applying for a drug identification number (DIN) for a disinfectant drug during the COVID-19 pandemic Hard-surface disinfectants monograph
1228 1229	Non-prescription pharmaceuticals and hand sanitizer (antiseptic skin cleansers) guidance documents and monographs:
1230 1231 1232	 Human-use antiseptic drugs Management of drug submissions and applications Compendium of monographs
1233	Intellectual property guidance documents:
1234 1235 1236	 Patented Medicines (Notice of Compliance) Regulations Data protection under C.08.004.1 of the Food and Drug Regulations Certificates of supplementary protection

1238	Key contacts
1239 1240	To help ensure that we prioritize your inquiry, please include "COVID-19 drug" in the subject line of your email.
1241 1242 1243	Biologic and Radiopharmaceutical Drugs Directorate Office of Regulatory Affairs Email: brdd.ora@hc-sc.gc.ca
1244 1245 1246	Pharmaceutical Drugs Directorate Regulatory Project Management Division Email: rpmd-dgpr@hc-sc.gc.ca
1247 1248 1249	Veterinary Drugs Directorate Submission and Knowledge Management Division Email: vdd.skmd.so-dgps.dmv.cp@hc-sc.gc.ca
1250 1251 1252	Natural and Non-prescription Health Products Directorate General Enquiries Email: nnhpd-dpsnso@hc-sc.gc.ca
1253	For intellectual property-related inquiries:
1254 1255	Office of Patented Medicines and Liaison Email: opml-bmbl@hc-sc.gc.ca
1256	For application format-related inquiries:
1257 1258	Office of Submissions and Intellectual Property Email: ereview@hc-sc.gc.ca
1259	For adverse reaction reporting-related inquiries:
1260	Canada Vigilance Program (CVP)
1261	For inquiries about good manufacturing practices (GMP) compliance requirements:
1262	Email: GMP Questions BPF@hc-sc.gc.ca
1263	For drug establishment licensing (DEL)-related inquiries:
1264	Email: del.questions-leppp@hc-sc.gc.ca