Cannabis Licensing Application Guide: Analytical Testing

Application Requirements and Process to Become a Holder of a Licence for Analytical Testing under the Cannabis Act and Cannabis Regulations
FOREWORD

The Cannabis Act establishes that an application for a licence must be filed with the Minister in the form and manner specified by the Minister and must include the information required by the Minister. This guide sets out the application process, including the form and manner for submitting an application for a licence, and the information that is required to be submitted. In accordance with the Cannabis Act, the Minister may also request any additional information that pertains to the information contained in an application and that is necessary to consider it. It is important to note that in the case where any information required to be submitted is not provided, the Minister may refuse to consider an application.

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Disclaimer

This document should be read in conjunction with relevant sections of the Cannabis Act and its Regulations. In the case of any discrepancies between this document and the Cannabis Act and its Regulations, the latter shall prevail. In cases of discrepancy between the Cannabis Tracking and Licensing System (CTLS) and the Regulations or guidance, the Cannabis Regulations shall prevail.

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Table of Contents

Purpose .................................................................................................................................................. 1
Background........................................................................................................................................ 1
Scope ............................................................................................................................................... 1
Getting Started: the Application Process ........................................................................................ 2
Step 1: Confirm the need for analytical testing licence ............................................................... 2
Step 2: Create an account in the Cannabis Tracking and Licensing System (CTLS) ............... 3
  Create an individual account in the CTLS ..................................................................................... 3
  Associated individuals create accounts in the CTLS ................................................................. 4
Step 3: Develop your application ....................................................................................................... 7
  I. Licence Ownership (Licence Holder) ....................................................................................... 8
  II. Mailing Address ....................................................................................................................... 8
  III. Site Details (including activities) ............................................................................................. 8
  IV. Site Personnel ............................................................................................................................ 9
  V. Destruction ............................................................................................................................... 10
  VI. Physical Security ..................................................................................................................... 10
  VII. Record Keeping ..................................................................................................................... 11
Step 4 - Submit your Application .................................................................................................... 12
  Submitting your application ........................................................................................................ 12
  Following submission .................................................................................................................. 12
  Service Standards ....................................................................................................................... 13
  Administrative procedures .......................................................................................................... 13
Contact Us ....................................................................................................................................... 15
Feedback – Help Us Improve ......................................................................................................... 15
Appendix A: Physical Security Plan (suggested template) ............................................................ 16
Appendix B: Record Keeping Attestation ......................................................................................... 17
Appendix C: Submission Checklist .................................................................................................. 19
Purpose

This document provides information on the application requirements to obtain a licence to conduct analytical testing on cannabis from Health Canada under the Cannabis Act and Cannabis Regulations.

Background

The Cannabis Act and Cannabis Regulations provide, among other things, the framework for legal access to cannabis and control and regulate its production, distribution and sale. Under this framework, a person is required to obtain a licence issued by Health Canada in order to conduct various activities with cannabis, among them analytical testing. Applicants and licence holders are responsible for compliance with the Cannabis Act and Cannabis Regulations as well as compliance with other applicable federal, provincial and territorial legislation and municipal by-laws.

The Cannabis Act establishes that an application for a licence must be submitted to Health Canada in the form and manner specified by the Minister and must include the information required by the Minister. This guide sets out the application process including the form and manner for submitting an application for an analytical testing licence and the information that is required.

Health Canada publishes other guidance documents and information on its website that may be used in conjunction with this document to assist you in preparing your application. In order to maintain consistency and transparency, this guide, as well as other guidance documents and information, will be updated, as required, to reflect changes to policies and/or operations.

Scope

This document provides guidance to anyone wishing to apply for a licence (“the applicant”) under the Cannabis Act and Cannabis Regulations to conduct activities in relation to analytical testing involving cannabis.

For more information on requirements associated with the activities that are not addressed in this guide, applicants may refer to the Cannabis Act and Cannabis Regulations, additional guidance published on the Health Canada website, or contact Health Canada as outlined in at the end of this guide.

1 Throughout this guide, there are references to actions that would be taken by the Minister under the Cannabis Act and Cannabis Regulations, often in the context of decision-making. In many cases, it is anticipated that the decision-making function would not be exercised personally by the Minister, but instead by an official in the Department of Health who is employed in a capacity appropriate to making the decision and who has been delegated that responsibility in accordance with the Salaries Act.
This guide does not include information on additional licensing requirements that may be required by the Canada Revenue Agency or provinces and territories.

Health Canada has established an online licence application system, referred to as the Cannabis Tracking and Licensing System (CTLS), to be used by applicants to apply to Health Canada for a cannabis licence. Applicants should be familiar with the use of this system and should refer to the CTLS User Guide for more information, available upon request from cannabis@canada.ca.

Getting Started: the Application Process

This guide is separated into four steps to help you navigate the application process. The process flow outlined in Figure 1 provides a general summary, with references to the relevant parts of this guide.

Figure 1: Application Process

- **Step 1:** Confirm the need for an analytical testing licence
- **Step 2:** Create an account in the CTLS
- **Step 3:** Develop your application
- **Step 4:** Submit your application

**Step 1: Confirm the need for analytical testing licence**

Before applying for a licence, first determine whether an analytical testing licence is the appropriate class of licence needed. Individuals and corporations who wish, for the purposes of testing, to possess cannabis and to alter the chemical or physical properties of cannabis by any means for the purposes of testing may apply for an analytical testing licence (see below for definition of cannabis). Analytical testing activities may include, but are not limited to, any combination of the following testing processes: chemical contaminants, microbial contaminants, ...
cannabinoid content, dissolution or disintegration, sterility, stability testing and/or pesticides, including ensuring requirements are met by licence holders bound by the Mandatory cannabis testing for pesticide active ingredients requirements.

If you or your organization hold(s) another licence under the Cannabis Act, you may already be authorized to conduct analytical testing activities under the terms of that licence (for example, in-house testing for THC or CBD). Contact Health Canada if you need to clarify your current authorizations.

**Important:** As per the Cannabis Act, cannabis (including hemp) means:
- Any part of a cannabis plant, including the phytocannabinoids produced by, or found in, such a plant, regardless of whether that part has been processed or not, other than a part of the plant referred to in Schedule 2;
- Any substance or mixture of substances that contains or has on it any part of such a plant; or
- Any substance that is identical to any phytocannabinoid produced by, or found in, such a plant, regardless of how the substance was obtained.

The term cannabis DOES NOT include:
- A non-viable seed of a cannabis plant;
- A mature stalk, without any leaf, flower, seed or branch, of such a plant;
- Fibre derived from a mature stalk; or
- The root or any part of the root of such a plant.

**Important:** Analytical testing licences cannot be applied for through an amendment to an existing cannabis licence, nor can a new cannabis licence (e.g., cultivation, processing) be added to an analytical testing licence through an amendment. Licence Holders wishing to apply for these licences must submit a new licence application.

**Important:** In order for your application to be considered, your facility should be already built.

**Step 2: Create an account in the Cannabis Tracking and Licensing System (CTLS)**

Health Canada’s CTLS is a public facing web application that enables the submission of new license applications, requests for amendments, and licence renewals in addition to the submission of monthly tracking reports for those licence holders required to submit them.

**Create an individual account in the CTLS**

In order to submit a licence application in the CTLS, you must first request access. In order to do so, basic information is required including your full name and salutation, email, phone number,
date of birth, language preference and security information. Health Canada will then provide an Account ID that can be used to enter the CTLS. You can use the same Account ID for each licence application for which you may be associated.

For more information on the steps to create an account please refer to the CTLS Getting Started Guide (available on the Health Canada website).

Tip: Should the CTLS (or internet) not be available to you, you may contact Health Canada directly by phone at 1-866-337-7705 or by email at cannabis@canada.ca for more guidance.

Associated individuals create accounts in the CTLS
User accounts are also required for additional individuals associated with an analytical testing licence application (see Table 1). These individuals must create their own accounts in the CTLS before an application can be completed and submitted to Health Canada.

<table>
<thead>
<tr>
<th>Role</th>
<th>Account IDs Required</th>
<th>Responsibilities and Qualifications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Licence Holder</td>
<td>Only if the applicant is an individual</td>
<td>• Overall responsibility for the licence.</td>
</tr>
</tbody>
</table>
| Responsible Person    | For all applicants   | • A licence holder must identify an individual, known as the Responsible Person, who has the authority to bind the licence holder (often the CEO or Director of the organization, or someone delegated by that person).  
• The Responsible Person will be the point of contact with Health Canada and through the CTLS.  
• They are responsible for submitting the application.  
• They are responsible for the activities conducted by the licence holder.  
• Must have sufficient knowledge of provisions of the Act and Regulations that apply to the holder of a licence.  

A qualified alternate Responsible Person may also be identified. This is not yet possible in the CTLS. Instructions for naming an alternate can be found in Step 3. |
Table 1: Individuals who require user accounts in the CTLS when applying for an analytical testing licence

<table>
<thead>
<tr>
<th>Role</th>
<th>Account IDs Required</th>
<th>Responsibilities and Qualifications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Head of Laboratory</td>
<td>For all applicants</td>
<td>• A holder of a licence for analytical testing must retain the services of one individual as the Head of Laboratory. The Head of Laboratory must work at the licensed site and be responsible for the testing activities under section 91 of the Cannabis Regulations (testing for each lot/batch for composition).&lt;br&gt;• This person is required to have sufficient knowledge of the provisions of the Cannabis Act and the Cannabis Regulations that apply to the holder of a licence for analytical testing and have knowledge and experience related to the duties of the position (further guidance can be found in table 4).&lt;br&gt;A holder of a licence for analytical testing may designate one or more individuals as the alternate heads of laboratory who are qualified to replace the Head of Laboratory. These alternates must be identified and credentials included.</td>
</tr>
<tr>
<td>Directors</td>
<td>Only if the applicant is a corporation</td>
<td>N/A</td>
</tr>
<tr>
<td>Officers</td>
<td>Only if the applicant is a corporation</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Tip: A single individual may hold one or multiple roles within the company (e.g., be both the Licence Holder and the Responsible Person), for one or more classes of licences at one site, or in some cases, multiple sites, assuming they meet all the requirements.

Creating a corporate profile

An application for an analytical testing licence can be created for an individual or a corporation. Applicants that are corporations (any incorporated organization) must ensure that a corporate profile for the organization has been created in the CTLS.
In order to create a corporate profile, individuals associated with the corporation (i.e., directors and officers) must create user accounts and those Account IDs must be linked to the corporate profile. Once the corporate profile has been created, a new Account ID is created for the corporation. This Account ID can then be used to create the analytical testing licence application, where the corporation can be specified as the licence holder.

Creating a corporate profile has some additional requirements, as outlined in Table 2. Some requirements are needed to create a corporate profile in the CTLS, while others are required before an application is submitted.

### Table 2: Corporate Profile Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>The full legal name(s) of the organization</td>
<td>Any other name(s) registered federally or provincially under which the entity intends to do business, if applicable.</td>
</tr>
<tr>
<td>The incorporation number</td>
<td>As provided on the certificate of incorporation.</td>
</tr>
<tr>
<td>Business address and contact details</td>
<td>The business address and the contact details used for correspondence with the corporation, not the individual applicant (e.g., head office).</td>
</tr>
<tr>
<td>Controlling organizations (noted as “Parent Corporation” in the CTLS), if applicable</td>
<td>The Corporation ID of each controlling organization. Note that any controlling organization will be required to create a corporate profile as per these requirements.</td>
</tr>
<tr>
<td>Certificate of incorporation</td>
<td>As part of an application, certificate of incorporation documents are required.</td>
</tr>
</tbody>
</table>
| Corporate organizational chart | As part of submitting an application, a corporate organizational chart is required. The organizational chart:  
  * Must demonstrate the relationships between senior positions within the organization and the various controlling individuals or entities, if applicable.  
  * Must include all names and titles of senior management positions such as directors and officers of the organization and any controlling individual or entity, if applicable.  |
| Organization personnel | As part of an application, specific organization personnel must be identified. These individuals must have individual CTLS accounts created so that their Account IDs can be associated with the corporate profile. Directors or officers of corporations must be included as part of the corporate profile. |

**Important:** The CTLS requires at least one director or officer be named per corporate
### Table 2: Corporate Profile Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate profile</td>
<td>In the case where there is no director or officer for the organization, the Responsible Person should be identified as an officer in this section of the CTLS.</td>
</tr>
</tbody>
</table>

**Tip:** Changes may be made to the corporate profile before and after an application has been submitted. Changes to the corporate profile will be updated in any associated application that has not yet been granted a licence. If a licence has been granted and there is a change of details in the corporate profile, these changes may trigger an automatic amendment, or may require a notification under Section 34 of the Cannabis Regulations.

## Step 3: Develop your application

Once you have the appropriate Access IDs to the CTLS, you are ready to start developing your application. There are six main sections of an analytical testing licence application:

I. Licence ownership (licence holder)  
II. Mailing address  
III. Site details (including activities)  
IV. Site personnel  
V. Physical security  
VI. Record keeping

Each of these sections must be complete prior to submitting an application to Health Canada. If your application does not fulfill all of these requirements, Health Canada may refuse to consider your application.

**Important:** Many sections of the application require a document, or several documents, to be uploaded. File names should clearly identify the name of the application requirement outlined in this guide. For example: Head of Laboratory Diploma, Head of Laboratory CV., etc. A maximum of 5 documents can be uploaded per section, each with a maximum size of 10 MB per document. Applicants should combine documents where suitable and minimize extraneous content in order to submit the required documents.

**Tip:** You are not required to complete the application process in one session. The application may be started in the CTLS and left in Draft status until you are ready to submit.
I. Licence Ownership (Licence Holder)
In this section of the application, you are required to identify the licence holder. An application can be created for an individual or a corporation. If you apply as a corporation, you must ensure that you have completed a corporate profile for the organization in the CTLS as outlined in Step 2.

A Responsible Person must be designated for all applications. The Responsible Person has the authority to bind the licence holder, has overall responsibility for the activities conducted, and is responsible for submitting the application. The Responsible Person is the official point of contact with Health Canada.

Important: As per the Cannabis Regulations, you may designate one individual as an alternate Responsible Person who is qualified to replace the Responsible Person. However, in the current version of the CTLS, only one Responsible Person may be designated in the CTLS. To designate an alternate Responsible Person, or to change the Responsible Person after an application has been submitted, email hc.sp-licensing-cannabis-licences-sp.sc@canada.ca with the subject line “Request to add an alternate Responsible Person” or “Request to change Responsible Person” and the application number and details. Health Canada will contact you for additional information, if required.

II. Mailing Address
This section of the application allows you to enter a mailing address identifying a Canadian address where you would like to receive official mailed correspondence (e.g., the licence when issued).

III. Site Details (including activities)
This section of the application seeks information about the site where the proposed analytical testing will take place as well as information on the proposed testing to be conducted. Table 3 outlines the Site Detail requirements that must be provided.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Complete site address</td>
<td>Primary Canadian site at which the analytical testing is proposed to occur (cannot be a P.O. Box).</td>
</tr>
</tbody>
</table>

Important: Licensed activities cannot be conducted in a dwelling house (i.e., a place of residence)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Analytical testing processes to be conducted</td>
<td>Identify the purposes of all analytical testing activities that the applicant is proposing to conduct.</td>
</tr>
</tbody>
</table>

For example, testing for:
• Chemical contaminants (e.g., heavy metals, solvent residues)
• Microbial contaminants* (e.g., yeast, molds, bacteria, aflatoxins)
• Cannabinoid content (e.g., delta-9-tetrahydrocannabinol [THC],
tetrahydrocannabinolic acid [THCA], cannabidiol [CBD], and cannabidiolic acid [CBDA])
• Dissolution/Disintegration
• Pesticides
• Sterility
• Stability (e.g., if the licence holder proposes to include a product expiry date)
• Other (e.g., seed viability testing)

*Sterility appears as an independent item in the CTLS

IV. Site Personnel
As outlined in Step 2, as part of the application you will need to identify the individuals that must have CTLS accounts. These individuals will differ based on the type of analytical licence holder (e.g., individual or a corporation). Identified individuals will need to create CTLS accounts and provide their Account IDs to you. The majority of these individuals need to be identified if you are creating a corporate profile.

The only individual required to be identified within the “Site Personnel” section of the application is the Head of Laboratory. Table 4 includes information on what information should be provided to confirm the qualifications of this individual. This information should be provided via a document or documents, uploaded in this section of the application process.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Qualifications for the Head of Laboratory</td>
<td>Submit details of the individual’s qualifications, and any designated alternate head(s) of laboratory, as they relate specifically to the duties of the position. Note that the Head of Laboratory must possess a degree in a science related to the work to be carried out, awarded by a Canadian university or, if awarded by a foreign university, that is recognized by a Canadian university or a Canadian professional association. A confirmation that the Head of Laboratory will perform their duties at the site named in the licence application is also required to be submitted. Examples of the candidate’s proof of qualifications should include, but is not limited to: • Copy of degree in a science related to the work to be carried out, awarded by a Canadian university or, if</td>
</tr>
</tbody>
</table>
Table 4: Site Personnel Requirements for an Analytical Testing Licence

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>awarded by a foreign university, that is recognized by a Canadian university or a Canadian professional association.</td>
</tr>
<tr>
<td></td>
<td>• Copy of academic transcripts</td>
</tr>
<tr>
<td></td>
<td>• Resume/CV</td>
</tr>
</tbody>
</table>

V. Destruction

Analytical testing licence holders must destroy the sample of a lot or batch of cannabis that has been distributed to them, and all cannabis obtained from that sample, within 90 days of the testing of the sample of the lot or batch being completed.

If testing of the sample of a lot or batch of cannabis distributed to the Licence Holder for analytical testing is not initiated within 120 days of its receipt, the Licence Holder must, by the end of that period, either destroy the sample or distribute it to another holder of a licence for analytical testing or to the individuals referred to in section 4 of the *Cannabis Regulations*\(^2\). The destruction of cannabis samples of a lot or batch must be carried out in a manner that fulfills the requirements of section 43 of the *Cannabis Regulations*.

Table 5 provides a summary of the information that must be provided as part of an analytical testing licence application to demonstrate how the destruction requirements will be met.

Table 5: Destruction Requirements for Analytical Testing Licences

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description of destruction method</td>
<td>A description of the destruction method to be used to that meets the requirements of the <em>Cannabis Regulations</em>, including:</td>
</tr>
<tr>
<td></td>
<td>• An attestation that at least two individuals who are qualified to witness the destruction (e.g., employees of the Licence Holder) will be present.</td>
</tr>
</tbody>
</table>

VI. Physical Security

A description of the physical security plan must include a brief description of the site, including its perimeter and storage areas.

A site plan should also be provided, including clear identification of the perimeter and the delineation of storage areas.

\(^2\) Note that the distribution to another holder of a licence for analytical testing or to the individuals referred to in section 4 of the *Cannabis Regulations* is only permitted following October 17, 2019
Table 6 provides a summary of the information that must be provided as part of an analytical testing licence application to demonstrate how the physical security requirements will be met.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
</table>
| Prevention of unauthorized access| A description of how the storage areas are designed to prevent unauthorized access to cannabis. Include such details as:  
  - Who accesses the storage areas and how their presence in the area is required by their duties  
  - How access to the storage areas is controlled  
  - The physical barriers surrounding the storage areas |
|                                  | A template can be found in Appendix A.                                                       |
| Site plan and floor plans        | The overall site plan must include the following details:  
  - The perimeter of the site must be clearly identified. Indicate whether the site perimeter is defined by a fence or the building envelope;  
  - The footprint of any building(s) or units within a building must be clearly identified; and  
  - An indication if the building is a multi-unit building or a stand-alone site (i.e., single unit). If it is a multi-unit building, the site perimeter should be identified accordingly and all units must be labelled with information on their current use as well as company name, if possible. |
|                                  | If there are areas (including buildings) that will not be used exclusively by the applicant, these areas must be outside of the proposed site perimeter. If there are areas inside the proposed site perimeter that will be used to conduct activities other than activities with cannabis, these activities must be clearly indicated in an appendix to the site and/or floor plan(s).  
  Additionally, the applicant must also include a floor plan for each building including clear delineation of rooms where storage activities will take place. |

**VII. Record Keeping**

There are a number of regulatory requirements for record keeping that must be met by a licence holder. Table 7 provides a summary of the information that must be submitted as part of an analytical testing licence application. Appendix B provides the Record Keeping Attestation which provides additional details about record keeping requirements for applicants for an analytical testing licence.
Table 7: Record Keeping Requirements for Analytical Testing Licences

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Required details to include</th>
</tr>
</thead>
<tbody>
<tr>
<td>Copy of government-issued identification</td>
<td>A copy of government-issued identification must be provided in order to verify the identities of the Licence Holder, Responsible Person, and Head of Laboratory.</td>
</tr>
<tr>
<td>Attestation form</td>
<td>Include a signed and completed attestation form found in Appendix B: Record Keeping Attestation.</td>
</tr>
</tbody>
</table>

**Important:** There is no specific section in the current version of the CTLS to upload this information. It should be uploaded as an attachment under the “Record Keeping Description” section.

Step 4 - Submit your Application

**Submitting your application**

Once all required information has been entered/uploaded in the CTLS, the application is ready to be submitted.

Use the checklist in Appendix C to ensure that all required pieces have been completed and are included in your submission. Once an application is submitted, no further changes can be made.

Once the application is submitted, it will appear in the “Submitted Licence Applications” section of the CTLS. Each application will have a unique Licence Application ID. All correspondence with Health Canada in relation to the application should include this identifier in the subject title.

**Important:** If all items included in Appendix C are not included in your submission, the review of your application will be delayed until that information is received via a “request for more information” (see below).

**Following submission**

Once an application is submitted in the CTLS, there are a series of steps Health Canada undertakes to review the licence and take a decision. Applicants are encouraged to check the status of their application using the CTLS at any time during the application process. Table 8 below provides an explanation of the four categories in the CTLS that denote the status of the application.

Table 8: Application Status

<table>
<thead>
<tr>
<th>Status</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Draft</td>
<td>The application has not yet been submitted. Health Canada does not receive, nor</td>
</tr>
</tbody>
</table>
process, draft applications. The applicant must complete all sections of the application in order to submit an application for processing by Health Canada.

<table>
<thead>
<tr>
<th>Status</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Submitted</td>
<td>Once the application is received by Health Canada, the application is considered “submitted” and remains at this stage until the screening of the application commences.</td>
</tr>
<tr>
<td>In progress</td>
<td>Health Canada has begun review of the application.</td>
</tr>
<tr>
<td>Pending information</td>
<td>A request for more information has been sent to you and Health Canada is waiting for a response.</td>
</tr>
</tbody>
</table>

**Important:** For assistance related to a specific licence application, an email may be sent to: hc.sp-licensing-cannabis-licences-sp.sc@canada.ca. The email must clearly indicate the application file number, the applicant’s name and the subject of the correspondence in the subject line of the email.

**Service Standards**
Health Canada commits to a non-binding 180 business days service standard to issue a decision for analytical testing licence applications.

**Administrative procedures**

**Receiving and responding to a request for more information**
Under subsection 62(5) of the Cannabis Act, Health Canada may request the submission of any additional information pertaining to an application.

It is the applicant’s responsibility to meet all the licensing requirements. If information submitted as part of the application is unclear or requires further detail to show how it meets the requirements, Health Canada will ask the applicant for clarification through a Request for More Information (RMI). To avoid such a request, ensure your application is as detailed as possible and addresses all items in the checklist included in Appendix C.

When an RMI is sent, Health Canada strives to be clear about what information is needed from the applicant and a deadline to respond is provided. If the applicant is unclear about what is required to respond to the request for more information, they may contact Health Canada by email for further guidance (see Contact Information Section of this guide). Note that it is not a requirement to retain the services of a third party (e.g., consultant) to prepare responses to Health Canada.

A request for more information will be emailed to the Responsible Person. The applicant must respond within the timeframe requested. Responses should be comprehensive and comment on each of the elements noted in the request for more information. A revised version of the original documents should not be resubmitted unless requested to do so.
**Important:** Be as specific and as detailed as possible when addressing each question. Incomplete responses may delay processing or lead to a refusal to consider an application. A lack of response to Health Canada’s request for more information may delay processing and lead to a refusal to consider an application.

**Tip:** If the applicant wishes another representative to be the primary recipient of communications or receive a copy of all communications, a written and signed consent to Health Canada must be submitted that permits Health Canada to communicate details about the application to the third-party individual. The consent must indicate the name(s) of the individuals, the application number and be sent to hc.sp-licensing-cannabis-licences-sp.sc@canada.ca from the email address captured within the CTLS for the Responsible Person, with the subject line “Consent to Communicate”.

**Refusals and withdrawals**

Health Canada may refuse to issue a licence under circumstances set out in the Cannabis Act and Cannabis Regulations. These include:

- Issuing the licence is likely to create a risk to public health or public safety, including the risk of cannabis being diverted to an illicit market or activity;
- There are reasonable grounds to believe that false or misleading information or false or falsified documents were submitted in, or in support of, the application;
- Within the last 10 years, the applicant has contravened a provision of the Cannabis Act, the Controlled Drugs and Substances Act or the Food and Drugs Act or of any regulation made under any of those Acts;
- There are reasonable grounds to believe that, within the last 10 years, the applicant has contravened an order made under the Cannabis Act, the Controlled Drugs and Substances Act or the Food and Drugs Act, or a condition of another licence or permit issued to the applicant any of those Acts;
- The applicant is a young person (as defined in the Cannabis Act), an individual who does not ordinarily reside in Canada, or is an organization that was incorporated, formed or otherwise organized outside Canada;
- The Minister is of the opinion that it is in the public interest to do so;
- Any prescribed grounds for refusal exist.

In the cases mentioned above, Health Canada may send a Notice of Intent to Refuse. This Notice will provide the applicant with a timeframe within which to respond, after which a Notice of Refusal will be issued.

The Notice of Refusal sets out the specific reasons or deficiencies that resulted in the decision to refuse to consider the application or issue a licence. All decisions to refuse an application are without prejudice to filing a new application for a licence. If an applicant wishes to submit a new application at a future time, it will be processed as such. Information and data submitted to support an application will not be returned to the applicant.
At any time during the review of an application, the applicant may withdraw the application through the CTLS. Withdrawal of an application is without prejudice to re-filing the application. If an applicant wishes to resubmit an application at a future time, the application will be processed as a new application.

Changes to an application/unsolicited information
Once an application is submitted, changes cannot be made to the application within the CTLS. If a change is required, the applicant must contact hc.sp-licensing-cannabis-licences-sp.sc@canada.ca. The email must clearly indicate the application file number, the applicant’s name and the subject of the correspondence in the subject line of the email.

Contact Us
For questions related to a specific licence application, an email may be sent to: hc.sp-licensing-cannabis-licences-sp.sc@canada.ca. The email must clearly indicate the application file number, the applicant’s name and the subject of the correspondence in the subject line of the email. Meeting or teleconference requests are evaluated on a case-by-case basis.

For other general questions about the Cannabis Act and Cannabis Regulations outside of a specific application, including those related to the CTLS, email: cannabis@canada.ca.

Alternatively, the Controlled Substances and Cannabis Branch may be contacted by phone at 1-866-337-7705.

Feedback – Help Us Improve
Health Canada is committed to providing all stakeholders with timely, accurate and reliable information. This includes providing applicants and licence holders with the information they require in order to be compliant with the Cannabis Act and Cannabis Regulations.

Health Canada appreciates receiving your feedback on whether this guide was useful and would welcome your suggestions for improvement. Please send us your feedback by email to: cannabis@canada.ca and indicate in the subject line: “Feedback on Analytical Testing Licence Application Guide”.

Your feedback will help us improve this guide and better serve all applicants and licence holders.
Appendix A: Physical Security Plan (suggested template)

Description of Site

What are the physical barriers surrounding the storage areas?

How will the physical security of the storage areas prevent unauthorized access to the cannabis?

Who will have access to storage areas and how is their presence in the area is required by their duties?
## Appendix B: Record Keeping Attestation

### PART 11 – RETENTION OF DOCUMENTS AND INFORMATION

#### APPLICANT INFORMATION

<table>
<thead>
<tr>
<th>Applicant Name:</th>
<th>Application Number:</th>
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#### INSTRUCTIONS

1. Complete the “General Information” and “Responsible Person Attestation Signature” fields in the attestation form provided below.
2. Upload the completed attestation form as an attachment under the “Record Keeping Example Section” in the Cannabis Tracking and Licensing System (CTLS).

#### GENERAL INFORMATION

Please confirm the proposed **record keeping method**:

- [ ] Electronic-based *(please specify any record keeping software to be used)*:
- [ ] Paper-based
- [ ] Other:

#### REGULATORY ATTESTATION

It is incumbent on the applicants to meet **all** applicable regulatory requirements pertaining to *Part 11 – Retention of Documents and Information of the Cannabis Regulations*. Health Canada has identified requirements (see below) for which we would like to emphasize, as these may represent a greater risk in the event of non-compliance.

#### REGULATION

##### GENERAL PROVISIONS

- 221 Manner of Retention
- 222 Requirement to continue to retain

##### INVENTORY AND DISTRIBUTION

- 224 Inventory - cannabis other than oil
- 225 Inventory – cannabis oil
- 226 Receipt of cannabis
- 227 Sale, distribution and export of cannabis

##### DESTRUCTION

- 229 Destruction of cannabis

##### RESEARCH AND DEVELOPMENT

- 237 Research and Development
I, the undersigned, attest that:

- **All** applicable documents and information pertaining to *Part 11 – Retention of Documents and Information* of the *Cannabis Regulations*, as required by the analytical testing licence and activities being applied for at the time of licensing, will be retained accordingly for the noted retention period(s) as outlined by the respective regulation(s).

- With respect to section 221 of the *Cannabis Regulations*, **all** applicable documents and information will be retained in a manner that will enable an audit to be made of it in a timely manner.

- All information or documents under section 221 will be retained at the site of the licence holder, or, in the case of a person that does not hold a licence, at the person’s place of business, or if they do not have one, at a place of business in Canada.

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<tr>
<th>Responsible Person Name (Printed):</th>
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<th>Responsible Person Name (Signature):</th>
<th>Date:</th>
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*Please review the regulations for the post-licensing record keeping and reporting requirements.*
Appendix C: Submission Checklist

Analytical Testing Licence Application Checklist

Licence Ownership

☐ Copy of the Licence Holder/Head of Laboratory/Responsible Person’s Government-issued ID (e.g., driver’s licence).

☐ A copy of the Certificate of Corporation (if applying as a corporation).

Site Details

☐ Complete address of your site including:
  ✓ Unit number, if applicable
  ✓ Street number
  ✓ Street Name
  ✓ City
  ✓ Province
  ✓ Postal code

☐ Confirmation that the proposed site is not a dwelling house (i.e., residential) or an alternative address of your proposed site.

Site personnel

☐ Head of Laboratory qualifications, as well as those for any designated alternate Head(s) of Laboratory, as they relate specifically to the duties of the position. Note that the Head of Laboratory must possess a degree in a science related to the work to be carried out, awarded by a Canadian university or, if awarded by a foreign university, that is recognized by a Canadian university or a Canadian professional association. Also note that a confirmation that the Head of Laboratory will perform their duties at the site named in the application should be provided.

The candidate’s proof of qualifications should include, but is not limited to:

- Copy of degree in a science related to the work to be carried out, awarded by a Canadian university or, if awarded by a foreign university, that is recognized by a Canadian university or a Canadian professional association.
- Copy of academic transcripts.
- Resume/CV.

Destruction

☐ A description of the destruction method to be used to that meets the requirements of the Cannabis Regulations, including:

- Attestation that at least two individuals who are qualified to witness the destruction (e.g. employees of the Licence Holder) will be present.
Physical Security

☐ A physical security description for your site that includes:
  - how the physical security of the storage areas prevent unauthorized access to the cannabis.
  - who has access to storage areas and how their presence in the area is required by their duties.
  - the physical barriers surrounding the storage areas.

  *See Appendix A for template.*

☐ A site plan with clearly identified perimeters and delineation of storage areas.

Record Keeping

☐ Record Keeping attestation